
Full Council

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To: The Mayor and Councillors of Haringey Council.

Dear Sir/Madam,

A meeting of the Council of the London Borough of Haringey will be held at the Civic Centre, High Road, Wood Green, N22 8LE on MONDAY, 16TH JULY, 2012 at 19:30 HRS, to transact the following business:

AGENDA

- 1. TO RECEIVE APOLOGIES FOR ABSENCE**
- 2. TO ASK THE MAYOR TO CONSIDER THE ADMISSION OF ANY LATE ITEMS OF BUSINESS IN ACCORDANCE WITH SECTION 100B OF THE LOCAL GOVERNMENT ACT 1972**
- 3. DECLARATIONS OF INTEREST**

A member with a disclosable pecuniary interest or a prejudicial interest in a matter who attends a meeting of the authority at which the matter is considered:

- (i) must disclose the interest at the start of the meeting or when the interest becomes apparent, and
- (ii) may not participate in any discussion or vote on the matter and must withdraw from the meeting room.

A member who discloses at a meeting a disclosable pecuniary interest which is not registered in the Register of Members' Interests or the subject of a pending notification must notify the Monitoring Officer of the interest within 28 days of the disclosure.

Disclosable pecuniary interests, personal interests and prejudicial interests are defined at Paragraphs 5-7 and Appendix A of the Members' Code of Conduct

4. TO RECEIVE SUCH COMMUNICATIONS AS THE MAYOR MAY LAY BEFORE THE COUNCIL

5. EXCLUSION OF THE PUBLIC AND PRESS

The following item is likely to be the subject of a motion to exclude the press and public from the meeting as it contains "exempt" information as defined within paras 1,3 & 5 of Section 12A of the Local Government Act 1972: namely that it contains information that relates to a particular employee, information relating to the business or financial affairs of any particular person (including the Authority holding that information) and also information in respect of which a claim to legal professional privilege could be maintained.

6. TO CONSIDER REPORTS OF THE FOLLOWING BODIES: (PAGES 1 - 30)

a) CORPORATE COMMITTEE REPORT NO 1 - 2012/13 - 28 JUNE 2012

b) SPECIAL COMMITTEE REPORT NO 1 - 2012 /13 - 5 JULY 2012

7. REINCLUSION OF THE PUBLIC AND PRESS

8. TO APPROVE AS A CORRECT RECORD THE MINUTES OF THE MEETING OF THE COUNCIL HELD ON 21 MAY 2012 (PAGES 31 - 48)

9. TO RECEIVE THE REPORT OF THE ASSISTANT CHIEF EXECUTIVE

10. TO RECEIVE THE REPORT OF THE MONITORING OFFICER AND HEAD OF LEGAL SERVICES

11. THE ETHICAL FRAMEWORK (PAGES 49 - 134)

Report of the Head of Legal Services and Monitoring Officer.

12. COUNCIL PLAN 2012-14 (PAGES 135 - 168)

Report of the Assistant Chief Executive

13. TO MAKE APPOINTMENTS TO OUTSIDE BODIES (PAGES 169 - 172)

14. TO CONSIDER REQUESTS TO RECEIVE DEPUTATIONS AND/OR PETITIONS AND, IF APPROVED, TO RECEIVE THEM

15. HARINGEY DEBATE - POSITIVE FUTURES – TACKLING THE IMPACT OF THE UK JOBS CRISIS IN HARINGEY

16. TO ANSWER QUESTIONS, IF ANY, IN ACCORDANCE WITH COUNCIL RULES OF PROCEDURE NOS. 9 & 10

ORAL QUESTION 1 – TO THE CABINET MEMBER FOR ECONOMIC DEVELOPMENT AND SOCIAL INCLUSION FROM COUNCILLOR RAHMAN-KHAN

In light of the council's support for the local credit union, can the portfolio holder outline some of the advantages for residents of joining as a member of a credit union?

ORAL QUESTION 2 – TO THE CABINET MEMBER FOR THE ENVIRONMENT FROM COUNCILLOR REECE:

What changes were made to the introduction of fortnightly residual waste collections in Phase 2 after the pilot in the Spring?

ORAL QUESTION 3 – TO THE CABINET MEMBER FOR FINANCE AND CARBON REDUCTION FROM COUNCILLOR GIBSON

Can the portfolio holder give an update on the council's plans for furthering the use of solar panels in Haringey?

ORAL QUESTION 4 – TO THE CABINET MEMBER FOR FINANCE AND CARBON REDUCTION FROM COUNCILLOR JENKS

Why has the Council not used the £300k saving identified in IT to reopen Day Centres, Drop-in Centres and Luncheon Clubs?

ORAL QUESTION 5 – TO THE CABINET MEMBER FOR CHILDREN FROM COUNCILLOR STENNET

Can the portfolio holder give us an update on the initial stages of the Education Commission?

ORAL QUESTION 6 –TO THE CABINET MEMBER FOR FINANCE AND CARBON REDUCTION FROM COUNCILLOR WILLIAMS

Why is the Council paying to advertise the ‘One Borough One Future’ fund in Westminster?

ORAL QUESTION 7 – TO DEPUTY LEADER OF THE COUNCIL AND CABINET MEMBER FOR HEALTH AND ADULT SERVICES FROM COUNCILLOR STENNET

Can the portfolio holder outline what the council is doing to help tackle the issue of childhood obesity in the borough?

ORAL QUESTION 8 – TO THE CABINET MEMBER FOR THE ENVIRONMENT FROM COUNCILLOR ALEXANDER:

How many residents have reported missed collections since the introduction of fortnightly residual waste collections compared with same period last year?

17. TO RECEIVE REPORTS FROM THE FOLLOWING BODIES (PAGES 173 - 186)

a) Corporate Committee Report No 2 - 2012/13

18. TO CONSIDER THE FOLLOWING MOTIONS IN ACCORDANCE WITH COUNCIL RULES OF PROCEDURE NO. 13

Motion A (2012/13)

Councillor Winskill has given notice that he will move in the following terms:

This Council

- recognises the importance of local post offices to decent neighbourhoods and strong communities.
- welcomes the fact that after 20 years of reductions of service and closure of post offices to the detriment of local communities, the current coalition government is investing £1.3 billion to stabilise, improve and extend the services of post offices throughout the UK.

Council notes

- the recent request from the Post Office Minister for councils to enter into strategic relationships with the Post Office to:

- Review the current location of post offices
- Review the services which they provide at present and could do in the future
- Look at ways of enhancing co-operation between the Post Office and all public sector bodies, but particularly the Council
- Develop methods for working together to deal with problems of financial exclusion.

The Council resolves

- To ask the Chief Executive to write to the chair of the Post Office to invite talks for the establishment of such a partnership in Haringey and to offer that the council provides a lead.

Motion B (2012/13)

Councillor Goldberg has given notice that he will move in the following terms:

This Council believes:

- At a time when many low/middle income families are struggling to cope with the impact of tax and benefit changes and rising households costs, it is wrong for the Government to prioritise giving a £40,000 income tax cut to 14,000 millionaires across the UK
- This is particularly the case given that low income households with children in Haringey have been disproportionately hit by cuts in working tax credits since April 2012

This council notes:

- Around 1880 Haringey families in part time work have seen their working tax credits cut by up to £3,870 a year as a result of the Government increasing the amount of hours couples are required to work from 16 to 24 hours
- In the current economic climate, the majority of couples in part time work will find it difficult to increase the hours they work in order to be eligible for tax credits.
- The cuts in working tax credits are impacting the lives of around 5000 children in Haringey
- Around 1900 families in Haringey are at the same time losing help with childcare costs.

- For those families affected by the tax credit changes, the cuts negate any small benefit obtained from the rise in the income tax threshold introduced in April 2012
- The cuts in working tax credits undermine the Government's claims to be 'making work pay' as extra financial support for the low paid in part time work is withdrawn at a time of rising rents, transport costs and childcare costs

This Council resolves:

- To write to the chancellor asking that he cancels the proposed cut in the top rate of income tax being introduced in April 2013
- To ask the chancellor to reinstate working tax credits for low paid households working fewer than 24 hours a week.

Kevin Crompton
Chief Executive
River Park House
225 High Road
Wood Green
London N22 8HQ

Friday, 6 July 2012

By virtue of paragraph(s) 1, 2, 3, 5 of Part 1 of Schedule 12A
of the Local Government Act 1972.

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**MINUTES OF THE FULL COUNCIL
MONDAY, 21 MAY 2012**

Councillors Adamou (Mayor), Adje, Alexander, Allison, Amin, Basu, Beacham, Bevan, Bloch, Brabazon, Browne, Bull, Butcher, Canver, Christophides, Cooke, Davies, Demirci, Diakides, Dogus, Egan, Ejiofor, Engert, Erskine, Gibson, Goldberg, Gorrie, Griffith, Hare, Jenks, Khan, Kober, Mallett, McNamara, Meehan, Newton, Peacock, Rice, Schmitz, Scott, Solomon, Stanton, Stennett, Stewart, Strang, Strickland, Vanier, Waters, Watson, Weber, Whyte, Williams, Wilson and Winskill

Apologies Councillor Reece and Reid

MINUTE NO.	SUBJECT/DECISION	ACTION BY
CNCL01.	<p>TO ELECT THE MAYOR FOR THE ENSUING YEAR 2012/13</p> <p>The Mayor called for Nominations for the Office of Mayor for the Municipal Year 2012/13.</p> <p>Councillor Kober moved and Councillor Meehan seconded that Councillor David Browne be elected Mayor.</p> <p>RESOLVED:</p> <ol style="list-style-type: none"> 1. That Councillor David Browne be elected Mayor for the Municipal Year 2012/13. 2. The Mayor made and signed the Declaration of Acceptance of Office, which was witnessed by Councillors Kober and Meehan. 3. The Mayor then addressed the Council, gave thanks for his election and outlined his objectives for his year of office. <p>The Mayoress, Ms Elin Weston was then invested with her Badge of Office.</p>	
CNCL02.	<p>TO RECEIVE APOLOGIES FOR ABSENCE</p> <p>Apologies for absence were received from Councillors Reece, and Reid.</p>	
CNCL03.	<p>TO ASK THE MAYOR TO CONSIDER THE ADMISSION OF ANY LATE ITEMS OF BUSINESS IN ACCORDANCE WITH SECTION 100B OF THE LOCAL GOVERNMENT ACT 1972</p> <p>See items 9,10, 11, 12, 14, 15, 16, 17 and 18.</p>	
CNCL04.	<p>DECLARATIONS OF INTEREST</p>	

**MINUTES OF THE FULL COUNCIL
MONDAY, 21 MAY 2012**

	<p>Members were asked by the Mayor to declare any personal interest in respect of items on the agenda. In accordance with Part 2 of the Members Code of Conduct set out in the Council Constitution, any Member disclosing a personal interest which was also prejudicial would be asked to withdraw from the Chamber during consideration of the item and neither were they to seek to improperly influence a decision on the said item.</p> <p>No declarations were made.</p>	
<p>CNCL05.</p>	<p>TO APPROVE AS A CORRECT RECORD THE MINUTES OF THE MEETING OF THE COUNCIL HELD ON 26 MARCH 2012</p> <p>Copies of the Minutes of the last meeting having been circulated were taken as read.</p> <p>RESOLVED:</p> <p>That the minutes of the meeting of the Council held on 26 March 2012 be signed as a true record.</p>	
<p>CNCL06.</p>	<p>TO RECEIVE WRITTEN NOTIFICATION OF THE APPOINTMENT OF DEPUTY MAYOR</p> <p><i>The Assistant Chief Executive reported that the Mayor had signified in writing the appointment of Councillor Sheila Peacock as Deputy Mayor for the ensuing year.</i></p> <p>Councillor Peacock was invested with her Badge of Office. The Deputy Mayor's consort was then invested with his badge of office.</p>	
<p>CNCL07.</p>	<p>TO PASS A VOTE OF THANKS TO THE RETIRING MAYOR AND MAYOR'S CONSORT AND THE RETIRING DEPUTY MAYOR AND DEPUTY MAYOR'S CONSORT.</p> <p>A vote of thanks to the retiring Mayor and Consort and the retiring Deputy Mayor and Deputy Mayor's Consort was moved by Councillor Claire Kober and seconded by Councillor Richard Wilson.</p> <p>Councillor Kober also thanked Councillors Dogus and Reith for the efforts and commitment during their terms as Cabinet members, and also Councillor Reith for her untiring support and commitment as deputy leader.</p> <p>The retiring Mayor was presented with a badge marking her year of office. The Leader also presented the retiring Mayor with a montage of photographs of her year in office.</p> <p>RESOLVED:</p> <p>That the Council extend to the retiring Mayor, Councillor Gina Adamou, the retiring Mayor's consort Andreas Adamides, Deputy</p>	

**MINUTES OF THE FULL COUNCIL
MONDAY, 21 MAY 2012**

	<p>Mayor, Councillor David Browne , and the retiring Deputy Mayor's Consort , its sincere thanks and appreciation for the services they had rendered to the Borough during the past year.</p> <p>The retiring Mayor addressed the Council.</p>	
CNCL08.	<p>TO RECEIVE SUCH COMMUNICATIONS AS THE MAYOR MAY LAY BEFORE THE COUNCIL</p> <p>The Mayor announced that he had chosen to support Action for Kids. The Mayor advised that he would be giving further details on the charity and fundraising events on his Mayor's webpage, and making further announcements about fundraising events at a later date.</p> <p>NOTED</p>	
CNCL09.	<p>TO AGREE THE APPOINTMENTS PROCEDURE AND TO APPOINT COMMITTEES, SUB-COMMITTEES AND OTHER BODIES FOR THE MUNICIPAL YEAR 2012/13 INCLUDING THE SELECTION OF THE CHAIRS AND VICE CHAIRS AND TO CONFIRM THE NON EXECUTIVE SCHEME OF DELEGATION.</p> <p>The Mayor agreed to the admission of this report as urgent business. Appointments had only recently been finalised at Party Group Meetings and needed approval to permit committees to be convened.</p> <p>The Chief Whip - Councillor Egan moved recommendations 1 and 2 as detailed in the TABLED report.</p> <p>Councillor Williams moved an amendment that Councillor Winskill be appointed Chair of the Overview and Scrutiny Committee. Councillor Whyte seconded.</p> <p>Councillor Egan responded to the amendment.</p> <p>On a vote there being 21 for and 34 against, the amendment was LOST.</p> <p>Councillor Egan MOVED the substantive MOTION and it was .</p> <p>RESOLVED:</p> <ol style="list-style-type: none"> 1. That the process for appointing to Committees, Sub-Committees and Chairs/Vice Chairs be approved on the "slate" basis prescribed. 2. That the membership of committees, sub-committees and appointment of Chairs be agreed as detailed in Appendix 1 of the report (SEE ATTACHED MARKED A). 	
CNCL10.	<p>TO MAKE APPOINTMENTS TO OUTSIDE BODIES</p> <p>The Mayor agreed to the admission of this report as urgent business.</p>	

**MINUTES OF THE FULL COUNCIL
MONDAY, 21 MAY 2012**

	<p>Appointments had only recently been finalised at Party Group Meetings and needed approval to permit appointments to outside organisations to be made.</p> <p>RESOLVED:</p> <p>That the appointments to outside bodies be approved, as detailed in the Appendix to the report.</p>																																																				
<p>CNCL11.</p>	<p>TO RECEIVE THE REPORT OF THE CHIEF EXECUTIVE, NOTING THE APPOINTMENTS MADE BY THE POLITICAL GROUPS, AND TO TAKE SUCH ACTION AS MAY BE APPROPRIATE</p> <p>The Mayor agreed to the admission of this report as urgent business as it dealt with matters considered at Party Group meetings within the last few days.</p> <p>RESOLVED:</p> <p>That the composition of the following political groups be noted:</p> <p><u>The Labour Group</u></p> <table data-bbox="284 1048 1326 1413"> <tr> <td>Leader:</td> <td>Councillor Claire Kober</td> </tr> <tr> <td>Deputy Leader:</td> <td>Councillor Bernice Vanier</td> </tr> <tr> <td>Chief Whip:</td> <td>Councillor Pat Egan</td> </tr> <tr> <td>Chair:</td> <td>Councillor George Meehan</td> </tr> <tr> <td>Vice Chair:</td> <td>Councillor Gideon Bull</td> </tr> <tr> <td>Secretary:</td> <td>Councillor Gmmh Rahman Khan</td> </tr> <tr> <td>Assistant Whips:</td> <td>Councillors Stuart McNamara & Kaushika Amin</td> </tr> <tr> <td>Group Treasurer</td> <td>Councillor Sheila Peacock</td> </tr> <tr> <td>Non-Executive members</td> <td>Councillor Stennett and Stewart.</td> </tr> </table> <p><u>Councillors:</u></p> <table data-bbox="284 1525 1102 1890"> <tr> <td>Adamou</td> <td>Demirci</td> <td>Stanton</td> </tr> <tr> <td>Adje</td> <td>Diakides</td> <td>Strickland</td> </tr> <tr> <td>Basu</td> <td>Dogus</td> <td>Vanier</td> </tr> <tr> <td>Bevan</td> <td>Ejiofor</td> <td>Waters</td> </tr> <tr> <td>Brabazon</td> <td>Gibson</td> <td>Watson</td> </tr> <tr> <td>Browne</td> <td>Goldberg</td> <td></td> </tr> <tr> <td>Canver</td> <td>Griffith</td> <td></td> </tr> <tr> <td>Christophides</td> <td>Reith</td> <td></td> </tr> <tr> <td>Cooke</td> <td>Rice</td> <td></td> </tr> </table> <p><u>The Liberal Democrat Group</u></p> <table data-bbox="284 2002 1066 2103"> <tr> <td>Leader:</td> <td>Councillor Richard Wilson</td> </tr> <tr> <td>Deputy Leader:</td> <td>Councillor Katherine Reece</td> </tr> <tr> <td>Chief Whip:</td> <td>Councillor Monica Whyte</td> </tr> </table>	Leader:	Councillor Claire Kober	Deputy Leader:	Councillor Bernice Vanier	Chief Whip:	Councillor Pat Egan	Chair:	Councillor George Meehan	Vice Chair:	Councillor Gideon Bull	Secretary:	Councillor Gmmh Rahman Khan	Assistant Whips:	Councillors Stuart McNamara & Kaushika Amin	Group Treasurer	Councillor Sheila Peacock	Non-Executive members	Councillor Stennett and Stewart.	Adamou	Demirci	Stanton	Adje	Diakides	Strickland	Basu	Dogus	Vanier	Bevan	Ejiofor	Waters	Brabazon	Gibson	Watson	Browne	Goldberg		Canver	Griffith		Christophides	Reith		Cooke	Rice		Leader:	Councillor Richard Wilson	Deputy Leader:	Councillor Katherine Reece	Chief Whip:	Councillor Monica Whyte	
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**MINUTES OF THE FULL COUNCIL
MONDAY, 21 MAY 2012**

	<p>Deputy Whip Group Chair</p> <p><u>Councillors:</u></p> <p>Alexander Allison Bloch Butcher Engert Erskine Gorrie</p>	<p>Councillor Neil Williams Councillor David Beacham</p> <p>Hare Jenks Newton Reid Schmitz Scott Solomon</p> <p>Strang Winskill</p>	
CNCL12.	<p>TO APPROVE THE COUNCIL CALENDAR FOR THE 2012/13 MUNICIPAL YEAR</p> <p>The Mayor agreed to the admission of this report as urgent business. The draft calendar for the Municipal Year 2012/13 was not available at the time of despatch as it required changes proposed following party group meetings.</p> <p>RESOLVED</p> <p>That the schedule of meetings for 2012/13 be agreed.</p>		
CNCL13.	<p>TO RECEIVE THE REPORT OF THE MONITORING OFFICER AND HEAD OF LEGAL SERVICES</p> <p>There were no items to report.</p>		
CNCL14.	<p>TO RECEIVE A STATEMENT OF COUNCILLORS' ATTENDANCE AT MEETINGS OF THE COUNCIL, COMMITTEES AND SUB-COMMITTEES IN ACCORDANCE WITH COUNCIL PROCEDURE RULES.</p> <p>The Mayor agreed to the admission of this report as urgent business. The statement of attendance was not available at the time of despatch due to the receipt and checking of data for attendances during the Municipal Year 2011/12.</p> <p>RESOLVED:</p> <p>That the statement of members' attendance for 2011/12 be noted.</p>		
CNCL15.	<p>TO NOTE THE END OF MUNICIPAL YEAR FINANCIAL STATEMENT FOR 2011/12.</p> <p>The Mayor agreed to the admission of this report as urgent business. The financial statement was not available at the time of despatch due to the verification of information contained therein.</p> <p>RESOLVED:</p>		

**MINUTES OF THE FULL COUNCIL
MONDAY, 21 MAY 2012**

	That the allowances paid to each Member for 2011/12 be noted.	
CNCL16.	<p>TO AGREE THE MEMBERS' ALLOWANCES SCHEME FOR 2012/13</p> <p>RESOLVED</p> <p>i. That the current Members' Allowances Scheme as from 31 March 2012 be revoked: and</p> <p>ii. That the Members' Allowances Scheme for 1 April 2012 – 31 March 2013 as set out in Appendix 1 to this report be adopted, and that this be adopted as the replacement for Part 6 of the Council's Constitution.</p>	
CNCL17.	<p>THE ETHICAL FRAMEWORK</p> <p>The Mayor agreed to the admission of this report as urgent business. The report was not available at the time of despatch due to the verification of information contained therein.</p> <p>RESOLVED:</p> <p>That with effect from the date upon which the new ethical framework comes into effect as prescribed by Regulations;</p> <p>(i) that approval be given to The Members' Code of Conduct as attached at Appendix 1 of the report;</p> <p>(ii) that the Monitoring Officer be authorised to make any necessary amendments to the code in order to comply with Regulations;</p> <p>(iii) that approval be given to the arrangements for dealing with allegations that a member had failed to comply with the code, as attached at Appendix 2 of the report;</p> <p>(iv) that approval be given to the establishment of a Standards Committee, an Assessment Sub-Committee and a Hearing Sub-Committee upon the terms of reference set out at Appendix 3 of the report; and</p> <p>(v) that approval be given to the amendments to the Constitution consequential to the changes to the ethical framework, as set out at Appendix 4 of the report.</p>	
CNCL18.	<p>TO RECEIVE REPORTS FROM THE FOLLOWING BODIES</p> <p><u>Governance Review Delivery Group Report No 2 – 2011/12</u></p>	

**MINUTES OF THE FULL COUNCIL
MONDAY, 21 MAY 2012**

	<p>Councillor Kober moved the recommendations contained in the report.</p> <p>RESOLVED</p> <p>That approval be given to the detailed text changes to the Constitution for implementation of the Governance Review from 21 May 2012.</p> <p>There being no further business to discuss the meeting ended 20.30 hours.</p>	
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COUNCILLOR DAVID BROWNE

Mayor

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ANNUAL COUNCIL MEETING

21 MAY 2012

**APPOINTMENT OF COMMITTEES, SUB COMMITTEES,
PANELS, ETC. FOR 2012/13**

COMMITTEE & MEMBERSHIP	NO. OF MEMBERS	SUBORDINATE BODIES	NO. OF MEMBERS
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COMMITTEE & MEMBERSHIP	NO. OF MEMBERS	SUBORDINATE BODIES	NO. OF MEMBERS
<p>Alexandra Palace & Park Board Cllr Cooke (Chair) Cllr Stewart(Vice Chair) Cllr Demirci Cllr Peacock</p> <p>Cllr Hare Cllr Scott Cllr Williams</p>	<p>4 Lab, 3 L/D Members plus 3 non-voting Members from Consultative Committee.</p> <p>1 Observer – Chair of the AP Statutory Advisory Cttee</p> <p><i>Members of the Cabinet may not sit on the Board in accordance with the advice of the Charity Commission.</i></p>	<p>Alexandra Palace Consultative Committee Cllr Cooke Cllr Stewart Cllr Demirci Cllr Peacock</p> <p>Cllr Hare Cllr Scott Cllr Williams</p> <p>Chair to appointed by Cons Cttee at its first meeting</p>	<p>4 Lab, 3 L/D Members plus up to 30 outside Representatives</p>
		<p>Alexandra Palace & Park Panel Cllr Cooke (Chair) Cllr Stewart(Vice Chair) Cllr Peacock</p> <p>Cllr Scott Cllr Williams</p>	<p>3 Lab, 2 L/D Members</p>
		<p>Alexandra Palace & Park Consultative Forum Chair to be Employer Side in 2012/13.</p> <p>Cllr Cooke (Chair) Cllr Stewart (Vice Chair) Cllr Demirci</p> <p>Cllr Scott Cllr Williams</p>	<p>3 Lab, 2 L/D Members plus 4 Trade Union Representatives.</p>
<p>Cabinet Cllr Kober (Chair) Cllr Vanier(V.Chair) Cllr Bevan Cllr Canver Cllr Goldberg Cllr Strickland Cllr Waters Cllr Watson</p>	<p>Leader and 7 Cabinet Members.</p> <p><i>The Cabinet will appoint its own sub bodies</i></p>		
<p>Corporate Committee</p>	<p>6 Lab, 4 Lib Dem 6</p>		

COMMITTEE & MEMBERSHIP	NO. OF MEMBERS	SUBORDINATE BODIES	NO. OF MEMBERS
<p>Cllr Meehan (Chair) Cllr Amin (Vice Chair) Cllr Adje Cllr Diakides Cllr Griffith Cllr Rahman Khan</p> <p>Cllr Jenks Cllr Whyte Cllr Williams Cllr Wilson</p>	<p>Members.</p>		
		<p>Disciplinary and Dismissal Appeals / Job Evaluation Appeals / Grievance Panel</p> <p>Labour Group Chairs Cllr Adamou (Chair) Cllr Brabazon (Chair) Cllr Bull (Chair) Cllr Egan (Chair) Cllr Meehan (Chair) Cllr Peacock (Chair) Cllr Rice (Chair) Cllr Waters (Chair)</p> <p>Lib Dem Group Chairs Cllr</p> <p>Labour Group Cllr Adje Cllr Amin Cllr Bevan Cllr Dogus Cllr Christophides Cllr Gibson Cllr McNamara Cllr Mallett Cllr Reith Cllr Stanton Cllr Vanier</p>	<p>3 Members from the pool for all Panels except JE's.</p> <p>For JE's 2 Members from the pool plus 2 staff side representatives.</p> <p>All Councillors will be eligible to sit on these Panels, subject to having undertaken the necessary training</p>

COMMITTEE & MEMBERSHIP	NO. OF MEMBERS	SUBORDINATE BODIES	NO. OF MEMBERS
		<p>Lib Dem Group Cllr Alexander Cllr Allison Cllr Beacham Cllr Engert Cllr Hare Cllr Jenks Cllr Reece Cllr Reid Cllr Solomon Cllr Scott Cllr Whyte Cllr Wilson Cllr Winskill</p>	
	<p>* in accordance with Section 12 of the Local Government Act 1989, Members who are Local Authority employees or Trade Union employees /officials may not sit on these bodies. If as a result the relevant Cabinet Member is ineligible, a substitute Member of the Cabinet should be appointed.</p>	<p>*Haringey Council & Employee Joint Consultative Committee (Chair to be Employer Side in 2012/13.</p> <p>Cllr Meehan Cllr Vanier Cllr Goldberg Cllr Kober</p> <p>Cllr Engert Cllr Newton Cllr Reid</p>	<p>4 Lab, 3 Lib Dem Members. <i>Members to include Cabinet Members for Adult Social Care & Wellbeing, Chair of Corporate Cttee plus Employees' Side Representatives.</i></p>
<p>Regulatory Committee Cllr Demirci(Chair) Cllr Peacock (Vice Chair) Cllr Basu Cllr Brabazon Cllr Christophides Cllr Ejiofor Cllr Mallett Cllr McNamara</p> <p>Cllr Beacham Cllr Reid Cllr Schmitz Cllr Scott Cllr Solomon</p>	<p>8 Lab, 5 Lib Dem Members.</p> <p>All Licensing subs and Chairs to be appointed by Regulatory Committee</p>	<p>Licensing Sub Committee – A Cllr (Chair) Cllr Cllr</p>	<p>2 Lab, 1 Lib Dem Members.</p>
		<p>Licensing Sub Committee – B Cllr (Chair) Cllr Cllr</p>	<p>2 Lab, 1 Lib Dem Members.</p>

COMMITTEE & MEMBERSHIP	NO. OF MEMBERS	SUBORDINATE BODIES	NO. OF MEMBERS
		<p>Planning Sub-Committee Cllr Demirci (Chair) Cllr Peacock (Vice Chair) Cllr Basu Cllr Christophides Cllr Mallett Cllr McNamara</p> <p>Cllr Beacham Cllr Reid Cllr Schmitz Cllr Solomon</p>	<p>6 Lab, 4 Lib Dem Members.</p>
<p>Overview and Scrutiny Committee Cllr Rice(Chair) Cllr Adamou Cllr McNamara</p> <p>Cllr Winskill (Vice-Chair) Cllr Newton</p>	<p>3 Lab, 2 Lib Dem. Plus co-opted Education representatives.</p>	<p><i>The Mayor or Members of the Cabinet may not be Members</i></p> <p>4 Standing Panels each comprising of 1 of the 4 OSC Members as Chairs of Panel, 1 Majority and 1 Minority Member + inviting in a non voting capacity between 3-7 non- executive members of the Council, and for any associated Children and Young People’s Scrutiny Review Panel, membership to include the statutory education representatives of OSC. The education representatives to attend the Overview and Scrutiny Committee meetings where reports from the Children and Young People’s Scrutiny Review Panel are considered.</p>	
<p>Standards Committee</p>			

COMMITTEE & MEMBERSHIP	NO. OF MEMBERS	SUBORDINATE BODIES	NO. OF MEMBERS
<p>(Statutory)</p> <p>(Until such time as the Localism Act 2011 abolishes the Committee, expected 1 July 2012)</p> <p>Cllr Egan Cllr Gibson Cllr McNamara Cllr Stanton</p> <p>Cllr Reece Cllr Whyte Cllr Winskill</p> <p>*Annabel Loyd (Ind) Rachael Hatch (Ind) Phillip Skinner (Ind) James Darbyshire (Ind) Andrea Rabe (Ind) Christopher Watts (Ind)</p> <p>*Term of office due to expire on 21 May 2012 – to be extended for the remaining period of current governance arrangements for Standards Committee (Statutory).</p> <p>Standards Committee (Non-Statutory)</p> <p>(To commence with the new ethical framework, expected 1 July 2012)</p> <p>Cllr Egan (Chair) Cllr Gibson Cllr Stanton</p> <p>Cllr Reece Cllr Whyte</p>	<p>The Mayor or Leader cannot be a member. No more than one Cabinet member may sit on the Cttee.</p> <p>To elect their own Chair & Vice Chair – must be an Ind Member</p> <p>Proportional representation</p> <p>3 Labour Members 2 Lib Dem Members</p>	<p>**At least one quarter of the membership must be independent</p> <p>**Independent voting Membership will cease on this Cttee. The terms of reference of the new Standards Committee will state the power to appoint non-voting, non paid independent co-opted members if it so wishes.</p>	
<p>Area Committees</p> <p><i>To comprise all Members</i></p>		<p>Crouch End, Hornsey and Stroud Green Cllr Butcher (LD)</p>	<p>Ward Members of Crouch End, Hornsey and Stroud Green</p>

COMMITTEE & MEMBERSHIP	NO. OF MEMBERS	SUBORDINATE BODIES	NO. OF MEMBERS
<i>of the relevant wards</i>		Cllr Gorrie (LD) Cllr Reece (LD) Cllr Reid (LD) Cllr Strang (LD) Chair Cllr Weber (Ind LD) Cllr Whyte (LD) Cllr Wilson (LD) Cllr Winskill (LD)	
		Muswell Hill Cllr Allison (LD) Cllr Beacham (LD) Cllr Bloch (LD) Cllr Davies (Ind) Cllr Engert (LD) Chair Cllr Erskine (LD) Cllr Hare (LD) Cllr Jenks (LD) Cllr Newton (LD) Cllr Scott (LD) Cllr Solomon (LD) Cllr Williams (LD)	Ward Members of Highgate, Muswell Hill, Fortis Green & Alexandra
		Northumberland Park & White Hart Lane Cllr Adje (Lab) Cllr Amin (Lab) Chair Cllr Bevan (Lab) Cllr Bull (Lab) Cllr Peacock (Lab) Cllr Stennett (Lab)	Ward Members of White Hart Lane and Northumberland Park
		Tottenham Hale & Seven Sisters Cllr Basu (Lab) Cllr Diakides (Lab) Cllr Goldberg (Lab) Cllr Kober (Lab) Cllr Reith (Lab) Chair Cllr Rice (Lab) Cllr Stanton (Lab) Cllr Vanier (Lab) Cllr Watson (Lab)	Ward Members of Tottenham Hale, Tottenham Green and Seven Sisters
		St. Ann's & Harringay Cllr Adamou (Lab) Cllr Alexander (LD) Cllr Brabazon (Lab) Chair Cllr Browne (Lab) Cllr Canver (Lab) Cllr Schmitz (LD)	Ward Members of St. Ann's & Harringay

COMMITTEE & MEMBERSHIP	NO. OF MEMBERS	SUBORDINATE BODIES	NO. OF MEMBERS
		West Green & Bruce Grove Cllr Dogus (Lab) Cllr Ejiofor (Lab) Chair Cllr Griffith (Lab) Cllr Khan (Lab) Cllr Mallett (Lab) Cllr McNamara (Lab)	Ward Members of Bruce Grove and West Green
		Wood Green Cllr Christophides (Lab) Chair Cllr Cooke (Lab) Cllr Demirci (Lab) Cllr Egan (Lab) Cllr Gibson (Lab) Cllr Meehan (Lab) Cllr Stewart (Lab) Cllr Strickland (Lab) Cllr Waters (Lab)	Ward Members of Noel Park, Bounds Green and Woodside

<u>Portfolio</u>
<u>Leader of the Council</u> Councillor Kober
<u>Cabinet Member for Health and Adult Services</u>

<u>Portfolio</u>
<u>and Deputy Leader of the Council</u> Councillor Vanier
<u>Cabinet Member for Finance and Carbon Reduction</u> Councillor Goldberg
<u>Cabinet Member for the Environment</u> Councillor Canver
<u>Cabinet Member for Childrens</u> Councillor Waters
<u>Cabinet Member for Economic Development and Social Inclusion</u> Councillor Strickland
<u>Cabinet Member for Communities</u> Councillor Watson
<u>Cabinet Member for Housing</u> Councillor Bevan

Cabinet Advisers

Cllr, Cabinet Adviser on Public Health
Cllr Anne Stennett, Cabinet Adviser on Customer Services
Cllr, Cabinet Adviser on Young People

Liberal Democrat Spokespeople

Children & Young People:	Cllr Katherine Reece
Communities:	Cllr Nigel Scott
Crime & Community Safety:	Cllr Martin Newton
Environment:	Cllr Jim Jenks
Resources:	Cllr Paul Strang
Health & Adult Social Care:	Cllr David Winskill
Housing:	Cllr Monica Whyte
Planning & Regeneration:	Cllr Jonathan Bloch

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Report for:	Council 16 July 2012	Item Number:	
Title:	The Ethical Framework		
Report Authorised by:	Bernie Ryan Head of Legal Services and Monitoring Officer <i>Bernie Ryan</i>		
Lead Officer:	Graham White Principal Project Lawyer		
Ward(s) affected:		Report for Key/Non Key Decisions:	
N/A		N/A	

1. Describe the issue under consideration

- 1.1 At the Annual Meeting on 21 May 2012 the Council approved a new Members' Code of Conduct and Arrangements for dealing with allegations that a member has breached the code, to come into effect when the ethical framework enacted in the Localism Act 2011 was brought into force.
- 1.2 It was anticipated that the implementation date would be 1 July 2012 but Regulations were awaited and it was noted that the documents were likely to require amendment in the light of those Regulations.
- 1.3 The Regulations were made on 6 June and came into effect on 1 July. They define 'disclosable pecuniary interests', which form the basis of the statutory requirements and in consequence amendments to the code are required, the details of which are explained in the body of the report.
- 1.4 The Commencement Order which accompanied the Regulations made provision for the implementation of various sections of the Localism Act in such a way as to arguably invalidate those codes and arrangements approved ahead of the Order by many Councils including Haringey, and it is necessary to formally approve the Arrangements afresh in addition to approving the amended code.



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1.5 Section 28 of the Localism Act requires the Council to appoint at least one 'Independent Person' following a public recruitment process, with the appointment receiving the approval of a majority of members of the Council. The recruitment process has been undertaken and a recommendation is made by the recruitment panel.

1.6 We are still awaiting Government guidance on issues such as disclosable pecuniary interests and given the timescale under which the Government has introduced these provisions and the levels of uncertainty that exist in relation to various aspects, it may be necessary to bring a further report on these matters to a later Council meeting

2. Cabinet Member introduction

N/A

3. Recommendations

3.1 It is recommended that with immediate effect:

- (i) The Members' Code of Conduct, attached at Appendix 1, be approved;
- (ii) The Arrangements for dealing with allegations that a member has failed to comply with the code, attached at Appendix 2, be approved;
- (iii) The terms of reference for the Standards Committee, Assessment Sub-Committee and Hearing Sub-Committee, attached at Appendix 3, be re-affirmed;
- (iv) The amendments to the Constitution consequential to the changes to the ethical framework, attached at Appendix 4, be approved;
- (v) Rachel Hatch and Christopher Watts be appointed as the Council's Primary and Secondary Independent Persons respectively for a period of four years pursuant to Section 28 of the Localism Act 2011;
- (vi) Remuneration for the Primary Independent Person be £1000 per annum and for the Secondary Independent Person £250 per annum from 1 July-30 June in any year, pro-rata from the date of appointment for 2012/13.

4. Other options considered

The Council is under statutory duties to adopt a code and attendant arrangements and to appoint at least one Independent Person and this report makes recommendations to fulfil those duties. No alternative courses of action would meet the statutory requirements.



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5. Background information

- 5.1 At the Annual Meeting on 21 May 2012 the Council approved a code of conduct and arrangements for dealing with allegations of failure to comply with the code, in prospect of the implementation of the ethical framework enacted in the Localism Act 2011. At that time Regulations were awaited to specify provisions not contained in the Act and to prescribe an implementation date.
- 5.2 The Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012 and The Localism Act 2011 (Commencement No 6 and Transitional, Savings and Transitory Provisions) Order 2012 were made on 6 June 2012. The Commencement Order took immediate effect and implemented the new ethical framework on 1 July 2012. The Regulations came into force on 1 July 2012.
- 5.3 As was anticipated, the Regulations do require some amendments to the code of conduct approved in May. Furthermore, due to the technical drafting of the Commencement Order, codes and arrangements which were approved prior to the Order by authorities, including Haringey, seeking to have the necessary documents in place to facilitate a seamless move to the new system upon implementation, may have been rendered invalid and the revised code and the attendant arrangements require fresh approval.

Code of Conduct

- 5.4 One of the main aspects of the statutory requirements was the registration and disclosure of disclosable pecuniary interests which were not defined in the Act beyond stating that such interests were those of a member, a member's spouse or civil partner or a person living with a member as a spouse or civil partner. It was left to Regulations to provide the details of those interests. The Regulations define disclosable pecuniary interests and that definition is inserted in the code verbatim.
- 5.5 Other changes made to the code approved in May are consequential or for the purposes of clarity and simplicity, so far as that is possible.
- 5.6 Whilst the Localism Act made provision regarding the registration and disclosure of disclosable pecuniary interests, dealing with other interests was left to the discretion of authorities. The Council has determined to retain as much of the current system as is possible under the new regime as it is largely understood and also it maintains high standards of conduct and the code has been drafted with that in mind.
- 5.7 Changes to the version of the code approved in May are as follows:

Explanatory Note

The former introduction has been re-ordered somewhat but is substantially similar. The requirement for a written undertaking to observe the code has



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been dropped as there is no longer a legal requirement for such an undertaking. This section includes a new clause stating that personal interests must be notified to the Monitoring Officer and declared at meetings only if prejudicial. The intention here is that whilst relevant personal interests should be disclosed in the Register of Members' Interests there would be no need to also make a declaration at a meeting unless the member concerned wishes to do so for the purposes of transparency.

1. The General Principles

No changes

2. Application of the Code

The only addition is the definition of a co-opted member as provided in the Localism Act.

3. General Obligations

No changes

4. Disclosable Pecuniary Interests

An additional paragraph has been added requiring members to fulfil all statutory obligations regarding the registration and disclosure of disclosable pecuniary interests and withdrawal from participation and voting.

5. Personal Interests

The scope of personal interests has been reduced significantly as many of the categories in the previous version of the code have become disclosable pecuniary interests. Disclosure for the Register of Members' Interests is limited to a range of outside bodies and to gifts and hospitality received of a value of at least £50. This is an increase from the previous limit of £25 set by the model code of conduct in 2000 and now outdated.

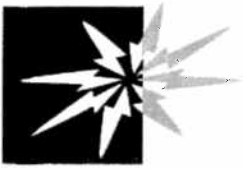
6. Prejudicial Interests

Re-drafted to make it easier to understand, though the provisions as to what turns a personal interest into a prejudicial one remain as previously.

7. Notification and registration of Members' Interests

The Localism Act requires the notification of disclosable pecuniary interests to the Monitoring Officer for entry onto the Register of Members' Interests within 28 days of becoming a member. That will not occur until after the next election in 2 years time so to ensure that an up to date register is established an additional provision has been included that notification of interests must be provided within 28 days of approval of the Code of Conduct i.e. by 12 August 2012.

A new paragraph has been added reminding members of their obligations to notify the Monitoring Officer of any disclosable pecuniary interest not registered or notified previously, within 28 days of making a declaration at a meeting and



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of the obligations when acting alone if a member becomes aware of any disclosable pecuniary interest. These are statutory requirements which carry criminal sanctions for failure to comply.

8.Sensitive Interests

No changes

9. Disclosure of Interests

Deletion of the paragraph requiring the declaration at meetings of personal interests not in the Register of Members' Interests whereby there is no mandatory disclosure of personal interests which are not prejudicial though members have a discretion to so declare if they wish.

Some paragraphs have been re-arranged to provide clarity.

10.Effect of disclosable pecuniary interest or prejudicial interest on participation

Minor drafting changes to provide clarity

Appendix A

A new appendix setting out in full the definition of disclosable pecuniary interests as provided in the Regulations.

Appendix B

A summary version of the criminal offences provided by the Localism Act for failure to comply with the various provisions.

5.8 The revised code contains all the statutory requirements in relation to disclosable pecuniary interests and in addition retains the essential basis of the current arrangements in respect of interests which are not disclosable pecuniary interests but should be registered or disclosed nevertheless, pursuant to the duty to promote and maintain high standards of conduct.

Arrangements for dealing with allegations that a member has failed to comply with the code

5.9 No change

Independent Persons

5.10 The Localism Act provides that all authorities must appoint at least one Independent Person whose views are to be sought and taken into account by the authority before it makes a decision on an allegation that it has decided to investigate and whose views may be sought by the authority upon an allegation at other stages of the process and by the member or co-opted member complained of.

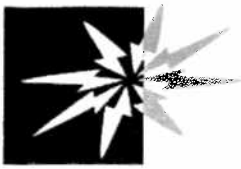


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- 5.11 The Act provides that anyone who is or has been a member, co-opted member or officer in the preceding 5 years is not eligible for appointment. The effect of this is to preclude the current Independent Members whose experience may be valuable to authorities and following representations from authorities and their representative bodies the Government has made transitional arrangements whereby a person who is not a member or co-opted member of the Standards Committee on 1 July 2012 but has held such a post during the 5 years ending on 30 June 2012 may be appointed prior to 1 July 2013. An Amendment Order was published on 2 July limiting the duration of any such appointment to not later than 1 July 2013, however if appointed before 24 July 2012 a former independent member can be appointed for such period as the authority thinks fit. As this meeting is on 16 July, the Council is at liberty to appoint the persons recommended for the term recommended below.
- 5.12 A person may not be appointed unless the vacancy has been advertised in such manner as the authority considers is likely to bring it to the attention of the public and the person has submitted an application.
- 5.13 In the report which was considered by Council on 21 May 2012, it was envisaged that one Independent Person would be appointed and also a Deputy to act in the event of the Independent Person being unavailable or conflicted. Annual remuneration of £1000 and £250 reflected the fact that the duties of the Independent Person would be much more extensive than the Deputy. However, the Act does not make provision for a Deputy to be appointed and consequently it will be necessary to appoint two Independent Persons though to distinguish between the level of duties and the remuneration it is proposed that they be designated Primary and Secondary Independent Persons.
- 5.14 A public advertisement was published on 25 May 2012 inviting applications by 15 June 2012 and a panel comprising the group whips and an independent member from the former Standards Committee interviewed candidates on 2 July 2012. The Panel recommends to Council that Rachel Hatch, formerly Independent Chair of the Standards Committee be appointed as Primary Independent Person and that Christopher Watts, formerly Independent Member of the Standards Committee be appointed as the Secondary Independent Person for a period of four years. The proposal to appoint for four years is consistent with the term of office of the former Independent Members and of elected members and is considered appropriate.

Standards Committee

- 5.16 At the Annual Meeting the Council approved terms of reference for a new Standards Committee and attendant sub-committees to come into being upon the abolition of the statutory Standards Committee. In view of the legal complications over the approval of documents prior to the coming into effect of the new ethical framework, in order to remove any doubt over the validity of the approval of the



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terms of reference, the Council is invited to re-affirm its decision. The terms of reference are Appendix 3 attached and are unchanged.

Consequential amendments to the Constitution

5.17 At the Annual Meeting in May 2012, the Council approved amendments to the Constitution consequential upon the changes to the ethical framework. These are attached at Appendix 4 with only one substantive change which provides that a member with a disclosable pecuniary interest or a prejudicial interest must, in addition to not participating or voting on the matter, must withdraw from the meeting room during the consideration of that business.

6. Comments of the Chief Finance Officer and financial implications

6.1 There are no new financial implications arising from the contents of this report. The Chief Finance Officer confirms that there is budget to cover the remuneration of the primary and secondary independent persons set out in recommendation 3(vi).

7. Head of Legal Services and legal implications

7.1 As this is the report of the Head of Legal Services and Monitoring Officer, all relevant legal implications have been taken into account in the compilation of this report and the drafting of the appendices.

8. Equalities and Community Cohesion Comments

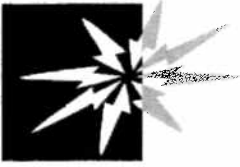
8.1 The Council has a public sector equality duty under Section 149 of the Equality Act 2010 to have due regard to the need to:

- tackle discrimination and victimisation of persons that share the characteristics protected under Section 4 of the Act. These include the characteristics of age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex (formerly gender) and sexual orientation;
- advance equality of opportunity between people who share those protected characteristics and people who do not;
- foster good relations between people who share those characteristics and people who do not.

8.2 Policy and Equalities Team have been consulted in the preparation of this report and they comment that the proposals outlined in this report carry no apparent implications for the any aspect of duty outlined above.

9. Head of Procurement Comments

N/A



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10. Use of Appendices

Appendix 1: Members' Code of Conduct.

Appendix 2: Arrangements for dealing with allegations that a member or co-opted member has failed to comply with the Members' Code of Conduct.

Appendix 3: Terms of reference of Standards Committee

Appendix 4: Consequential amendments to the Constitution.

11. Local Government (Access to Information) Act 1985

11.1 There are no background papers to this report

MEMBERS' CODE OF CONDUCT

Explanatory Note

This Code of Conduct, is adopted under powers contained in the Localism Act 2011 (“the 2011 Act”) , and contributes towards the discharge of the Council’s duty under the 2011 Act to promote and maintain high standards of conduct by elected and co-opted members of Haringey Council. It is consistent with the principles of public life which are prescribed in the 2011 Act and which are included in the Code at paragraphs 1.1 - 1.7 below.

The 2011 Act requires the Council’s Monitoring Officer to establish and maintain a register of interests of the members of the Council. It is a legal requirement that members must notify the Monitoring Officer of all their disclosable pecuniary interests within 28 days of becoming a member. Disclosable pecuniary interests are the member’s interests, those of the member’s spouse or civil partner, or person the member is living with as spouse or as if they were civil partners, and falling within categories specified in Regulations by the Secretary of State as set out at Appendix A. The Register of Members’ Interests will be available for inspection by the public at all reasonable hours and will be published on the Council’s website.

The 2011 Act has created a number of criminal offences for failure to comply with requirements relating to disclosable pecuniary interests. A summary of the offences is attached at Appendix B.

In addition to disclosable pecuniary interests personal interests as specified in the Code must be notified to the Monitoring Officer and declared at meetings if prejudicial in the manner described in the Code.

Part 1: GENERAL PROVISIONS

1. The General Principles

1.1 Selflessness

Members should serve only the public interest and should never improperly confer an advantage or disadvantage on any person.

1.2 Integrity

Members should not place themselves in situations where their integrity may be questioned, should not behave improperly and should on all occasions avoid the appearance of such behaviour.

1.3 Objectivity

Members should make decisions on merit, including when making appointments, awarding contracts, or recommending individuals for rewards or benefits.

1.4 Accountability

Members should be accountable to the public for their actions and the manner in which they carry out their responsibilities, and should co-operate fully and honestly with any scrutiny appropriate to their particular office.

1.5 Openness

Members should be as open as possible about their actions and those of their authority, and should be prepared to give reasons for those actions.

1.6 Honesty

Members should not place themselves in situations where their honesty may be questioned, should not behave improperly and should on all occasions avoid the appearance of such behaviour.

1.7 Leadership

Members should promote and support these principles by Leadership, and by example, and should act in a way that secures or preserves public confidence.

2. Application of the Code

- 2.1 This Code applies to you as a member of Haringey Council.
- 2.2 In your capacity as a member you should at all times adhere to the general principles set out at paragraphs 1.1 – 1.7 above.
- 2.3 It is your responsibility to comply with the provisions of this Code.
- 2.4 In this Code

"meeting" means any meeting of

(a) the Council; or

(b) the executive of the Council; or

(c) any of the Council's or its executive's committees, sub-committees, joint committees, joint sub-committees, or area committees;

"member" means an elected member and a co-opted member

"co-opted member" means a person who is not an elected member of the Council but who has been appointed to a committee or sub-committee of the Council or represents the Council on a joint committee or joint sub-committee of the Council and who is entitled to vote on any question that falls to be decided at any meeting of that committee or sub-committee.

- 2.5 You must comply with this Code whenever you are acting in your capacity as a member
- 2.6 This Code regards you as acting in your capacity as a member when you
- (a) conduct the business of your authority (which in this Code includes the business of the office to which you are elected or appointed); or
- (b) act as a representative of your authority,
- 2.7 This Code does not have effect in relation to your conduct other than where you are acting in your capacity as a member.
- 2.8 Where you act as a representative of your authority
- (a) on another relevant authority, you must, when acting for that other authority, comply with that other authority's code of conduct; or
- (b) on any other body, you must, when acting for that other body,

comply with your authority's code of conduct, except and insofar as it conflicts with any other lawful obligations to which that other body may be subject.

3. General Obligations

3.1 You must treat others with respect.

3.2 You must not

(a) do anything which may cause your authority to breach any of its equality duties;

(b) bully any person;

(c) intimidate or attempt to intimidate any person who is or is likely to be

(i) a complainant,

(ii) a witness, or

(iii) involved in the administration of any investigation or proceedings,

in relation to an allegation that a member (including yourself) has failed to comply with this Members' Code of Conduct; or

(d) do anything which compromises or is likely to compromise the impartiality of those who work for, or on behalf of, your authority.

3.3 You must not

(a) disclose information given to you in confidence by anyone, or information acquired by you which you believe, or ought reasonably to be aware, is of a confidential nature, except where

(i) you have the consent of a person authorised to give it;

(ii) you are required by law to do so;

(iii) the disclosure is made to a third party for the purpose of obtaining professional advice provided that the third party agrees not to disclose the information to any other person; or

(iv) the disclosure is

(aa) reasonable and in the public interest; and

(bb) made in good faith and in compliance with the reasonable requirements of the Council; or

- (b) prevent another person from gaining access to information to which that person is entitled by law.
- 3.4 You must not conduct yourself in a manner which could reasonably be regarded as bringing your office or your authority into disrepute
- 3.5 You must not use or attempt to use your position as a member improperly to confer on or secure for yourself or any other person, an advantage or disadvantage.
- 3.6 You must when using or authorising the use by others of the resources of your authority
 - (a) act in accordance with your authority's reasonable requirements;
 - (b) ensure that such resources are not used improperly for political purposes (including party political purposes);
 - (c) have regard to any applicable Local Authority Code of Publicity made under the Local Government Act 1986.
- 3.7 When reaching decisions on any matter you must have regard to any relevant advice provided to you by
 - (a) the Council's Chief Finance Officer; or
 - (b) the Council's Monitoring Officer,where that officer is acting pursuant to his/her statutory duties.
- 3.8 You must give reasons for all decisions you make in accordance with any statutory requirements and any reasonable additional requirements imposed by your authority.

PART 2: MEMBERS INTERESTS

4. Disclosable Pecuniary Interests

- 4.1 You have a disclosable pecuniary interest in any matter to be considered or being considered by your authority if it is of a description set out at Appendix A and either:
 - (a) it is an interest of yours, or
 - (b) it is an interest of
 - (i) your spouse or civil partner
 - (ii) a person with whom you are living as husband and wife or
 - (iii) a person with whom you are living as if you were civil partners

and you are aware that that other person has the interest.

- 4.2 You must comply with the statutory requirements to register, disclose and withdraw from participating and voting in respect of any matter in which you have a disclosable pecuniary interest

5. Personal Interests

- 5.1 You have a personal interest in any matter to be considered or being considered by your authority where your interest is not a disclosable pecuniary interest in that matter and either

(a) the matter relates to or is likely to affect

(i) any body of which you are a member or in a position of general control or management and to which you are appointed or nominated by the your authority;

(ii) any body

(aa) exercising functions of a public nature;

(bb) directed to charitable purposes; or

(cc) one of whose principal purposes includes the influence of public opinion or policy (including any political party or trade union),

of which you are a member or in a position of general control or management;

(iii) the interests of a person from whom you have received a gift or hospitality with an estimated value of at least £50 or

(b) a decision in relation to that matter might reasonably be regarded as affecting your well-being or financial position or the well-being or financial position of a relevant person to a greater extent than the majority of other council tax payers, ratepayers or inhabitants of the electoral division or ward, as the case may be, affected by the decision;

- 5.2 For the purpose of Paragraph 5.1(b) a “relevant person” is

(a) a member of your family or any person with whom you have a close association; or

(b) any person or body who employs or has appointed such persons, any firm in which they are a partner, or any company of which they are directors; or

(c) any person or body in whom such persons have a beneficial interest in a class of securities exceeding the nominal value of £25,000; or

(d) any body of a type described in Paragraph 5.1(a)(i) and (ii) above of which such persons are members or in a position of general control or management.

6. Prejudicial Interests

6.1 Where you have a **personal interest** in any matter to be considered or being considered by your authority you also have a prejudicial interest in that matter where the interest is one which a member of the public with knowledge of the relevant facts would reasonably regard as so significant that it is likely to prejudice your judgment of the public interest and where that matter

(a) affects your financial position or the financial position of a person or body described in Paragraph 5 above, or

(b) relates to the determining of any approval, consent, licence, permission, or registration in relation to you or any person or body described in Paragraph 5 above.

6.2 A personal interest in any matter to be considered or being considered by your authority is not a prejudicial interest where that matter relates to the functions of your authority in respect of

(i) housing, where you are a tenant of your authority provided that those functions do not relate particularly to your tenancy or lease;

(ii) school meals or school transport and travelling expenses, where you are a parent or guardian of a child in full time education, or are a parent governor of a school, unless it relates particularly to the school which the child attends;

(iii) statutory sick pay under Part XI of the Social Security Contributions and Benefits Act 1992, where you are in receipt of, or are entitled to the receipt of, such pay;

(iv) an allowance, payment or indemnity given to members;

(v) any ceremonial honour given to members;

(vi) setting council tax or a precept under the Local Government Finance Act 1992

PART 3: REGISTRATION OF MEMBERS' INTERESTS

7. Notification and Registration of Members' Interests

- 7.1 You must, within 28 days of adoption of this code or of becoming a member notify the Monitoring Officer of any disclosable pecuniary interest, or personal interest as set out in Paragraph 5 (1)(a) above, which you have, and the Monitoring Officer shall, subject to Paragraph 8 (Sensitive Interests) below, enter the interest(s) notified in the Council's Register of Members' Interests maintained under the Localism Act 2011.
- 7.2 You must, within 28 days of becoming aware of any new interest or change to any interest registered under Paragraph 7.1 above, register details of that new interest or change by providing written notification to the Monitoring Officer who shall, subject to Paragraph 8 (Sensitive Interests) enter the interests notified in the Register of Members' Interests.
- 7.3 You must observe the notification provisions relating to disclosable pecuniary interests which arise at meetings or when a member is acting alone which are set out at Paragraphs 9.4 and 9.6 respectively.

8. Sensitive Interests

- 8.1 Where you have an interest (whether or not a disclosable pecuniary interest) and the nature of it is such that you and the Monitoring Officer consider that disclosure of the details of the interest could lead to you or a person connected with you being subject to violence and intimidation if the interest is entered in the Register of Members' Interests then copies of the register available for inspection and any published version of the register must not include details of the interest but may state that you have an interest the details of which are withheld under section 32(2) of the Localism Act 2011.
- 8.2 If Paragraph 8.1 above refers to the entry of the interest in the Register of Members' Interests that provision is to be read as requiring you to disclose not the interest but merely the fact that the you have a disclosable pecuniary interest in the matter concerned.
- 8.3 You must, within 28 days of becoming aware of any change of circumstances which means that information excluded under Paragraph 8.1 above is no longer sensitive information, notify the Monitoring Officer asking that the information be included in the Register of Members' Interests.

PART 4: DISCLOSURE OF MEMBERS' INTERESTS AND EFFECT UPON PARTICIPATION AT MEETINGS

9. Disclosure of Interests

- 9.1 Subject to paragraphs 9.3 to 9.6 below, where you have a disclosable pecuniary interest or a prejudicial interest in any matter being considered by your authority and you are present at a meeting of the authority or of any committee, sub-committee, joint committee, or joint sub-committee of the authority at which the matter is considered, you must disclose to that meeting the existence and nature of that interest whether or not such interest is registered in the Register of Members' Interests or for which you have made a pending notification.
- 9.2 Where you have a personal interest in any matter being considered by your authority which is not a disclosable pecuniary interest nor a prejudicial interest and you are present at a meeting of the authority or of any committee, sub-committee, joint committee, or joint sub-committee of the authority, at which the matter is considered, you are under no obligation to make a disclosure to the meeting but you may do so if you wish.
- 9.3 Paragraphs 9.1 - 9.2 above only apply where you are aware or ought reasonably to be aware of the existence of the interest.
- 9.4 Where you have disclosed a disclosable pecuniary interest pursuant to Paragraph 9.1 above, and that interest is not registered in the Register of Members' Interests and is not the subject of a pending notification, you must notify the Monitoring Officer of the interest before the end of 28 days beginning with the date of the disclosure.
- 9.5 Where you have an interest in any matter to be considered or being considered by your authority which would be disclosable by virtue of Paragraph 9.1 but by virtue of Paragraph 9 (Sensitive Interests) details of the interest are not registered in the Council's published Register of Members' Interests, at a meeting at which you are present you must disclose the fact that you have a disclosable interest in the matter concerned but details of that interest need not be disclosed.
- 9.6 If a function of the Council may be discharged by a **member acting alone** and you have a disclosable pecuniary interest or a prejudicial interest in any matter to be dealt with or being dealt with in the course of discharging that function:
- (a) if the interest is a **disclosable pecuniary interest** and is not registered in the Register of Members' Interests and is not the subject of a pending notification, you must notify the Monitoring Officer of the interest before the end of 28 days beginning with the date upon which you first became aware of having the interest in relation to the matter;

(b) you must not take any steps or any further steps in relation to the matter (except for the purpose of enabling the matter to be dealt with otherwise than by yourself).

10. Effect of Disclosable Pecuniary Interest or Prejudicial Interest on participation at meetings

10.1 Subject to Paragraph 10.3, if you are present at a meeting and you have a **disclosable pecuniary interest or a prejudicial interest** in any matter to be considered, or being considered at the meeting;

(a) You may not participate, or participate further, in any discussion of the matter at the meeting, or

(b) participate in any vote, or further vote, taken on the matter at the meeting, and

(c) You must withdraw from the room or chamber where the meeting considering the matter is being held,

unless you have obtained a dispensation from your authority's Standards Committee.

10.2 Subject to Paragraph 10.3, if you are present at a meeting and you have a **disclosable pecuniary interest or a prejudicial interest** in any matter to be considered, or being considered at the meeting;

(a) You may not exercise executive functions in relation to that matter, or

(b) seek improperly to influence a decision about that matter.

10.3 Where you have a **prejudicial interest** in any matter to be considered or being considered at a meeting of your authority, you may attend a meeting (including a meeting of the overview and scrutiny committee of your authority or of a sub-committee of such a committee) but only for the purpose of making representations, answering questions or giving evidence relating to the matter, provided that the public are also allowed to attend the meeting for the same purpose, whether under a statutory right or otherwise.

Appendix A

Disclosable Pecuniary Interests

In the extract from the Regulations * below, 'M' means the member and 'relevant person' means the member, the member's spouse or civil partner or a person with whom the member is living with as spouse or as if they were civil partners.

<u>Subject</u>	<u>Prescribed description</u>
Employment, office, trade, profession or vocation	Any employment, office, trade, profession or vocation carried on for profit or gain.
Sponsorship	Any payment or provision of any other financial benefit (other than from the relevant authority) made or provided within the relevant period in respect of any expenses incurred by M in carrying out duties as a member, or towards the election expenses of M. This includes any payment or financial benefit from a trade union within the meaning of the Trade Union and Labour Relations (Consolidation) Act 1992
Contracts	Any contract which is made between the relevant person (or a body in which the relevant person has a beneficial interest) and the relevant authority— (a) under which goods or services are to be provided or works are to be executed; and (b) which has not been fully discharged.
Land	Any beneficial interest in land which is within the area of the relevant authority.
Licences	Any licence (alone or jointly with others) to occupy land in the area of the relevant authority for a month or longer.

Corporate tenancies	Any tenancy where (to M's knowledge)— (a) the landlord is the relevant authority; and (b) the tenant is a body in which the relevant person has a beneficial interest.
Securities	Any beneficial interest in securities of a body where— (a) that body (to M's knowledge) has a place of business or land in the area of the relevant authority; and (b) either— (i) the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body; or (ii) if the share capital of that body is of more than one class, the total nominal value of the shares of any one class in which the relevant person has a beneficial interest exceeds one hundredth of the total issued share capital of that class.

*The Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012 specify the interests above as disclosable pecuniary interests for the purposes of Chapter 7 of Part 1 of the Localism Act 2011.

The Regulations provide the following definitions of terms used in the specification of disclosable pecuniary interests:

“the Act” means the Localism Act 2011;

“body in which the relevant person has a beneficial interest” means a firm in which the relevant person is a partner or a body corporate of which the relevant person is a director, or in the securities of which the relevant person has a beneficial interest;

“director” includes a member of the committee of management of an industrial and provident society;

“land” excludes an easement, servitude, interest or right in or over land which does not carry with it a right for the relevant person (alone or jointly with another) to occupy the land or to receive income;

“M” means a member of a relevant authority.

“member” includes a co-opted member;

“relevant authority” means the authority of which M is a member;

“relevant period” means the period of 12 months ending with the day on which M gives a notification for the purposes of section 30(1) or 31(7), as the case may be, of the Act;

“relevant person” means M or any other person referred to in section 30(3)(b) of the Act;

“securities” means shares, debentures, debenture stock, loan stock, bonds, units of a collective investment scheme within the meaning of the Financial Services and Markets Act 2000 and other securities of any description, other than money deposited with a building society.

Offences

It is a criminal offence to

- Fail to notify the Monitoring Officer of any disclosable pecuniary interest within 28 days of election
- Fail to disclose a disclosable pecuniary interest at a meeting if it is not on the register
- Fail to notify the Monitoring Officer within 28 days of a disclosable pecuniary interest that is not on the register that you have disclosed to a meeting
- Participate in any discussion or vote on a matter in which you have a disclosable pecuniary interest
- As an executive member discharging a function acting alone, and having a disclosable pecuniary interest in such a matter, failing to notify the Monitoring Officer within 28 days of becoming aware of the interest.
- Knowingly or recklessly providing information that is false or misleading in notifying the Monitoring Officer of a disclosable pecuniary interest or in disclosing such interest to a meeting
- As an executive member discharging a function alone, takes steps or further steps in relation to the matter (except for the purpose of enabling the matter to be dealt with otherwise than by the member)

The criminal penalties available to a court are to impose a fine not exceeding level 5 on the standard scale and disqualification from being a councillor for up to 5 years.

Arrangements for dealing with allegations that a member or co-opted member of Haringey Council has failed to comply with the Members' Code of Conduct

1. Introduction

- 1.1 These arrangements set out how an allegation may be made that an elected member or a co-opted member of Haringey Council has failed to comply with the Members' Code of Conduct and how the Council will deal with such allegations.
- 1.2 Under Section 28 of the Localism Act 2011 the Council must have arrangements in place whereby allegations can be investigated and decisions upon them can be made. In addition the arrangements must provide for the Council to appoint at least one Independent Person whose views must be sought and taken into account by the Council before it makes a decision and who may be consulted by the Council at other stages in the process or by the member or co-opted member against whom an allegation has been made. These arrangements fulfil the Council's statutory obligations.
- 1.3 In these Arrangements a number of terms are used which have the following meanings:

Member	An elected Councillor
Co-opted Member	A person who is not an elected member of the Council but has been appointed to a committee or sub-committee of the Council.
Monitoring Officer	A officer of the Council designated under section 5 of the Local Government and Housing Act 1989 to undertake the statutory duties prescribed which include ensuring that the Council and its members and officers act lawfully at all times. Under Section 29 of the Localism Act 2011 the Monitoring Officer must establish and maintain a register of interests of members and co-opted members.
Investigating Officer	An appropriate person appointed by the Monitoring Officer to conduct an

investigation into an allegation.

Independent Person	A person appointed by the Council pursuant to Section 28 of the Localism Act 2011, whose views are sought and taken into account before decisions upon allegations against members are taken and who may be consulted by a member who is the subject of allegations or the Council, generally.
Standards Committee	A committee of members responsible for promoting and maintaining high standards of member conduct within the Council.
Assessment Sub-Committee	A Sub-Committee of Standards Committee established to decide whether allegations against members are worthy of being investigated.
Hearing Sub-Committee	A Sub-Committee of Standards Committee established to conduct hearings which Standards Committee may require into allegations against members and to determine such allegations following a hearing.

2. The Members' Code of Conduct

2.1 The Council has adopted a Members' Code of Conduct which is available for inspection on the Council's website and on request from the Monitoring Officer.

3. The Independent Person

3.1 Pursuant to Section 28 of the Localism Act 2011, the Council shall appoint an Independent Person. The person appointed shall have responded to a public advertisement for the vacancy and submitted an application for the post. The appointment of the successful applicant shall be approved by a majority of the members of the Council.

3.2 The views of the Independent Person shall be sought and taken into account by the Standards Committee/Hearing Sub-Committee before it makes its decision on an allegation which it has decided to investigate.

3.3 The views of the Independent Person may be sought:

- (i) by the Standards Committee/Assessment Sub-Committee/Hearing Sub-Committee or by the Monitoring Officer/Investigating Officer in relation to an allegation in circumstances not within paragraph 3.2, above.
- (ii) by a member or co-opted member of the Council if that person's behaviour is the subject of an allegation.

4. Making an allegation

4.1 An allegation that a member or a co-opted member of Haringey Council has failed to comply with the Members' Code of Conduct should be made to:

Bernie Ryan
Monitoring Officer
Haringey Council
7th Floor
Alexandra House
10 Station Road
London
N22 7TR

Tel: 0208 489 3974

or email:

bernie.ryan@haringey.gov.uk

4.2 It is important that a person making an allegation provides his/her name and a contact address or email address, so that the Council can acknowledge receipt of the allegation and keep the person informed of its progress. The person must indicate if he/she wants to keep his/her name and address confidential and the Monitoring Officer will consider any such requests.

4.3 The Council does not normally investigate anonymous allegations unless there is a clear public interest in doing so.

4.4 The Monitoring Officer will acknowledge receipt of an allegation within five clear working days of receiving it and will keep the person making the allegation informed of progress.

5. Assessment of an allegation

5.1 The Monitoring Officer will review every allegation received and may consult the Independent Person before taking a decision as to

whether or not it merits reference to the Assessment Sub-Committee of the Standards Committee.

- 5.2 If the Monitoring Officer requires additional information in order to reach a decision, he/she may revert to the person making the allegation for such information and may request information from the member against whom the complaint is directed. If the person making the allegation fails to provide the additional information requested the allegation may be dismissed by the Monitoring Officer pursuant to paragraph 5.3 (c), below.
- 5.3 The Monitoring Officer may determine that an allegation does not merit any further action, where:
 - (a) The allegation does not demonstrate a breach of the Members' Code of Conduct; for example it relates to a member's private life to which the Code does not apply or it is about dissatisfaction with a Council decision, or
 - (b) It is about someone who is no longer a member or a co-opted member of the Council, or
 - (c) There is insufficient evidence upon which to investigate and/or the person making the allegation has failed to co-operate with the Monitoring Officer to specify the allegation sufficiently.
 - (d) The same or a similar allegation has been investigated and determined, or
 - (e) It is an anonymous allegation which does not include sufficient documentary evidence to indicate a significant breach of the Member's Code of Conduct, or
 - (f) The Monitoring Officer facilitates an informal resolution without the need for a formal investigation. This may involve the member accepting that his/her conduct was unacceptable and offering an apology or other remedial action by the Council. If the Monitoring Officer considers an offer of informal resolution is reasonable but the person making the allegation is not willing to accept it, the allegation will be referred to the Standards Committee for determination.
- 5.4 Except as provided for in Paragraph 5.3 above, the Monitoring Officer shall refer all allegations to the Assessment Sub-Committee for consideration.
- 5.5 The Assessment Sub-Committee shall determine whether the allegation:
 - (a) merits no further investigation and is dismissed, or

(b) merits further investigation.

5.6 The Assessment Sub-Committee may determine that an allegation merits no further investigation for whatever reasons it thinks fit, but it may have regard to the criteria set out in Paragraph 5.3 above and to the following additional criteria:

(a) The allegation is not considered sufficiently serious to warrant investigation, or

(b) The allegation appears to be motivated by malice or is 'tit-for-tat', or

(c) The allegation appears to be politically motivated, or

(d) The matter about which the allegation is made took place more than three months prior to receipt of the allegation unless there are exceptional circumstances or it is otherwise appropriate to investigate.

5.7 Where the Assessment Sub-Committee considers that an allegation merits further investigation, the Monitoring Officer shall undertake such investigation and report to the Standards Committee.

5.8 The decision as to whether or not an allegation be investigated will normally be taken within thirty clear working days from receipt. The Monitoring Officer will inform the person making the allegation of that decision and if the allegation is to be investigated, an indication of the timescale for the investigation and the likely meeting of the Standards Committee to which the report will be submitted. The Monitoring Officer will keep the person informed if the initial timetable changes substantially,

6. The Investigation

6.1 The Monitoring Officer may conduct the investigation personally or may appoint an Investigating Officer, who may be another senior officer of the Council, an officer of another authority or an external investigator.

6.2 The Monitoring Officer/Investigating Officer will decide if he/she needs to meet or speak to the person making the allegation to understand the nature of the allegation and so that the person can explain his/her understanding of events and identify what documents he/she considers the Monitoring Officer/Investigating Officer needs to see and who he/she considers the Monitoring Officer/Investigating Officer needs to interview.

- 6.3 The Monitoring Officer/Investigating Officer will normally write to the member against whom the complaint is made and provide him/her with a copy of the complaint and ask the member to provide his/her explanation of events and to identify what documents he/she considers the Monitoring Officer/Investigating Officer needs to see and who he/she considers the Monitoring Officer/Investigating Officer needs to interview. Where it is appropriate to keep confidential the identity of the person making the allegation the Monitoring Officer/Investigating Officer will delete the person's name and address from the papers given to the member. Where disclosure of details of the allegation to the member might prejudice the investigation, the Monitoring Officer/Investigating Officer may delay notifying the member until the investigation has progressed sufficiently.
- 6.4 Having considered all relevant documentation identified and having interviewed all relevant witnesses, at the end of the investigation the Monitoring Officer/Investigating Officer will produce a draft report and may where appropriate send copies of that draft report, in confidence, to the person making the allegation and to the member concerned, to give both an opportunity to identify any matter in that report with which there is disagreement or which is considered to require more consideration.
- 6.5 Where an Investigating Officer has been appointed, having received and taken account of any comments which the person making the allegation and/or the member have made on the draft report, the Investigating Officer will send his/her final report (the Investigation Report) to the Monitoring Officer for the latter's consideration prior to onward transmission to the Standards Committee. If the Monitoring Officer is not satisfied that the investigation has been conducted properly, he/she may ask the Investigating Officer to reconsider the report or may appoint a new Investigating Officer.
- 6.6 Where the Monitoring Officer has undertaken the investigation personally, having received and taken account of any comments which the person making the allegation and/or the member have made on the draft report, and where appropriate having sought to achieve an informal resolution pursuant to paragraph 8.1 below, the Monitoring Officer shall submit the Investigation Report to the Standards Committee and the Independent Person.
- 7. Where the Monitoring Officer/Investigating Officer concludes that there is no evidence of a failure to comply with the Code of Conduct.**
- 7.1 When the Standards Committee receives an Investigation Report which recommends that there is no evidence of failure to comply with the Members' Code of Conduct, the Committee may:

(a) accept the recommendation, resolve that no further action is required and dismiss the allegation, or

(b) remit the report to the Monitoring Officer for further consideration, or

(c) remit the complaint to the Hearing Sub-Committee to conduct a hearing for the consideration of the allegation and the Investigation Report and to determine the allegation.

7.2 Prior to making a determination under Paragraph 7.1 above, the Standards Committee shall seek and shall take into account the views of the Independent Person.

8. Where the Monitoring Officer/Investigating Officer concludes that there is evidence of failure to comply with the Code of Conduct.

8.1 Where an Investigation Report concludes that there is evidence of failure to comply with the Code of Conduct the Monitoring Officer may consider that the matter can reasonably be resolved without the need for a hearing. In such a case, he/she will consult the Independent Person and the person making the allegation and seek to agree what the person considers to be a fair resolution which also helps to ensure higher standards of conduct for the future. Such resolution may include the member accepting that his/her conduct was unacceptable and offering an apology, and/or other remedial action by the Council. If the member complies with the suggested resolution, the Monitoring Officer will report the matter to the Standards Committee which will note the outcome but take no further action.

8.2 If the Monitoring Officer considers that an informal resolution is not appropriate, or the member concerned is not prepared to undertake any proposed remedial action, such as giving an apology, then the Monitoring Officer will submit the Investigation Report to the Standards Committee. The Committee may:

(a) note the recommendation and remit the allegation to the Hearing Sub-Committee to conduct a hearing for the consideration of the allegation and the Investigation Report and to determine the allegation, or

(b) remit the report to the Monitoring Officer for further action including, where the Committee considers it appropriate, to pursue an alternative informal resolution. If the further action does not achieve a resolution, the Monitoring Officer may refer the allegation and the Investigation Report to the Hearing Sub-Committee.

9. The Hearing

- 9.1 Wherever possible hearings conducted by the Hearing Sub-Committee shall take place within three calendar months of the referral to the Hearing Sub-Committee.
 - 9.2 At the hearing, the Monitoring Officer/Investigating Officer will present the Investigation Report, call such witnesses as he/she considers necessary and make representations to substantiate his/her conclusion that the member has failed to comply with the Code of Conduct. For this purpose, the Monitoring Officer/Investigating Officer may request the person making the allegation to attend and give evidence to the Standards Committee.
 - 9.3 The member will then have an opportunity to give his/her evidence, to call witnesses and to make representations to the Standards Committee as to why he/she considers that he/she did not fail to comply with the Members' Code of Conduct.
 - 9.4 Full details of the process to be undertaken at the hearing are contained in the Hearing Procedure note comprising Appendix A to these arrangements.
 - 9.5 The Hearing Sub-Committee, having sought and taken into account the views of the Independent Person may conclude:
 - (a) that the member did not fail to comply with the Members' Code of Conduct, and dismiss the complaint, or
 - (b) that the member did fail to comply with the Members' Code of Conduct.
 - 9.6 In the event of a finding under Paragraph 9.4 (b) above, the Chair will inform the member of this finding and the Hearing Sub-Committee will then consider what action, if any, it should take as a result of the member's failure to comply with the Members' Code of Conduct. In doing this, the Hearing Sub-Committee will give the member an opportunity to make representations to the Sub-Committee as to whether any action should be taken and what form any action should take and will seek and take into account the views of the Independent Person. It will then decide what action, if any, to take in respect of the matter.
- 10. Action which may be taken where a member has failed to comply with the Code of Conduct**
- 10.1 Having determined that a member has failed to comply with the Members' Code of Conduct, the Hearing Sub-Committee may:
 - (a) Publish its findings in respect of the member's conduct;
 - (b) Report its findings to Council for information;

- (c) Issue the member with a formal censure or be reprimanded a report of which may be submitted to Council
- (d) Recommend to the member's Group Leader (or in the case of ungrouped members, recommend to Council or to Committees) that he/she be removed from any or all Committees or Sub-Committees of the Council;
- (e) Recommend to the Leader of the Council that the member be removed from the Cabinet, or removed from particular Portfolio responsibilities;
- (f) Instruct the Monitoring Officer to arrange training for the member;
- (g) Recommend to Council or Cabinet (as appropriate) that the member be removed from outside appointments to which he/she has been appointed or nominated by the Council/Cabinet
- (h) Withdraw facilities provided to the member by the Council, such as a computer, website and/or email and internet access; or
- (i) Exclude the member from the Council's Offices or other premises, with the exception of meeting rooms as necessary for attending Council, Cabinet, Committee and Sub-Committee meetings.
- (j) Any other appropriate sanction which may be available to the Sub-Committee.

10.2 The Hearing Sub-Committee has no power to suspend or disqualify the member or to withdraw members' or special responsibility allowances.

10.3 At the end of the hearing, the Chair shall state the decision of the Hearing Sub-Committee as to whether the member failed to comply with the Code of Conduct and as to any action which the Sub-Committee has resolved to take.

10.4 As soon as reasonably practicable thereafter, the Monitoring Officer shall prepare a formal decision notice after consultation with the Chair of the Hearing Sub-Committee, and send a copy to the person making the allegation, to the member concerned, make that decision

notice available for public inspection and report the decision to the next convenient meeting of the Council.

11 Appeals

11.1 There is no right of appeal for either the person making the allegation or for the member against whom the allegation is made, against a decision of the Monitoring Officer or of the Standards Committee/Assessment Sub-Committee/Hearing Sub-Committee

11.2 If a person making the allegation considers that the Council has failed to deal with an allegation properly, he/she may make a complaint to the Local Government Ombudsman.

Appendix A

Hearing Procedure

The model procedure which follows comprises good and equitable practice and should be followed closely wherever possible. There may be occasions when circumstances require variations and subject to the maintenance of the principles of natural justice these may be effected at the discretion of the Hearing Sub-Committee and advised to the parties

1. The Chair shall facilitate introductions and explain the procedure for the hearing.
2. The Monitoring Officer/Investigating Officer shall be invited to present his/her Investigation Report including any documentary evidence or other material and to call witnesses as required. This report and documentary and witness evidence must be based on the allegation made to the Council; no new or additional matters will be allowed.
3. The Member against whom the allegation has been made or his/her representative may question the Monitoring Officer/Investigating Officer upon the content of the Investigation Report and any witnesses called by the Monitoring Officer/Investigating Officer. This is the Member's opportunity to ask questions arising from the Investigation Report and the direct evidence and not to make a statement.
5. Members of the Sub-Committee may question the Monitoring Officer/Investigating Officer upon the content of the Investigation Report and any witnesses called by the Monitoring Officer/Investigating Officer
6. The Member against whom the allegation has been made or his/her representative may present his/her case and call witnesses as required.
7. The Monitoring Officer/Investigating Officer may question the Member and any witnesses
8. Members of the Sub-Committee may question the Member and any witnesses.

9. The Monitoring Officer/Investigating Officer may sum up the investigation into the allegation and make a closing speech.
10. The Member or his/her representative may sum up his/her case and make a closing speech.
11. The Chair shall invite the parties to withdraw to enable the Sub-Committee to deliberate upon the allegation. Prior to reaching a determination the Sub-Committee shall seek and take into account the views of the Independent Person.
12. The parties shall be invited to return and the Chair shall announce the Sub-Committee's decision in the following terms:-
 - (a) The Sub-Committee has determined that the Member has failed to comply with the Code of Conduct, or
 - (b) The Sub-Committee has determined that the Member has not failed to comply with the Code of Conduct and the allegation is dismissed.

The Sub-Committee will give reasons for its decision.

13. If the Sub-Committee has determined that the Member has failed to comply with the Code of Conduct it shall consider any representations from the Member as to whether any action should be taken and what form any action should take.
14. The Chair shall invite the parties to withdraw to enable the Sub-Committee to deliberate upon what action if any should be taken. Prior to reaching a determination the Sub-Committee shall seek and take into account the views of the Independent Person.
15. In addition to any action upon the current matter, the Sub-Committee shall consider whether in consequence it should make recommendations to the Council with a view to promoting high standards of conduct amongst Members.
15. The parties shall be invited to return and the Chair shall announce the Sub-Committee's decision
16. A full written decision shall be issued to the Complainant and the Member within ten clear working days following the hearing and shall be published.

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Terms of Reference

Standards Committee

The Standards Committee will have the following roles and functions:

- (a) Promoting and maintaining high standards of conduct by Councillors, co-opted members and representatives of religious organisations and parent governor representatives;
- (b) Assisting the Leader, Councillors, co-opted members and representatives of religious organisations and parent governor representatives to observe the Members' Code of Conduct;
- (c) Advising the Council on the adoption or revision of the Members' Code of Conduct;
- (d) Monitoring the operation of the Members' Code of Conduct;
- (e) Advising, training or arranging to train Councillors and co-opted members on matters relating to the Members' Code of Conduct and the ethical framework;
- (f) Granting dispensations to Councillors and co-opted members from requirements relating to interests set out in the Members' Code of Conduct;
- (g) Advising the Council on codes and protocols forming the Council's ethical framework and its governance arrangements, monitoring the effectiveness of those arrangements and making reports and recommend
- (h) Assessing, hearing and determining allegations of failure to comply with the Members' Code of Conduct by Councillors and co-opted Members.
- (i) Responding to national reviews and consultations on standards related issues;
- (j) Granting exemptions for politically restricted posts and giving directions to include a post on the list of restricted posts;
- (k) Advising the Council on the appointment of independent Persons and taking steps to select them.

[Article 9.02 of the Council's Constitution provides that the Standards Committee will be composed of councillors appointed on the basis of political balance. In addition the Standards Committee may appoint up to six non-voting co-opted members.]

Assessment Sub-Committees

To consider all allegations referred to the Sub-Committee by the Monitoring Officer that a member or co-opted member has failed to comply with the Members' Code of Conduct and to determine whether the allegation:

- (a) Merits no further action and is dismissed, or
- (b) Merits further investigation.

The quorum of the Assessment Sub-Committee is three.

Hearing Sub-Committees

- (a) To conduct hearings into allegations referred for investigation by the Assessment Sub-Committee that a member or co-opted member has failed to comply with the Members' Code of Conduct.
- (b) To determine whether or not the member or co-opted member did / did not fail to comply with the Members' Code of Conduct.
- (c) Where the Sub-Committee has determined that a member has failed to comply with the Members' Code of Conduct to take such action as it may lawfully take.
- (d) In consequence of the hearing and determination of any allegation to make recommendations to the Council with a view to promoting high standards of conduct amongst members.

The quorum of the Hearing Sub-Committee is three.

[Articles 9.04 and 9.07 of the Council's Constitution provide that the two sub-committees will be composed of councillors appointed on the basis of political balance.]

PART TWO - ARTICLES OF THE CONSTITUTION

(NB. Extract only of relevant Articles of the Constitution)

Article 7 - The Leader and the Cabinet

7.02 The Leader will hold office until:

- (a) He/she resigns from the office; or
- ~~(b) He/she is suspended from being a councillor under Part III of the Local Government Act 2000 (although he/she may resume office at the end of the period of suspension); or~~
- (b) He/she is disqualified from being a councillor by order of a court;
- (c) He/she is no longer a councillor; or
- (d) He/she is removed from office by resolution of the Council under Article 7.06; or
- (e) The Annual Meeting following the Council Elections after his/her election as Leader.

7.04 Form and Composition

The Cabinet will consist of the Cabinet Leader together with between 2 and 9 other councillors ("Cabinet Members") appointed to the Cabinet by the Leader but may not include the Mayor or Deputy Mayor

7.05 Other Cabinet Members

- (i) The Leader will determine the number of Cabinet Members to be appointed which will be between 2 and 9 (in addition to the Leader).
- (ii) Each Cabinet Member will be appointed by the Leader to cover one of the specific portfolio responsibilities (other than those reserved to the Leader) determined by the Leader. The Leader or a Cabinet Member must hold the specific statutory portfolio responsibility for Children's Services and a separate portfolio responsibility for Adult Services.
- (iii) One Cabinet Member will be appointed as Deputy Leader with power to exercise the Leader's functions in the event that the Leader is unable to act or the office is vacant.
- (iv) Individual Cabinet Members may exercise powers delegated by The Leader within their specific portfolio responsibilities as set out in Part 3.
- (v) Cabinet Members shall hold office until one of the events (a) to (e) ~~(d)~~ below:

PART TWO - ARTICLES OF THE CONSTITUTION

- (a) They resign from office; or
- ~~(b) They are suspended from being councillors under Part III of the Local Government Act 2000 (although they may resume office at the end of the period of suspension); or~~
- (b) They are disqualified from being councillors by order of a court;
- (c) They are no longer councillors; or
- (d) They are removed from office, either individually or collectively, by the Leader; or
- (e) The Annual Meeting following the Council Elections after their appointment.

7.09 Responsibility for Functions

- (i) The Leader may discharge all the Council's "executive" functions or may arrange for the discharge of any of those functions by:
 - (a) The Cabinet;
 - (b) A Cabinet Member;
 - (c) A Committee of the Cabinet;
 - (d) An Area Committee; or
 - (e) An officer

(ii) The Leader will maintain a list in this Constitution or the appendices to it setting out which individual Cabinet Members, Committees of the Cabinet or officers are responsible for the exercise of particular Cabinet functions.

Article 9 - The Standards Committee**9.01 Standards Committee**

The Council meeting will establish a Standards Committee to promote and maintain high standards of conduct by Members and Co-opted Members of the Council.

9.02 Composition

The Standards Committee will be composed of Councillors appointed on the basis of political balance. In addition, the Standards Committee may appoint up to six non-voting co-opted members. ~~who may not include the Leader or Mayor or more than one Cabinet Member and the independent members none of whom are Councillors or officers of the Council or any other body having a Standards Committee. At least one quarter of the membership of the Committee must be independent members.~~

PART TWO - ARTICLES OF THE CONSTITUTION

- ~~(a) **Membership.** The Standards Committee is composed of Councillors and independent members~~
- ~~(b) **Independent members.** Independent members will be entitled to vote at meetings;~~
- ~~(c) **Chairing the Committee.**~~
 - ~~(i) The Committee selects its own Chair from among the Independent Members.~~
 - ~~(ii) The Chair of the Standards Committee will have a casting vote in the event of a vote being tied.~~
- ~~(d) **Quorum.** The quorum *of the Standards Committee* is three, including a Chair from among the independent members and at least one Councillor.~~

9.03 Role and Function

The Standards Committee will have the following roles and functions:

- (a) Promoting and maintaining high standards of conduct by Councillors, co-opted members and representatives of religious organisations and parent governor representatives;
- (b) Assisting the Leader, Councillors, co-opted members and representatives of religious organisations and parent governor representatives to observe the Members' Code of Conduct;
- (c) Advising the Council on the adoption or revision of the Members' Code of Conduct;
- (d) Monitoring the operation of the Members' Code of Conduct;
- (e) Advising, training or arranging to train Councillors and co-opted members on matters relating to the Members' Code of Conduct and the ethical framework;
- (f) Granting dispensations to ~~the Elected Mayor~~, Councillors and co-opted members from requirements relating to interests set out in the Members' Code of Conduct;
- (g) Advising the Council on codes and protocols forming the Council's ethical framework and its governance arrangements, monitoring the effectiveness of those arrangements and making reports and recommendations accordingly;
- ~~(h) Carrying out an assessment and review function for all complaints received by the Council against Councillors and co-opted members;~~
- ~~(i) Dealing with any reports from a case tribunal or interim case tribunal and any report from the Monitoring Officer on any matter which is referred by the Ethical Standards Officer to the Monitoring Officer;~~
- ~~(j) Hearing complaints against Councillors and co-opted members made to the Council or referred back from the Standard Board for England;~~

PART TWO - ARTICLES OF THE CONSTITUTION

- ~~(k)~~ Hearing complaints against co-opted members arising from alleged breaches of Codes and Protocols falling outside the jurisdiction of the Standards Board for England;
- ~~(h)~~ Assessing, hearing and determining allegations of failure to comply with the Members' Code of Conduct by Councillors and co-opted Members.
- ~~(i)~~ (i) Responding to national reviews and consultations on standards related issues;
- ~~(j)~~ (j) Granting exemptions for politically restricted posts and giving directions to include a post on the list of restricted posts;
- ~~(k)~~ (k) Advising the Council on the appointment of independent persons ~~members~~ and taking steps to select them.

9.04 Assessment Sub-Committee

The Standards Committee will establish an Assessment Sub-Committee to assess allegations that a member or co-opted member has failed to comply with the Members' Code of Conduct, as referred by the Monitoring Officer.

9.05 Composition

The Assessment Sub-Committee will be composed of Councillors appointed on the basis of political balance.

9.06 Role and Function

The Assessment Sub-Committee will have the following roles and functions:

To consider all allegations referred to the Sub-Committee by the Monitoring Officer that a member or co-opted member has failed to comply with the Members' Code of Conduct and to determine whether the allegation:

- (a) merits no further investigation and is dismissed, or
- (b) merits further investigation.

9.07 Hearing Sub-Committee

The Standards Committee will establish a Hearing Sub-Committee to conduct hearings into allegations that a member or co-opted member has failed to comply with the Members' Code of Conduct and to determine such allegations.

9.08 Composition

The Hearing Sub-Committee will be composed of Councillors appointed on the basis of political balance.

PART TWO - ARTICLES OF THE CONSTITUTION

9.09 Role and Function

The Hearing Sub-Committee will have the following roles and functions:

- (a) To conduct hearings into allegations referred for investigation by the Assessment Sub-Committee that a member or co-opted member has failed to comply with the Members' Code of Conduct.
- (b) To determine whether or not the member or co-opted member did/did not fail to comply with the Members' Code of Conduct.
- (c) Where the Sub-Committee has determined that a member has failed to comply with the Members' Code of Conduct to take such action as it may lawfully take.
- (d) In consequence of the hearing and determination of any allegation to make recommendations to the Council with a view to promoting high standards of conduct amongst members.

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(NB. Extract only of relevant Sections Part 3 C of the Constitution)

SECTION 2 - COMMITTEES

3. Standards Committee

The Standards Committee will have the following roles and functions:

- (a) Promoting and maintaining high standards of conduct by Councillors, co-opted members and representatives of religious organisations and parent governor representatives;
- (b) Assisting the Leader, Councillors, co-opted members and representatives of religious organisations and parent governor representatives to observe the Members' Code of Conduct;
- (c) Advising the Council on the adoption or revision of the Members' Code of Conduct;
- (d) Monitoring the operation of the Members' Code of Conduct;
- (e) Advising, training or arranging to train Councillors and co-opted members on matters relating to the Members' Code of Conduct and the ethical framework;
- (f) Granting dispensations to the Elected Mayor, Councillors and co-opted members from requirements relating to interests set out in the Members' Code of Conduct;
- (g) Advising the Council on codes and protocols forming the Council's ethical framework and its governance arrangements, monitoring the effectiveness of those arrangements and making reports and recommendations accordingly;
- ~~(h) Carrying out an assessment and review function for all complaints received by the Council against Councillors and co-opted members;~~
- ~~(i) Dealing with any reports from a case tribunal or interim case tribunal and any report from the Monitoring Officer on any matter which is referred by the Ethical Standards Officer to the Monitoring Officer;~~
- ~~(j) Hearing complaints against Councillors and co-opted members~~

PART THREE – RESPONSIBILITY FOR FUNCTIONS

Section C – Terms of Reference: Full Council & Non Executive Bodies

~~made to the Council or referred back from the Standard Board for England;~~

~~(k) Hearing complaints against co-opted members arising from alleged breaches of Codes and Protocols falling outside the jurisdiction of the Standards Board for England;~~

~~(h) Assessing, hearing and determining allegations of failure to comply with the Members' Code of Conduct by Councillors and co-opted Members.~~

~~(l) (i) Responding to national reviews and consultations on standards related issues;~~

~~(m) (j) Granting exemptions for politically restricted posts and giving directions to include a post on the list of restricted posts;~~

~~(n) (k) Advising the Council on the appointment of independent persons members and taking steps to select them.~~

SECTION 3 - SUB-COMMITTEES AND PANELS

3. Under Standards Committee

~~The following rules will apply to all three types of Sub-Committee listed below. The quorum is three including an independent member as Chair, with a casting vote if necessary, and at least one Councillor. So far as practicable, and having regard to relevant Regulations and Guidance, every Sub-Committee will include two Councillors, each from a different party group.~~

3.1 Assessment Sub-Committees

~~Between three and five members of the Committee.~~

~~To make initial assessments of written allegations to the Committee of failure to comply with the Code of Conduct. The Sub-Committees will follow procedures agreed by the Committee.~~

~~To consider all allegations referred to the Sub-Committee by the Monitoring Officer that a member or co-opted member has failed to comply with the Members' Code of Conduct and to determine whether the allegation:~~

(a) Merits no further action and is dismissed, or

(b) Merits further investigation.

The quorum of the Assessment Sub-Committee is three.

~~3.2 Review Sub-Committees~~

~~Between three and five members of the Committee. Not to include any member of the Assessment Sub-Committee for the same allegation.~~

~~To review, when requested, any decision of an Assessment Sub-Committee to take no action on an allegation. The Sub-Committees will follow procedures agreed by the Committee.~~

3.3 Hearing Sub-Committees

~~Five members of the Committee.~~

~~To hear complaints against Councillors and co-opted members made to the Council or referred back from the Standards Board for England. The Sub-Committees will follow procedures agreed by the Committee.~~

(a) To conduct hearings into allegations referred for investigation by the Assessment Sub-Committee that a member or co-opted member has failed to comply with the Members' Code of Conduct.

(b) To determine whether or not the member or co-opted member did / did not fail to comply with the Members' Code of Conduct.

(c) Where the Sub-Committee has determined that a member has failed to comply with the Members' Code of Conduct to take such action as it may lawfully take.

(d) In consequence of the hearing and determination of any allegation to make recommendations to the Council with a view to promoting high standards of conduct amongst members.

The quorum of the Hearing Sub-Committee is three.

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PART FOUR - RULES OF PROCEDURE
Section A - Council Procedure Rules

(NB. Extract only of relevant Council Procedure Rules of the Constitution)

24. DECLARATIONS OF INTEREST OF MEMBERS

24.1 If a member of the Council has a disclosable pecuniary interest or a prejudicial personal interest as referred to in ~~Part 2 of the Council's Members' Code of Conduct for Members~~ in any matter under consideration, then the member shall declare his or her interest at the start of the meeting or as soon as the interest becomes apparent. ~~If the interest is considered prejudicial the~~ The member may not participate or participate further in any discussion of the matter or participate in any vote or further vote taken on the matter at the meeting and must ~~should~~ withdraw from the meeting until discussion of the relevant matter is concluded unless that member has obtained a dispensation from the Council's Standards Committee.

24.2 If a member of the Council has a personal interest which is not a disclosable pecuniary interest nor a prejudicial interest, the member is under no obligation to make a disclosure at the meeting but may do so if he/she wishes.

30. VOTES OF NO CONFIDENCE

30.1 A vote of no confidence relating to any office holder may only be moved if notice of it clearly set out as such appears on the agenda of the relevant meeting of the Council

30.2 In accordance with Article 7.06 in Part 2 of this Constitution, the Leader shall cease to hold office if a vote of no confidence is carried at a meeting of the full council by a majority of the members of the whole council then present. In that event Articles 7.06 and 7.07 make provision for the discharge of the Leader's functions.

30.3 A Chair of a Committee, Sub-Committee or other body shall cease to hold office if a vote of no confidence is carried at a meeting of the full Council by a majority of the members of the whole Council then present. The Vice Chair will assume the role of Chair until such time as the appointment of a replacement as Chair, or the re-appointment of the member concerned, by full Council.

30.4 For the avoidance of doubt, Rule ~~30.3~~ 31.3 applies to all other bodies exercising non-executive functions including the Alexandra Palace and Park Board. Rule ~~30.3~~ 31.3 shall not apply to the Chair of the Overview and Scrutiny Committee or the Chair of a Scrutiny/Review Panel but Article 6.05 shall apply. ~~Rule 31.3 shall not apply to the Chair of the Standards Committee but Article 9.02(c) shall apply.~~

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PART FOUR – RULES OF PROCEDURE Section B – Committee Procedure
Rules

(NB. Extract only of relevant Committee Procedure Rules of the Constitution)

8. In the light of such a report under paragraph 7 above, the Council or committee, as the case may be, shall determine the allocation of seats to political groups.
9. Whenever variations in voting membership of a committee or sub-committee occur in accordance with the wishes of a political group to whom the seat has been allocated, then the proper officer shall make or terminate the appointment accordingly except where a member has been removed or suspended from the body concerned.
11. These rules in respect of committees shall apply to the Standards Committee and Licensing Sub-Committee, to the extent indicated in paragraph 6 above, with the exception of the rules on political balance *in relation to the Licensing Sub-Committee.*

MEETINGS OF COMMITTEES, SUB-COMMITTEES, ETC.

12. The meetings of Committees and Sub-Committees shall be organised in accordance with a timetable approved each year by the Council and/or the Cabinet. The Chair may call a special meeting and may agree to the cancellation of a meeting on being satisfied that there is insufficient business to warrant it. Dates of meetings may be changed to a date decided by the Chair if it is in the interests of the Council's affairs or in the public interest to do so.
13. Other bodies may be timetabled or shall meet as and when required on dates to be decided by the Chair following consultation with the leading Opposition member.
14. The Chair of a Committee or sub-committee may call a special meeting if a requisition for a special meeting, signed by at least two, or one quarter of the total number of the voting members of a committee or sub-committee, whichever is greater, has been presented to the Head of Local Democracy & Member Services.
15. If the Chair has refused to call a meeting or, without him/her so refusing, no special meeting has been called within seven days of the presentation of the requisition, then any two, or one quarter of the number of the voting members of the committee or sub-committee, whichever is greater, may forthwith call a special meeting of the committee or sub-committee.
16. Where any Members decide to call a special meeting of a committee or sub-committee, they shall signify to the Chief Executive that they have done so, the business to be transacted and the date and time for which the meeting is called. The Chief Executive shall thereupon ensure that the agenda is published and sent as at Standing Order No. 51 below.
17. The notice convening a special meeting shall set out the business to be considered, and no other business shall be considered.

QUESTIONS, DEPUTATIONS AND PETITIONS

29. Public Questions

29.10 Council Procedure Rules ~~40~~ 11 (Deputations) and ~~44~~ 12 (Petitions), appropriately modified, shall apply to meetings of Council Committees with the exception that the matter to be addressed must fall within the Committee's terms of reference

DISTURBANCE BY MEMBERS OF THE PUBLIC

40. Removal of member of public

If a member of the public interrupts proceedings, the chair will warn the person concerned. If they continue to interrupt, the chair will order their removal from the meeting room.

41. Clearance of part of meeting room

If there is a general disturbance in any part of the meeting room open to the public, the chair may call for that part to be cleared.

DECLARATIONS OF INTEREST OF MEMBERS

41A(a) If a member of a Committee has a disclosable pecuniary interest or a prejudicial interest as referred to in Members' Code of Conduct in any matter under consideration, then the member shall declare his or her interest at the start of the meeting or as soon as the interest becomes apparent. The member may not participate or participate further in any discussion of the matter or participate in any vote or further vote taken on the matter at the meeting and must withdraw from the meeting until discussion of the relevant matter is concluded unless that member has obtained a dispensation from the Council's Standards Committee.

41A(b) If a member of a Committee has a personal interest which is not a disclosable pecuniary interest nor a prejudicial interest, the member is under no obligation to make a disclosure at the meeting but may do so if he/she wishes.

VOTING

42. Voting shall be by a show of hands.
43. Immediately after the vote is taken, a member may require to be recorded in the minutes whether he/she voted for or against the decision or abstained.
44. In the case of an equality of votes, the Chair shall have a second or casting vote, unless the constitution of the body as approved by the Council provides otherwise.
45. Proposals carried are recorded as resolutions or recommendations. Proposals lost are not recorded, except as provided for in (2) above.

PART FOUR - RULES OF PROCEDURE
Section F - Cabinet Procedure Rules

(NB. Extract only of relevant Cabinet Procedure Rules of the Constitution)

2. How are Cabinet Meetings Conducted?

2.1 Appointment of a Chair

If the Leader is present he/she shall Chair the meeting. If the Leader is absent then the Deputy Leader shall Chair the meeting. In the absence of the Leader and Deputy Leader, then a Cabinet member shall be appointed to Chair the meeting by those Cabinet Members present. A member appointed to preside by this Procedure Rule shall stand down at the conclusion of the agenda item under discussion if the Leader or person nominated by the Leader to preside arrives.

2.2 Public or Private Meetings of the Cabinet

Cabinet meetings will be held in public whenever the Cabinet is taking decisions or is discussing a matter which is due to be decided within the next 28 days and there is an officer present. These meetings will be governed by the Access to Information Procedure Rules in Part 4 of the Constitution.

Cabinet Members may meet in private in order to have early collective discussions on a wide range of options in relation to policy and/or budget matters. It will be for the Cabinet to determine on each occasion who may attend these meetings. Where officers attend these meetings a formal record will be kept and will be available under the Access to information Rules.

2.3 Business

At each meeting of the Cabinet the following business will be conducted:

- (a) consideration of the minutes of the last meeting;
- (b) declarations of interest, if any;
- (c) matters referred to the Cabinet for reconsideration by from the Overview and Scrutiny Committee in accordance with the provisions contained in the Scrutiny and Overview Procedure Rules or Budget and Policy Framework Rules set out in Part 4 of this Constitution;
- (d) matters set out in the agenda for the meeting, including reports and action lists from Cabinet Members. The agenda shall indicate which are key decisions and which are not in accordance with the Access to Information Procedure Rules set out in Part 4 of this Constitution;

PART FOUR - RULES OF PROCEDURE
Section F - Cabinet Procedure Rules

- (e) matters raised by members of the Cabinet.

2.3A Declarations Of Interest Of Members

- (a) If a member of the Cabinet has a disclosable pecuniary interest or a prejudicial interest as referred to in Members' Code of Conduct in any matter under consideration, then the member shall declare his or her interest at the start of the meeting or as soon as the interest becomes apparent. The member may not participate or participate further in any discussion of the matter or participate in any vote or further vote taken on the matter at the meeting and must withdraw from the meeting until discussion of the relevant matter is concluded unless that member has obtained a dispensation from the Council's Standards Committee.
- (b) If a member of the Cabinet has a personal interest which is not a disclosable pecuniary interest nor a prejudicial interest,, the member is under no obligation to make a disclosure at the meeting but may do so if he/she wishes.

2.4 Who can put Items on the Cabinet Agenda?

- (a) The Leader may put on the agenda of any Cabinet meeting any matter which he/she wishes, whether or not authority has been delegated to the Cabinet, a committee of it or any member or officer about that matter. The proper officer will comply with the Leader's requests in this respect.
- (b) Any member of the Council may ask the proper officer to put an item on the agenda of a Cabinet meeting for consideration. Subject to the agreement of the Leader the item will be considered at the next available meeting of the Cabinet. However the number of items permitted per Cabinet meeting will have regard to the amount of business on the agenda.
- (c) There will be a standing item on the agenda of each meeting of the Cabinet for matters referred by Overview and Scrutiny Committees. However the number of items permitted per Cabinet meeting will have regard to the amount of business on the agenda.
- (d) The Chief Executive, the Monitoring Officer and/or the Chief Financial Officer may include an item for consideration on the agenda of a Cabinet meeting and may require the proper officer to call such a meeting in pursuance of their statutory duties. In other circumstances, where any two of the Head of Paid Service, the Chief Financial Officer and the Monitoring Officer are of the opinion that a meeting of the Cabinet needs to be called to consider a matter that requires a decision, they may jointly include an item on the agenda of a Cabinet

PART FOUR - RULES OF PROCEDURE
Section F - Cabinet Procedure Rules

meeting. If there is no meeting of the Cabinet soon enough to deal with the issue in question, then the person(s) entitled to include an item on the agenda may also require that a meeting be convened at which the matter will be considered.

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PART FOUR – RULES OF PROCEDURE
Section G – Overview & Scrutiny Procedure Rules

(NB. Extract only of relevant Overview & Scrutiny Procedure Rules of the Constitution)

17. Procedure at Overview and Scrutiny Committee meetings and meetings of the Scrutiny Review Panels.

- (a) The Overview and Scrutiny Committee shall consider the following business as appropriate:
- (i) apologies for absence;
 - (ii) urgent business;
 - (iii) declarations of interest;
 - (iv) minutes of the last meeting;
 - (v) deputations and petitions;
 - (vi) consideration of any matter referred to the Committee for a decision in relation to call in of a decision;
 - (vii) responses of the Cabinet to reports of the Committee;
 - (viii) business arising from Area Committees;
 - (ix) the business otherwise set out on the agenda for the meeting.
- (b) A Scrutiny Review Panel shall consider the following business as appropriate:
- (i) minutes of the last meeting;
 - (ii) declarations of interest;
 - (iii) the business otherwise set out on the agenda for the meeting.
- (c) Where the Overview and Scrutiny Committee or Scrutiny Review Panel has asked people to attend to give evidence at meetings, these are to be conducted in accordance with the following principles:
- (i) that the investigation be conducted fairly and all members of the Overview and Scrutiny Committee and Scrutiny Review Panels be given the opportunity to ask questions of attendees, to contribute and to speak;

PART FOUR – RULES OF PROCEDURE

Section G – Overview & Scrutiny Procedure Rules

- (ii) that those assisting the Overview and Scrutiny Committee or Scrutiny Review Panel by giving evidence be treated with respect and courtesy;
 - (iii) that the investigation be conducted so as to maximise the efficiency of the investigation or analysis; and
 - (iv) that reasonable effort be made to provide appropriate assistance with translation or alternative methods of communication to assist those giving evidence.
- (d) Following any investigation or review, the Overview and Scrutiny Committee or Scrutiny Review Panel shall prepare a report, for submission to the Cabinet and shall make its report and findings public.

17A. Declarations Of Interest Of Members

- (a) If a member of the Overview and Scrutiny Committee or Scrutiny Review Panel has a disclosable pecuniary interest or a prejudicial interest as referred to in Members' Code of Conduct in any matter under consideration, then the member shall declare his or her interest at the start of the meeting or as soon as the interest becomes apparent. The member may not participate or participate further in any discussion of the matter or participate in any vote or further vote taken on the matter at the meeting and must withdraw from the meeting until discussion of the relevant matter is concluded unless that member has obtained a dispensation from the Council's Standards Committee.
- (b) If a member of the Overview and Scrutiny Committee or Scrutiny Review Panel has a personal interest which is not a disclosable pecuniary interest nor a prejudicial interest, the member is under no obligation to make a disclosure at the meeting but may do so if he/she wishes.

Part Five, Section A

Members' Code of Conduct

Explanatory Note

This Code of Conduct, is adopted under powers contained in the Localism Act 2011 (“the 2011 Act”) , and contributes towards the discharge of the Council’s duty under the 2011 Act to promote and maintain high standards of conduct by elected and co-opted members of Haringey Council. It is consistent with the principles of public life which are prescribed in the 2011 Act and which are included in the Code at paragraphs 1.1 - 1.7 below.

The 2011 Act requires the Council’s Monitoring Officer to establish and maintain a register of interests of the members of the Council. It is a legal requirement that members must notify the Monitoring Officer of all their disclosable pecuniary interests within 28 days of becoming a member. Disclosable pecuniary interests are the member’s interests, those of the member’s spouse or civil partner, or person the member is living with as spouse or as if they were civil partners, and falling within categories specified in Regulations by the Secretary of State as set out at Appendix A. The Register of Members’ Interests will be available for inspection by the public at all reasonable hours and will be published on the Council’s website.

The 2011 Act has created a number of criminal offences for failure to comply with requirements relating to disclosable pecuniary interests. A summary of the offences is attached at Appendix B.

In addition to disclosable pecuniary interests personal interests as specified in the Code must be notified to the Monitoring Officer and declared at meetings if prejudicial in the manner described in the Code.

Part 1: GENERAL PROVISIONS

1. The General Principles

1.1 Selflessness

Members should serve only the public interest and should never improperly confer an advantage or disadvantage on any person.

1.2 Integrity

Members should not place themselves in situations where their integrity may be questioned, should not behave improperly and should on all occasions avoid the appearance of such behaviour.

1.3 Objectivity

Members should make decisions on merit, including when making appointments, awarding contracts, or recommending individuals for rewards or benefits.

1.4 Accountability

Members should be accountable to the public for their actions and the manner in which they carry out their responsibilities, and should co-operate fully and honestly with any scrutiny appropriate to their particular office.

1.5 Openness

Members should be as open as possible about their actions and those of their authority, and should be prepared to give reasons for those actions.

1.6 Honesty

Members should not place themselves in situations where their honesty may be questioned, should not behave improperly and should on all occasions avoid the appearance of such behaviour.

1.7 Leadership

Members should promote and support these principles by Leadership, and by example, and should act in a way that secures or preserves public confidence.

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

2. Application of the Code

2.1 This Code applies to you as a member of Haringey Council.

2.2 In your capacity as a member you should at all times adhere to the general principles set out at paragraphs 1.1 - 1.7 above.

2.3 It is your responsibility to comply with the provisions of this Code.

2.4 In this Code

"meeting" means any meeting of

(a) the Council; or

(b) the executive of the Council; or

(c) any of the Council's or its executive's committees, sub-committees, joint committees, joint sub-committees, or area committees;

"member" means an elected member and a co-opted member

"co-opted member" means a person who is not an elected member of the Council but who has been appointed to a committee or sub-committee of the Council or represents the Council on a joint committee or joint sub-committee of the Council and who is entitled to vote on any question that falls to be decided at any meeting of that committee or sub-committee.

2.5 You must comply with this Code whenever you are acting in your capacity as a member

2.6 This Code regards you as acting in your capacity as a member when you

(a) conduct the business of your authority (which in this Code includes the business of the office to which you are elected or appointed); or

(b) act as a representative of your authority,

2.7 This Code does not have effect in relation to your conduct other than where you are acting in your capacity as a member.

2.8 Where you act as a representative of your authority

(a) on another relevant authority, you must, when acting for that other authority, comply with that other authority's code of conduct; or

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

(b) on any other body, you must, when acting for that other body, comply with your authority’s code of conduct, except and insofar as it conflicts with any other lawful obligations to which that other body may be subject.

3. General Obligations

3.1 You must treat others with respect.

3.2 You must not

(a) do anything which may cause your authority to breach any of its equality duties;

(b) bully any person;

(c) intimidate or attempt to intimidate any person who is or is likely to be

(i) a complainant,

(ii) a witness, or

(iii) involved in the administration of any investigation or proceedings,

in relation to an allegation that a member (including yourself) has failed to comply with this Members’ Code of Conduct; or

(d) do anything which compromises or is likely to compromise the impartiality of those who work for, or on behalf of, your authority.

3.3 You must not

(a) disclose information given to you in confidence by anyone, or information acquired by you which you believe, or ought reasonably to be aware, is of a confidential nature, except where

(i) you have the consent of a person authorised to give it;

(ii) you are required by law to do so;

(iii) the disclosure is made to a third party for the purpose of obtaining professional advice provided that the third party agrees not to disclose the information to any other person; or

(iv) the disclosure is

(aa) reasonable and in the public interest; and

(bb) made in good faith and in compliance with the reasonable requirements of the Council; or

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(b) prevent another person from gaining access to information to which that person is entitled by law.

3.4 You must not conduct yourself in a manner which could reasonably be regarded as bringing your office or your authority into disrepute

3.5 You must not use or attempt to use your position as a member improperly to confer on or secure for yourself or any other person, an advantage or disadvantage.

3.6 You must when using or authorising the use by others of the resources of your authority

(a) act in accordance with your authority’s reasonable requirements;

(b) ensure that such resources are not used improperly for political purposes (including party political purposes);

(c) have regard to any applicable Local Authority Code of Publicity made under the Local Government Act 1986.

3.7 When reaching decisions on any matter you must have regard to any relevant advice provided to you by

(a) the Council’s Chief Finance Officer; or

(b) the Council’s Monitoring Officer,

where that officer is acting pursuant to his/her statutory duties.

3.8 You must give reasons for all decisions you make in accordance with any statutory requirements and any reasonable additional requirements imposed by your authority.

PART 2: MEMBERS INTERESTS

4. Disclosable Pecuniary Interests

4.1 You have a disclosable pecuniary interest in any matter to be considered or being considered by your authority if it is of a description set out at Appendix A and either:

(a) it is an interest of yours, or

(b) it is an interest of

(i) your spouse or civil partner

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- (ii) a person with whom you are living as husband and wife
or
- (iii) a person with whom you are living as if you were civil
partners

and you are aware that that other person has the interest.

4.2 You must comply with the statutory requirements to register, disclose and withdraw from participating and voting in respect of any matter in which you have a disclosable pecuniary interest

5. Personal Interests

5.1 You have a personal interest in any matter to be considered or being considered by your authority where your interest is not a disclosable pecuniary interest in that matter and either

(a) the matter relates to or is likely to affect

(i) any body of which you are a member or in a position of general control or management and to which you are appointed or nominated by the your authority;

(ii) any body

(aa) exercising functions of a public nature;

(bb) directed to charitable purposes; or

(cc) one of whose principal purposes includes the influence of public opinion or policy (including any political party or trade union),

of which you are a member or in a position of general control or management;

(iii) the interests of a person from whom you have received a gift or hospitality with an estimated value of at least £50 or

(b) a decision in relation to that matter might reasonably be regarded as affecting your well-being or financial position or the well-being or financial position of a relevant person to a greater extent than the majority of other council tax payers, ratepayers or inhabitants of the electoral division or ward, as the case may be, affected by the decision;

5.2 For the purpose of Paragraph 5.1(b) a “relevant person” is

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(a) a member of your family or any person with whom you have a close association; or

(b) any person or body who employs or has appointed such persons, any firm in which they are a partner, or any company of which they are directors; or

(c) any person or body in whom such persons have a beneficial interest in a class of securities exceeding the nominal value of £25,000; or

(d) any body of a type described in Paragraph 5.1(a)(i) and (ii) above of which such persons are members or in a position of general control or management.

6. Prejudicial Interests

6.1 Where you have a personal interest in any matter to be considered or being considered by your authority you also have a prejudicial interest in that matter where the interest is one which a member of the public with knowledge of the relevant facts would reasonably regard as so significant that it is likely to prejudice your judgment of the public interest and where that matter

(a) affects your financial position or the financial position of a person or body described in Paragraph 5 above, or

(b) relates to the determining of any approval, consent, licence, permission, or registration in relation to you or any person or body described in Paragraph 5 above.

6.2 A personal interest in any matter to be considered or being considered by your authority is not a prejudicial interest where that matter relates to the functions of your authority in respect of

(i) housing, where you are a tenant of your authority provided that those functions do not relate particularly to your tenancy or lease;

(ii) school meals or school transport and travelling expenses, where you are a parent or guardian of a child in full time education, or are a parent governor of a school, unless it relates particularly to the school which the child attends;

(iii) statutory sick pay under Part XI of the Social Security Contributions and Benefits Act 1992, where you are in receipt of, or are entitled to the receipt of, such pay;

(iv) an allowance, payment or indemnity given to members;

(v) any ceremonial honour given to members;

(vi) setting council tax or a precept under the Local Government Finance Act 1992

PART 3: REGISTRATION OF MEMBERS' INTERESTS

7. Notification and Registration of Members' Interests

7.1 You must, within 28 days of adoption of this code or of becoming a member notify the Monitoring Officer of any disclosable pecuniary interest, or personal interest as set out in Paragraph 5 (1)(a) above, which you have, and the Monitoring Officer shall, subject to Paragraph 8 (Sensitive Interests) below, enter the interest(s) notified in the Council's Register of Members' Interests maintained under the Localism Act 2011.

7.2 You must, within 28 days of becoming aware of any new interest or change to any interest registered under Paragraph 7.1 above, register details of that new interest or change by providing written notification to the Monitoring Officer who shall, subject to Paragraph 8 (Sensitive Interests) enter the interests notified in the Register of Members' Interests.

7.3 You must observe the notification provisions relating to disclosable pecuniary interests which arise at meetings or when a member is acting alone which are set out at Paragraphs 9.4 and 9.6. respectively.

8. Sensitive Interests

8.1 Where you have an interest (whether or not a disclosable pecuniary interest) and the nature of it is such that you and the Monitoring Officer consider that disclosure of the details of the interest could lead to you or a person connected with you being subject to violence and intimidation if the interest is entered in the Register of Members' Interests then copies of the register available for inspection and any published version of the register must not include details of the interest but may state that you have an interest the details of which are withheld under section 32(2) of the Localism Act 2011.

8.2 If Paragraph 8.1 above refers to the entry of the interest in the Register of Members' Interests that provision is to be read as requiring you to disclose not the interest but merely the fact that

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the you have a disclosable pecuniary interest in the matter concerned.

8.3 You must, within 28 days of becoming aware of any change of circumstances which means that information excluded under Paragraph 8.1 above is no longer sensitive information, notify the Monitoring Officer asking that the information be included in the Register of Members' Interests.

PART 4: DISCLOSURE OF MEMBERS' INTERESTS AND EFFECT UPON PARTICIPATION AT MEETINGS

9. Disclosure of Interests

9.1 Subject to paragraphs 9.3 to 9.6 below, where you have a disclosable pecuniary interest or a prejudicial interest in any matter being considered by your authority and you are present at a meeting of the authority or of any committee, sub-committee, joint committee, or joint sub-committee of the authority at which the matter is considered, you must disclose to that meeting the existence and nature of that interest whether or not such interest is registered in the Register of Members' Interests or for which you have made a pending notification.

9.2 Where you have a personal interest in any matter being considered by your authority which is not a disclosable pecuniary interest nor a prejudicial interest and you are present at a meeting of the authority or of any committee, sub-committee, joint committee, or joint sub-committee of the authority, at which the matter is considered, you are under no obligation to make a disclosure to the meeting but you may do so if you wish.

9.3 Paragraphs 9.1 - 9.2 above only apply where you are aware or ought reasonably to be aware of the existence of the interest.

9.4 Where you have disclosed a disclosable pecuniary interest pursuant to Paragraph 9.1 above, and that interest is not registered in the Register of Members' Interests and is not the subject of a pending notification, you must notify the Monitoring Officer of the interest before the end of 28 days beginning with the date of the disclosure.

9.5 Where you have an interest in any matter to be considered or being considered by your authority which would be disclosable by virtue of Paragraph 9.1 but by virtue of Paragraph 9 (Sensitive Interests) details of the interest are not registered in the Council's published Register of Members' Interests, at a meeting at which you are present you must disclose the fact that you have a disclosable

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Section A– Member Code of Conduct

interest in the matter concerned but details of that interest need not be disclosed.

9.6 If a function of the Council may be discharged by a member acting alone and you have a disclosable pecuniary interest or a prejudicial interest in any matter to be dealt with or being dealt with in the course of discharging that function:

(a) if the interest is a disclosable pecuniary interest and is not registered in the Register of Members' Interests and is not the subject of a pending notification, you must notify the Monitoring Officer of the interest before the end of 28 days beginning with the date upon which you first became aware of having the interest in relation to the matter;

(b) you must not take any steps or any further steps in relation to the matter (except for the purpose of enabling the matter to be dealt with otherwise than by yourself).

10. Effect of Disclosable Pecuniary Interest or Prejudicial Interest on participation at meetings

10.1 Subject to Paragraph 10.3, if you are present at a meeting and you have a disclosable pecuniary interest or a prejudicial interest in any matter to be considered, or being considered at the meeting;

(a) You may not participate, or participate further, in any discussion of the matter at the meeting, or

(b) participate in any vote, or further vote, taken on the matter at the meeting, and

(c) You must withdraw from the room or chamber where the meeting considering the matter is being held,

unless you have obtained a dispensation from your authority's Standards Committee.

10.2 Subject to Paragraph 10.3, if you are present at a meeting and you have a disclosable pecuniary interest or a prejudicial interest in any matter to be considered, or being considered at the meeting;

(a) You may not exercise executive functions in relation to that matter, or

(b) seek improperly to influence a decision about that matter.

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10.3 Where you have a **prejudicial interest** in any matter to be considered or being considered at a meeting of your authority, you may attend a meeting (including a meeting of the overview and scrutiny committee of your authority or of a sub-committee of such a committee) but only for the purpose of making representations, answering questions or giving evidence relating to the matter, provided that the public are also allowed to attend the meeting for the same purpose, whether under a statutory right or otherwise.

Appendix A

Disclosable Pecuniary Interests

In the extract from the Regulations * below, ‘M’ means the member and ‘relevant person’ means the member, the member’s spouse or civil partner or a person with whom the member is living with as spouse or as if they were civil partners.

Subject

Prescribed description

Employment, office, trade, profession or vocation

Any employment, office, trade, profession or vocation carried on for profit or gain.

Sponsorship

Any payment or provision of any other financial benefit (other than from the relevant authority) made or provided within the relevant period in respect of any expenses incurred by M in carrying out duties as a member, or towards the election expenses of M.
This includes any payment or financial benefit from a trade union within the meaning of the Trade Union and Labour Relations (Consolidation) Act 1992

Contracts

Any contract which is made between the relevant person (or a body in which the relevant person has a beneficial interest) and the relevant authority–
(a) under which goods or services are to be provided or works are to be executed; and
(b) which has not been fully discharged.

Land

Any beneficial interest in land which is within the area of the relevant authority.

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Section A– Member Code of Conduct

Licences

Any licence (alone or jointly with others) to occupy land in the area of the relevant authority for a month or longer.

Corporate tenancies

Any tenancy where (to M's knowledge)–
(a) the landlord is the relevant authority; and
(b) the tenant is a body in which the relevant person has a beneficial interest.

Securities

Any beneficial interest in securities of a body where–
(a) that body (to M's knowledge) has a place of business or land in the area of the relevant authority; and
(b) either–

(i) the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body; or

(ii) if the share capital of that body is of more than one class, the total nominal value of the shares of any one class in which the relevant person has a beneficial interest exceeds one hundredth of the total issued share capital of that class.

*The Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012 specify the interests above as disclosable pecuniary interests for the purposes of Chapter 7 of Part 1 of the Localism Act 2011.

The Regulations provide the following definitions of terms used in the specification of disclosable pecuniary interests:

“the Act” means the Localism Act 2011;

“body in which the relevant person has a beneficial interest” means a firm in which the relevant person is a partner or a body corporate of which the relevant person is a director, or in the securities of which the relevant person has a beneficial interest;

“director” includes a member of the committee of management of an industrial and provident society;

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Section A– Member Code of Conduct

“land” excludes an easement, servitude, interest or right in or over land which does not carry with it a right for the relevant person (alone or jointly with another) to occupy the land or to receive income;

“M” means a member of a relevant authority.

“member” includes a co-opted member;

“relevant authority” means the authority of which M is a member;

“relevant period” means the period of 12 months ending with the day on which M gives a notification for the purposes of section 30(1) or 31(7), as the case may be, of the Act;

“relevant person” means M or any other person referred to in section 30(3)(b) of the Act;

“securities” means shares, debentures, debenture stock, loan stock, bonds, units of a collective investment scheme within the meaning of the Financial Services and Markets Act 2000 and other securities of any description, other than money deposited with a building society.

Offences

It is a criminal offence to

- Fail to notify the Monitoring Officer of any disclosable pecuniary interest within 28 days of election
- Fail to disclose a disclosable pecuniary interest at a meeting if it is not on the register
- Fail to notify the Monitoring Officer within 28 days of a disclosable pecuniary interest that is not on the register that you have disclosed to a meeting
- Participate in any discussion or vote on a matter in which you have a disclosable pecuniary interest
- As an executive member discharging a function acting alone, and having a disclosable pecuniary interest in such a matter, failing to notify the Monitoring Officer within 28 days of becoming aware of the interest.
- Knowingly or recklessly providing information that is false or misleading in notifying the Monitoring Officer of a disclosable pecuniary interest or in disclosing such interest to a meeting
- As an executive member discharging a function alone, takes steps or further steps in relation to the matter (except for the purpose of enabling the matter to be dealt with otherwise than by the member)

The criminal penalties available to a court are to impose a fine not exceeding level 5 on the standard scale and disqualification from being a councillor for up to 5 years.

THE GENERAL PRINCIPLES

Selflessness

~~1. Members should serve only the public interest and should never improperly confer an advantage or disadvantage on any person.~~

Honesty and Integrity

~~2. Members should not place themselves in situations where their honesty and integrity may be questioned, should not behave improperly and should on all occasions avoid the appearance of such behaviour.~~

Objectivity

~~3. Members should make decisions on merit, including when making appointments, awarding contracts, or recommending individuals for rewards or benefits.~~

Accountability

~~4. Members should be accountable to the public for their actions and the manner in which they carry out their responsibilities, and should co-operate fully and honestly with any scrutiny appropriate to their particular office.~~

Openness

~~5. Members should be as open as possible about their actions and those of their authority, and should be prepared to give reasons for those actions.~~

Personal Judgement

~~6. Members may take account of the views of others, including their political groups, but should reach their own conclusions on the issues before them and act in accordance with those conclusions.~~

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Section A– Member Code of Conduct

Respect for Others

7. Members should promote equality by not discriminating unlawfully against any person, and by treating people with respect, regardless of their race, age, religion, gender, sexual orientation or disability. They should respect the impartiality and integrity of the authority's statutory officers, and its other employees.

Duty to Uphold the Law

8. Members should uphold the law and, on all occasions, act in accordance with the trust that the public is entitled to place in them.

Stewardship

9. Members should do whatever they are able to do to ensure that their authorities use their resources prudently and in accordance with the law.

Leadership

10. Members should promote and support these principles by Leadership, and by example, and should act in a way that secures or preserves public confidence.

EXPLANATORY NOTE

Listed above are the general principles, as specified by the Secretary of State, which are to govern the conduct of Members and co-opted members of relevant authorities in England and police authorities in Wales, in accordance with section 49(1) of the Local Government Act 2000. The general principles are expected to govern only the official conduct of Members and co-opted members, apart from the second and eighth, which have effect on all occasions.

Members are required to give the authority a written undertaking that in performing their functions they will observe the Code of Conduct adopted by the London Borough of Haringey as set out below. This is based on the general principles above but contains more detailed mandatory requirements. A person who becomes a Member or co-opted Member of the Council may not act in that office until he/she has given the authority this written undertaking.

The monitoring officer of the authority must establish and maintain a register of interests of the Members and co-opted members of the authority under section 81 of the Local Government Act 2000. Members and co-opted members must register all their financial and other interests as specified in the Code and do so before participating in any business of the authority related to those interests. The register of interests will be available for inspection by the public at all reasonable hours.

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

SCHEDULE
THE MODEL CODE OF CONDUCT

Part 4
General provisions

Introduction and interpretation

~~1. —(1) This Code applies to you as a member of an authority.~~

~~(2) You should read this Code together with the general principles prescribed by the Secretary of State.~~

~~(3) It is your responsibility to comply with the provisions of this Code.~~

~~(4) In this Code—~~

~~"meeting" means any meeting of—~~

~~(a) the authority;~~

~~(b) the executive of the authority;~~

~~(c) any of the authority's or its executive's committees, sub-committees, joint committees, joint sub-committees, or area committees;~~

~~"member" includes a co-opted member and an appointed member.~~

Scope

~~2. —(1) Subject to sub-paragraphs (2) to (5), you must comply with this Code whenever you—~~

~~(a) conduct the business of your authority (which, in this Code, includes the business of the office to which you are elected or appointed); or~~

~~(b) act, claim to act or give the impression you are acting as a representative of your authority;~~

~~and references to your official capacity are construed accordingly.~~

~~(2) Subject to sub-paragraphs (3) and (4), this Code does not have effect in relation to your conduct other than where it is in your official capacity.~~

~~(3) In addition to having effect in relation to conduct in your official capacity, paragraphs 3(2)(c), 5 and 6(a) also have effect, at any other time, where that conduct constitutes a criminal offence for which you have been convicted.~~

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

~~(4) Conduct to which this Code applies (whether that is conduct in your official capacity or conduct mentioned in sub-paragraph (3)) includes a criminal offence for which you are convicted (including an offence you committed before the date you took office, but for which you are convicted after that date).~~

~~(5) Where you act as a representative of your authority—~~

~~(a) on another relevant authority, you must, when acting for that other authority, comply with that other authority's code of conduct; or~~

~~(b) on any other body, you must, when acting for that other body, comply with your authority's code of conduct, except and insofar as it conflicts with any other lawful obligations to which that other body may be subject.~~

General obligations

~~3. (1) You must treat others with respect.~~

~~(2) You must not—~~

~~(a) do anything which may cause your authority to breach any of the equality enactments (as defined in section 33 of the Equality Act 2006);~~

~~(b) bully any person;~~

~~(c) intimidate or attempt to intimidate any person who is or is likely to be—~~

~~(i) a complainant,~~

~~(ii) a witness, or~~

~~(iii) involved in the administration of any investigation or proceedings,~~

~~in relation to an allegation that a member (including yourself) has failed to comply with his or her authority's code of conduct; or~~

~~(d) do anything which compromises or is likely to compromise the impartiality of those who work for, or on behalf of, your authority.~~

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

~~4. You must not—~~

~~(a) disclose information given to you in confidence by anyone, or information acquired by you which you believe, or ought reasonably to be aware, is of a confidential nature, except where—~~

~~(i) you have the consent of a person authorised to give it;~~

~~(ii) you are required by law to do so;~~

~~(iii) the disclosure is made to a third party for the purpose of obtaining professional advice provided that the third party agrees not to disclose the information to any other person; or~~

~~(iv) the disclosure is—~~

~~(aa) reasonable and in the public interest; and~~

~~(bb) made in good faith and in compliance with the reasonable requirements of the authority; or~~

~~(b) prevent another person from gaining access to information to which that person is entitled by law.~~

~~5. You must not conduct yourself in a manner which could reasonably be regarded as bringing your office or authority into disrepute.~~

~~6. You—~~

~~(a) must not use or attempt to use your position as a member improperly to confer on or secure for yourself or any other person, an advantage or disadvantage; and~~

~~(b) must, when using or authorising the use by others of the resources of your authority—~~

~~(i) act in accordance with your authority's reasonable requirements;~~

~~(ii) ensure that such resources are not used improperly for political purposes (including party political purposes); and~~

~~(c) must have regard to any applicable Local Authority Code of Publicity made under the Local Government Act 1986.~~

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

~~7. (1) When reaching decisions on any matter you must have regard to any relevant advice provided to you by—~~

~~(a) your authority's chief finance officer; or~~

~~(b) your authority's monitoring officer,~~

~~where that officer is acting pursuant to his or her statutory duties.~~

~~(2) You must give reasons for all decisions in accordance with any statutory requirements and any reasonable additional requirements imposed by your authority.~~

~~—Part 2~~

~~Interests~~

~~Personal interests~~

~~8. (1) You have a personal interest in any business of your authority where either—~~

~~(a) it relates to or is likely to affect—~~

~~(i) any body of which you are a member or in a position of general control or management and to which you are appointed or nominated by your authority;~~

~~(ii) any body—~~

~~(aa) exercising functions of a public nature;~~

~~(bb) directed to charitable purposes; or~~

~~(cc) one of whose principal purposes includes the influence of public opinion or policy (including any political party or trade union),~~

~~of which you are a member or in a position of general control or management;~~

~~(iii) any employment or business carried on by you;~~

~~(iv) any person or body who employs or has appointed you;~~

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

~~(v) any person or body, other than a relevant authority, who has made a payment to you in respect of your election or any expenses incurred by you in carrying out your duties;~~

~~(vi) any person or body who has a place of business or land in your authority's area, and in whom you have a beneficial interest in a class of securities of that person or body that exceeds the nominal value of £25,000 or one hundredth of the total issued share capital (whichever is the lower);~~

~~(vii) any contract for goods, services or works made between your authority and you or a firm in which you are a partner, a company of which you are a remunerated director, or a person or body of the description specified in paragraph (vi);~~

~~(viii) the interests of any person from whom you have received a gift or hospitality with an estimated value of at least £25;~~

~~(ix) any land in your authority's area in which you have a beneficial interest;~~

~~(x) any land where the landlord is your authority and you are, or a firm in which you are a partner, a company of which you are a remunerated director, or a person or body of the description specified in paragraph (vi) is, the tenant;~~

~~(xi) any land in the authority's area for which you have a licence (alone or jointly with others) to occupy for 28 days or longer; or~~

~~(b) a decision in relation to that business might reasonably be regarded as affecting your well-being or financial position or the well-being or financial position of a relevant person to a greater extent than the majority of—~~

~~(i) (in the case of authorities with electoral divisions or wards) other council tax payers, ratepayers or inhabitants of the electoral division or ward, as the case may be, affected by the decision;~~

~~(ii) (in the case of the Greater London Authority) other council tax payers, ratepayers or inhabitants of the Assembly constituency affected by the decision; or~~

~~(iii) (in all other cases) other council tax payers, ratepayers or inhabitants of your authority's area.~~

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

~~(2) In sub-paragraph (1)(b), a relevant person is–~~

~~(a) a member of your family or any person with whom you have a close association; or~~

~~(b) any person or body who employs or has appointed such persons, any firm in which they are a partner, or any company of which they are directors;~~

~~(c) any person or body in whom such persons have a beneficial interest in a class of securities exceeding the nominal value of £25,000; or~~

~~(d) any body of a type described in sub-paragraph (1)(a)(i) or (ii).~~

~~Disclosure of personal interests~~

~~9. (1) Subject to sub-paragraphs (2) to (7), where you have a personal interest in any business of your authority and you attend a meeting of your authority at which the business is considered, you must disclose to that meeting the existence and nature of that interest at the commencement of that consideration, or when the interest becomes apparent.~~

~~(2) Where you have a personal interest in any business of your authority which relates to or is likely to affect a person described in paragraph 8(1)(a)(i) or 8(1)(a)(ii)(aa), you need only disclose to the meeting the existence and nature of that interest when you address the meeting on that business.~~

~~(3) Where you have a personal interest in any business of the authority of the type mentioned in paragraph 8(1)(a)(viii), you need not disclose the nature or existence of that interest to the meeting if the interest was registered more than three years before the date of the meeting.~~

~~(4) Sub-paragraph (1) only applies where you are aware or ought reasonably to be aware of the existence of the personal interest.~~

~~(5) Where you have a personal interest but, by virtue of paragraph 14, sensitive information relating to it is not registered in your authority's register of members' interests, you must indicate to the meeting that you have a personal interest, but need not disclose the sensitive information to the meeting.~~

~~(6) Subject to paragraph 12(1)(b), where you have a personal interest in any business of your authority and you have made an executive decision in relation to that business, you must ensure that any written statement of that decision records the existence and nature of that interest.~~

~~(7) In this paragraph, "executive decision" is to be construed in accordance with any regulations made by the Secretary of State under section 22 of the Local Government Act 2000.~~

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

~~Prejudicial interest generally~~

~~10. (1) Subject to sub-paragraph (2), where you have a personal interest in any business of your authority you also have a prejudicial interest in that business where the interest is one which a member of the public with knowledge of the relevant facts would reasonably regard as so significant that it is likely to prejudice your judgement of the public interest.~~

~~(2) You do not have a prejudicial interest in any business of the authority where that business—~~

~~(a) does not affect your financial position or the financial position of a person or body described in paragraph 8;~~

~~(b) does not relate to the determining of any approval, consent, licence, permission or registration in relation to you or any person or body described in paragraph 8; or~~

~~(c) relates to the functions of your authority in respect of—~~

~~(i) housing, where you are a tenant of your authority provided that those functions do not relate particularly to your tenancy or lease;~~

~~(ii) school meals or school transport and travelling expenses, where you are a parent or guardian of a child in full time education, or are a parent governor of a school, unless it relates particularly to the school which the child attends;~~

~~(iii) statutory sick pay under Part XI of the Social Security Contributions and Benefits Act 1992, where you are in receipt of, or are entitled to the receipt of, such pay;~~

~~(iv) an allowance, payment or indemnity given to members;~~

~~(v) any ceremonial honour given to members; and~~

~~(vi) setting council tax or a precept under the Local Government Finance Act 1992.~~

~~Prejudicial interests arising in relation to overview and scrutiny committees~~

~~11. You also have a prejudicial interest in any business before an overview and scrutiny committee of your authority (or of a sub-committee of such a committee) where—~~

~~(a) that business relates to a decision made (whether implemented or not) or action taken by your authority's executive or another of your authority's committees, sub-committees, joint committees or joint sub-committees; and~~

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

~~(b) at the time the decision was made or action was taken, you were a member of the executive, committee, sub-committee, joint committee or joint sub-committee mentioned in paragraph (a) and you were present when that decision was made or action was taken.~~

~~**Effect of prejudicial interests on participation**~~

~~**12.**—(1) Subject to sub-paragraph (2), where you have a prejudicial interest in any business of your authority—~~

~~(a) you must withdraw from the room or chamber where a meeting considering the business is being held—~~

~~(i) in a case where sub-paragraph (2) applies, immediately after making representations, answering questions or giving evidence;~~

~~(ii) in any other case, whenever it becomes apparent that the business is being considered at that meeting;~~

~~unless you have obtained a dispensation from your authority's standards committee;~~

~~(b) you must not exercise executive functions in relation to that business; and~~

~~(c) you must not seek improperly to influence a decision about that business.~~

~~(2) Where you have a prejudicial interest in any business of your authority, you may attend a meeting (including a meeting of the overview and scrutiny committee of your authority or of a sub-committee of such a committee) but only for the purpose of making representations, answering questions or giving evidence relating to the business, provided that the public are also allowed to attend the meeting for the same purpose, whether under a statutory right or otherwise.~~

Part 3

Registration of Members' Interests

~~**Registration of members' interests**~~

~~**13.**—(1) Subject to paragraph 14, you must, within 28 days of—~~

~~(a) this Code being adopted by or applied to your authority; or~~

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

~~(b) your election or appointment to office (where that is later),~~

~~register in your authority's register of members' interests (maintained under section 81(1) of the Local Government Act 2000) details of your personal interests where they fall within a category mentioned in paragraph 8(1)(a), by providing written notification to your authority's monitoring officer.~~

~~(2) Subject to paragraph 14, you must, within 28 days of becoming aware of any new personal interest or change to any personal interest registered under paragraph (1), register details of that new personal interest or change by providing written notification to your authority's monitoring officer.~~

Sensitive information

~~14. —(1) Where you consider that the information relating to any of your personal interests is sensitive information, and your authority's monitoring officer agrees, you need not include that information when registering that interest, or, as the case may be, a change to that interest under paragraph 13.~~

~~(2) You must, within 28 days of becoming aware of any change of circumstances which means that information excluded under paragraph (1) is no longer sensitive information, notify your authority's monitoring officer asking that the information be included in your authority's register of members' interests.~~

~~(3) In this Code, "sensitive information" means information whose availability for inspection by the public creates, or is likely to create, a serious risk that you or a person who lives with you may be subjected to violence or intimidation~~

PART FIVE – CODES AND PROTOCOLS
Section A– Member Code of Conduct

~~Written Undertaking~~

~~I,, being a member/co-opted member (delete as appropriate) of the London Borough of Haringey Council, undertake to observe the code as to the conduct which is expected of Members/co-opted members (delete as appropriate) of the London Borough of Haringey Council.~~

~~Signed.....Date~~

~~This undertaking was made and signed before me~~

~~Signed.....Date.....~~

~~Proper officer of the authority~~

~~DECLARATION OF ACCEPTANCE OF OFFICE~~

I ~~[(1)]~~ having been elected to the office of ~~[(2)]~~ of the London Borough of Haringey declare that I take that office upon myself, and will duly and faithfully fulfil the duties of it according to the best of my judgement and ability.

I undertake to observe the code as to the conduct that is expected of members of the London Borough of Haringey

Signed _____ Date

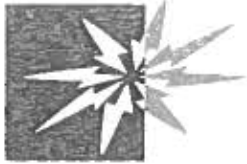
This declaration was made and signed before me,

Signed _____ Date

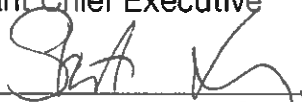
~~Proper officer of the council.~~

~~(1) Insert the name of the person making the declaration.~~

~~(2) Insert "member" or "Mayor" as appropriate.~~



Haringey Council

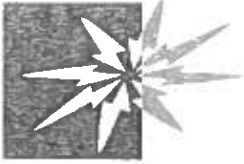
Report for:	Full Council July 2012	Item number	
Title:	Council Plan 2012-2014		
Report authorised by :	Stuart Young, Assistant Chief Executive 		
Lead Officer:	Eve Pelekanos, Head of Strategy and Business Intelligence Eve.pelekanos@haringey.gov.uk Extn. 2508		
Ward(s) affected: All	Report for Key/Non Key Decision: Key decision		

1. Describe the issue under consideration

This report recommends the draft Council Plan 2012-2014 for adoption by the Council.

This Plan describes the main challenges facing the Council and what we will do to tackle them. It sets out our commitment to promoting equality, tackling disadvantage and improving the life chances of residents, especially those who are the most vulnerable.

The plan identifies five key priorities which will form our major programmes of work for the period 2012-14. In recognition of the Council's other major responsibilities, key activities which make up our day to day work are also described. The plan demonstrates the golden thread from the work of individual members of staff through to achieving the Council's vision.



Haringey Council

2. Cabinet Member Introduction

This Council Plan sets out our vision for the kind of borough we want. It is about Haringey as a whole, but places great emphasis on rebuilding Tottenham. The devastation caused by the riots in Tottenham last summer has underlined the need to deliver wholesale regeneration of the area.

In addition to regenerating Tottenham, we are facing the ongoing and substantial challenge of government funding cuts. Like councils across the country, since the local and general elections of May 2010, we have been working to address the fallout of the biggest public sector cuts for a generation. We have seen our budget reduced by 30 per cent which has required an extensive reappraisal of what and how we provide local services to meet the needs of the communities that we serve.

We know that Haringey is a borough of contrasts, with a mix of great prosperity and affluence on one hand, and of deprivation and poverty on the other. We are determined to address these inequalities across the borough and to work towards a better life for all our residents.

To help us target our efforts we have identified five priorities and will work tirelessly to achieve them. We recognise that we cannot do this alone and will work with other organisations and people around the borough as we all have a responsibility to ensure that our current resources are spent wisely, investing to achieve our vision of '**One Borough, One Future**'.

3. Recommendations

That the Council adopts this Council Plan 2012-14

4. Other options considered

None



Haringey Council

5. Background information

This Plan addresses the challenges facing the Council in the immediate future, which include:

- Tackling poverty and in particular child poverty
- Rebuilding Tottenham following the riots in summer 2011
- Tackling the high and increasing unemployment which is depressing growth and contributing to our status as the most unequal borough in the capital
- Addressing housing need, including homelessness and overcrowding
- Accelerating the pace of school improvement across the borough

In light of the immediate issues to be addressed in the borough, and the emerging national agendas (see Appendix A to Council Plan), the Council Plan focuses on the following key priorities:

- 1. Work with local businesses to create jobs**
- 2. Deliver regeneration to key areas of the borough**
- 3. Tackle the housing challenges**
- 4. Improve school standards and outcomes for young people**
- 5. Deliver responsive, high quality services to residents**

Whilst we are focussed on addressing these priorities, it is important to recognise that the Council carries out other, essential work. Therefore the plan provides details of new activities which help us to deliver our other major responsibilities of:

- Community Safety
- Environment
- Health and social care
- Resident empowerment and social inclusion

6. Comments of the Chief Financial Officer and Financial Implications

There are no specific financial implications arising from this report. The Council's Medium Term Financial Plan (MTFP) ensures that the Council's priorities can be delivered within available resources whilst achieving value for money. The plan is reviewed and updated regularly and integrates business and financial planning over a three-year budgeting period which ensures clear links between the Council's priorities and the resources available to it.



Haringey Council

7. Head of Legal Services and Legal Implications

There are no immediate legal implications arising from this report.

8. Equalities Comments

The Council Plan 2012- 2014 incorporates our key strategic documents which have been subject to an equality impact assessment. The Council Plan also reflects the Corporate Equality Objectives that have been agreed as part of the Council's current Equality Policy.

9. Head of Procurement Comments

N/A.

10. Policy Implications

The Council Plan 2012-2014 is the overarching plan for the Council's work. It forms the basis for the direction of all other Council policies and strategies.

Work will be undertaken across the Council to ensure that existing policies and strategies correspond with the Council Plan's stated priorities and major responsibilities. Policies will be refreshed where necessary. Any new policies and strategies to be developed will address the priorities and major responsibilities described in the Council Plan.

11. Use of Appendices

Appendix A – Council Plan

12. Local Government (Access to Information) Act 1985

N/A.

Haringey's Council Plan 2012-2014

Working towards
'One Borough, One Future'

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Foreword

Like councils across the country, since the local and general elections of May 2010, we have been working to address the fallout of the biggest public sector cuts for a generation. We have seen our budget reduced by 30 per cent which has required an extensive reappraisal of what and how we provide local services to meet the needs of the communities that we serve.

On top of the huge challenge of government funding cuts, the devastation caused by the riots in Tottenham last summer has underlined the need to deliver wholesale regeneration of the area. We know, too, that Haringey is a borough of contrasts, with a mix of great prosperity and affluence on one hand, and of deprivation and poverty on the other.

These factors combined have reinforced our commitment to reducing inequality locally as a means towards a better life for all our residents.

This Council Plan sets out our vision for the kind of borough we want. It is about Haringey as a whole, but rebuilding Tottenham is our focus.

To help us target our efforts we have identified five priorities and will work tirelessly to achieve them. We recognise that we cannot do this alone and will work with other organisations and people around the borough as we all have a responsibility to ensure that our current resources are spent wisely, investing to achieve our vision of 'One Borough, One Future'.

Cllr Claire Kober, Leader of Haringey Council
Kevin Crompton, Chief Executive, Haringey Council

Introduction

This Council Plan describes the main challenges facing the Council and what we will do to tackle them. It sets out our commitment to promoting equality, tackling disadvantage and improving the life chances of residents, especially those who are the most vulnerable.

The plan does not cover everything that the Council does, but rather focuses on a combination of those issues that matter most to local people, the national priorities set by central government and Haringey's unique challenges described below.

The plan identifies five key priorities which will form our major programmes of work for the period 2012-14. In recognition of the Council's other major responsibilities, key activities which make up our day to day work are also described.

The national context

Local government is undergoing a period of significant change driven by budget cuts and rising demand for public services. By 2020, councils are likely to be very different. The Government's plans to reform public services described in the [Open Public Services White Paper](#), sets out a vision where individuals and communities have much greater choice and control and public sector organisations are the commissioners of services rather than direct providers.

The White Paper sets the context for other emerging national policies and agendas which will influence the future shape and operation of all public services including the Council. Details of these can be found in Appendix A.

The local context

- Haringey is an **exceptionally diverse** and fast-changing borough. We have approximately 225,000 residents. Almost half of our population, and three-quarters of our young people, are from ethnic minority backgrounds, and around 200 languages are spoken in the borough. Our population is the fifth most ethnically diverse in the country.
- Haringey has a **relatively young population**; over two thirds is under 50, and over half is under the age of 35; this is above both the London and England averages.
- Despite this, following the national trend, **our population is ageing**; 9.5% are of pensionable age (65 plus), with a projected increase to 11.7% by 2021.
- The borough ranks as one of the most deprived in the country with pockets of extreme deprivation in the east. Haringey is the **13th most deprived borough in England** and the **4th most deprived in London**.

Immediate challenges

In addition to the national and local context described above, the challenges facing the Council in the immediate future include:

- Tackling poverty and in particular child poverty
 - Rebuilding Tottenham following the riots in summer 2011
 - Tackling the high and increasing unemployment which is depressing growth and contributing to our status as the most unequal borough in the capital
 - Addressing housing need, including homelessness and overcrowding
 - Accelerating the pace of school improvement across the borough
 - Delivering quality services despite a challenging economic climate and with reduced public sector funding
 - Modernising customer contact by exploiting technology to provide the most efficient contact with our residents as customers
 - Encouraging local decision-making, giving communities, neighbourhoods and individuals more say and choice
 - Empowering residents to be more self reliant, doing more for themselves and their communities
-

Our vision

Our vision shows the kind of borough we want for the future:

Vision

**‘One Borough, One Future:
Reducing inequalities – ambition for the better society’**

We know that this is a long term ambition. We are committed to delivering it by providing effective services which will help to address inequalities between the most vulnerable and disadvantaged groups and the majority of residents.

Our aim is ‘to sustain and improve the life chances of our residents, especially those who are most vulnerable, and develop a borough which is a good place to be born, learn, work, have fun and grow old’.

We understand that we cannot achieve this vision alone and we will work with residents, voluntary and community groups and our statutory sector partners to find ways to deliver it.

Our key priorities

We recognise the impact the current economic climate is having on our residents and we want to do our best to ease this, therefore we will concentrate on the following priorities.

Key priorities

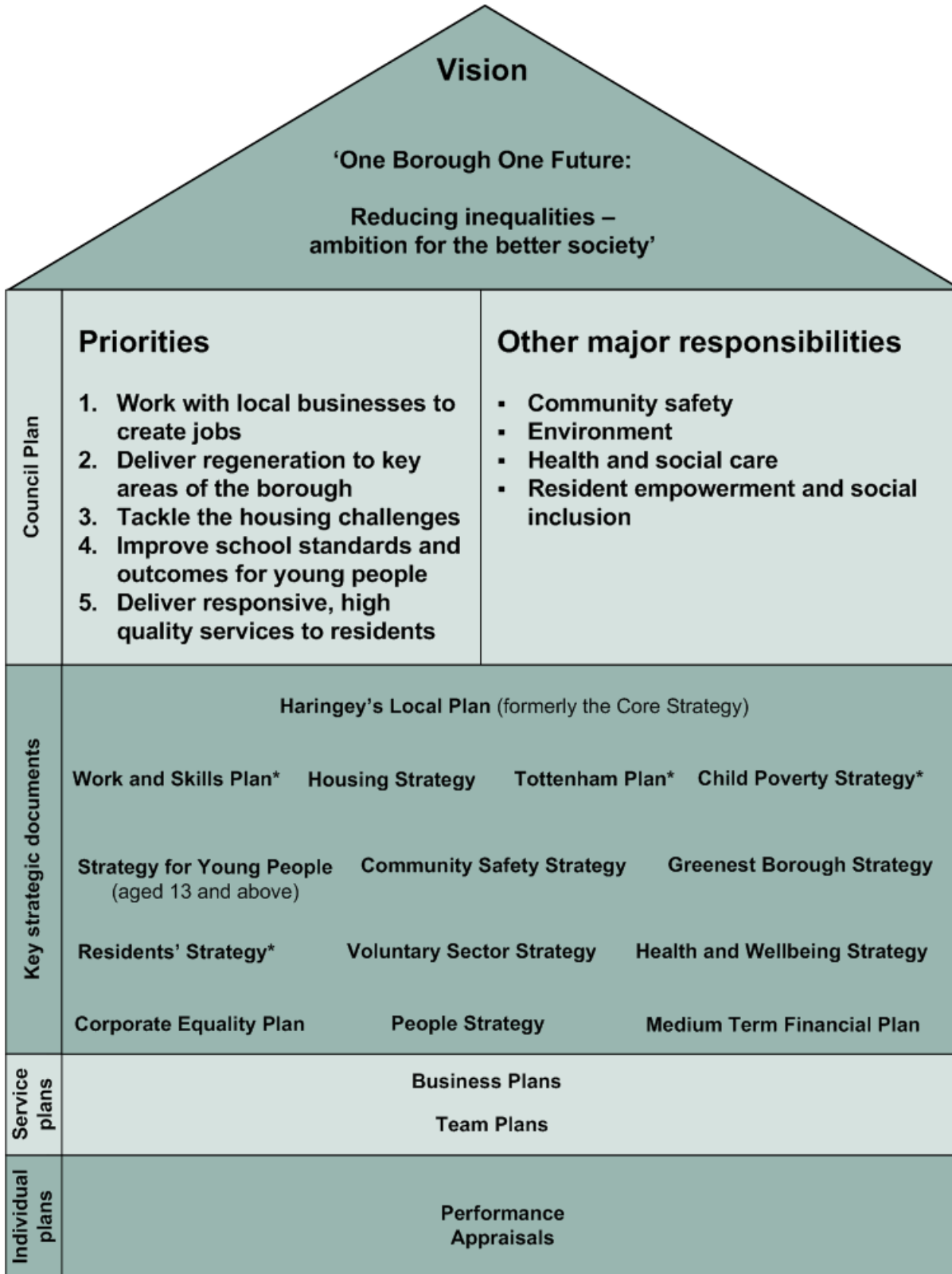
1. Work with local businesses to create jobs
2. Deliver regeneration to key areas of the borough
3. Tackle the housing challenges
4. Improve school standards and outcomes for young people
5. Deliver responsive, high quality services to residents

We believe that by focusing our resources in this way we can reduce inequality. A diagram showing how we will deliver our vision and priorities is overleaf.

Consultation with residents undertaken between November 2011 and January 2012 showed that two thirds agreed with the priorities, with over 80% in support of our plans to create local jobs by working with local businesses, improve outcomes for young people and deliver responsive, high quality services.

A description of each of the key priorities, a summary of where we are now and the key activities we will undertake to achieve them is described in the following pages.

Delivering our vision 2012-14



*In development

1. Work with local businesses to create jobs for local people

Lead: Director of Place and Sustainability

Where we are now

- The **Haringey Guarantee** programme supported 150 previously unemployed residents into work in 2011/12 and 42 **apprentices** were recruited by the Council and its partners.
- **Haringey Adult Learning Service (HALS), Information Advice and Guidance** delivered more than 4,000 sessions of support in 2011/12, including advice on employment and access to further and higher education.
- The **employment rate** in Haringey is 5.3 per cent below the London average, the equivalent of 8,500 jobs. **Youth unemployment** is a key issue, with the employment rate being particularly low for young people aged 16-24. Since 2008 there has been a large increase in **Jobseekers Allowance** claimants.
- **Unemployment is higher in the east of the borough.** Tottenham has some of the highest levels of unemployment in London and the UK. Northumberland Park ward has the highest number of claimants in London.
- **Child poverty is an issue.** Approximately 40% of households in Tottenham with one or more dependent children had no one in work, compared to 25% across Haringey and 21% across London (2010). Intergenerational worklessness where neither grandparents nor parents are working is also higher in the east of the borough. The risk is that children will not find or be equipped to find work.
- In March 2012 the council agreed the £3.5million [Jobs for Haringey Scheme](#) that will create 300 jobs for local people. This will be developed in partnership with the College of Haringey, Enfield and North East London and HALS who will provide training support, up to NVQ level 3, for people who are placed in the created jobs.

We have ambitious plans to address these issues: we will promote the local economy, encourage local jobs and provide a better start in life for all of Haringey's young people. Our approach is to develop initiatives that will increase job opportunities and local skills, maximise incomes, reduce financial pressures for low income families, and encourage people to help themselves.

To achieve a stronger local economy and get residents into work we will provide a supportive environment for local businesses and new and innovative companies. We will make sure that potential investors and businesses outside the area understand the potential that Tottenham and the rest of the borough offers, and find it an easy place to do business.

The [Jobs for Haringey](#) programme will target support to help residents access jobs and opportunities. It is aimed at: young people under 24 years, residents furthest away from work who will not be supported by the Government's Work Programme and parents (including lone parents) and families.

A Work and Skills Plan is being developed alongside our [Child Poverty Strategy](#) to bring together activities to deliver this priority.

2012 - 13

2013 - 14

April - September

- ✓ Launch overarching 'Jobs for Haringey' programme to support residents into sustained employment
- ✓ Create jobs in the private sector for residents through the new 'Haringey Jobs Fund', with a focus on young people
- ✓ Develop Upper Lea Valley Green Economy Strategy to promote jobs and business growth
- ✓ Continue to promote the Haringey, Islington and City Credit Union

October - March

- ✓ Establish an Enterprise and Employment Centre at 639 High Road
- ✓ Revitalise Technopark through increased flexibility in leasing, focussed marketing and management
- ✓ Support 160 third country nationals (non UK and EU nationals) to integrate in the local community through the 'Haringey Welcome'
- ✓ Undertake Business Energy Audits and Skills Upgrade
- ✓ Complete new employment estate in White Hart Lane

April - March

- ✓ Continue to support residents into sustained employment through the 'Jobs for Haringey' programme
- ✓ Finalise Upper Lea Valley Green Economy Strategy
- ✓ Produce Town Centre Business Improvement Plans

Priority 1: Work with local businesses to create jobs for local people

2. Deliver regeneration to key areas of the borough

Lead: Director of Place and Sustainability

Where we are now

- The **Tottenham Regeneration Programme** is underway and we have committed to the [12 in 2012 pledges](#). Achievements so far include:
 - Planning permission granted for revised Tottenham Hotspur Football Club development scheme
 - Planning permission granted for Aldi supermarket
 - Post Office reopened in January 2012
 - Job Centre Plus reopened in April 2012
 - £1m of support provided to local businesses
 - Delivery of '*I Love Tottenham*' communications campaign and events to support local traders
 - Ongoing community engagement – over 600 responses to *Have Your Say* on Tottenham's Future and hundreds more engaged at events and forums
- The **Mayor of London** has dedicated funding to the regeneration of north Tottenham, which will help us deliver our commitment to transform the area.
- Clarendon Square in **Haringey Heartlands** received outline planning consent in September 2011.
- Plans for the redevelopment of **Hornsey Town Hall** as a centre for arts and enterprise are being drawn up.
- In the 2012/13 budget the Council agreed an additional £700,000 to support the regeneration of Tottenham, £850,000 for the development of Alexandra Palace and a £9million north Tottenham investment package for heritage, public space and environmental improvement.

Rebuilding Tottenham is at the heart of our priorities. The challenge is to ensure that we deliver the type of regeneration that Tottenham deserves – working with people, not simply on behalf of them, to deliver more than the physical re-building of the High Road and surrounding area by bringing in new business, more jobs and mixed housing. We want Tottenham to be a place where more people choose to buy or rent a home, bring up their families and work in fulfilling and diverse jobs. We must rebuild confidence in it as a safe place to live. Our [plan for regenerating Tottenham](#) is in development.

While regenerating Tottenham must be our priority, regeneration of key sites across the borough is vital for providing a better quality of life for all of our residents. The redevelopment of **Hornsey Town Hall** will provide a centre for arts education in the borough, creating a vibrant focal point for Crouch End, and offering a boost to local businesses with an influx of visitors to the area. The **Haringey Heartlands** scheme will bring new homes, shops, restaurants and public spaces to the area. We are committed to working in partnership with Alexandra Palace and Park Board towards the regeneration of Alexandra Palace.

Our regeneration work is overseen by [Haringey's Local Plan](#) (formerly the Core Strategy) which sets out plans for the future development of the borough. It covers how the Council will deliver a better choice of high quality design, affordable housing, better community facilities, more schools and training opportunities, improved public transport and more attractive and safer streets and parks.

2012 - 13

2013 - 14

April - September

- ✓ Consult on both a regeneration plan for Tottenham and improvements to Tottenham High Road
- ✓ Consult on the Northumberland Park Development and the link with the redevelopment of Tottenham Hotspur Stadium
- ✓ Consult on the improvement plan for Alexandra Palace
- ✓ Promote the rebuilding of sites in Tottenham – Aldi supermarket on site
- ✓ Start the regeneration of Tottenham Green as a civic heart for Tottenham
- ✓ Draft strategy for future use of community buildings
- ✓ Begin to identify and release Council owned sites for regeneration following a property review
- ✓ Continue to deliver Haringey's 12 in 2012 pledges

October - March

- ✓ Promote the rebuilding of sites in Tottenham – Carpet Right store on site
- ✓ Complete the improvements to Tottenham Green
- ✓ Review plan to improve the transport interchange at Tottenham Hale
- ✓ Work with the Green Lanes Strategy Group to deliver improvements to Green Lanes
- ✓ Create new parks, homes, community facilities and a new high street at Hale Village
- ✓ Progress plans for regeneration of Haringey Heartlands
- ✓ Begin work on improvements to Lawrence Road, N15
- ✓ Progress plans for Civic Centre, Wood Green
- ✓ Consult on plans for St Ann's

April - March

- ✓ Complete plans for the Northumberland Park Development and deliver early improvements
- ✓ Improve the transport interchange at Tottenham Hale – commence work on bus station site
- ✓ Complete improvements to Green Lanes
- ✓ Begin improvement work on Hornsey Town Hall

Priority 2: Deliver regeneration to key areas of the borough

3. Tackle the housing challenges

Leads: Directors of Adult and Housing Services; Place and Sustainability

Where we are now

- Haringey has significant levels of **homelessness**, reflective of the high levels of deprivation, particularly concentrated in the east of the borough.
- Despite reducing the use of **temporary accommodation** by 49% since December 2006, Haringey still has just under 3,000 homeless households living in temporary accommodation (March 2012), amongst the highest in the country.
- The supply of **affordable housing** falls well short of the level of demand.
- In some parts of the borough – particularly in the east – we have an oversupply of **low quality, private rented accommodation** which is likely to attract households who are being priced out of more expensive areas in the capital as a result of the Government's housing benefit changes.
- A sharp rise in the number of migrant workers coming to Haringey has led to **increasing demand** for low cost private rented accommodation. Between 2002/3 and 2010/11, the Department for Work and Pensions completed just over 91,000 new national insurance registrations for overseas nationals in Haringey – the fourth highest in London. 67% of these migrant workers recorded Tottenham as their home.
- Estimates from the Department of Energy and Climate Change put the rate of **fuel poverty** in Haringey around 14%, slightly above the London average. The [Warm 'n' Healthy](#) project was launched in January 2012, with Department of Health funding, supporting more than 400 older people with its 'winter offer', which included help with fuel efficiency/poverty and fire safety assessments.

We know that a good standard of housing is essential for a good quality of life. Haringey has some excellent housing – both in the social sector and private sectors – however, we recognise that some falls below acceptable levels. We are committed to improving existing housing conditions in both the private rented sector and in social housing. We will work in partnership with landlords, but take strong enforcement action where necessary, to improve the condition of Homes in Multiple Occupation and to bring empty properties back into use. We will also continue to deliver our Decent Homes Programme to improve the standard of existing council homes.

We are committed to improving the availability and tenure mix of housing. We want all neighbourhoods to have a balance and mix of house types and tenures to help create sustainable communities. We will support development proposals for new, high quality, energy efficient homes in a mixture of tenures.

The borough has many older street properties which can be expensive to heat and combined with low incomes can lead to fuel poverty. In Haringey, as might be expected, there are variations between wards in the number of households in fuel poverty; the highest incidence is mainly in the east of the borough. We are committed to reducing levels of fuel poverty across the borough.

This work is supported by the [Housing and related strategies](#) and delivery plans.

2012 - 13

2013 - 14

April - September

- ✓ Work with other boroughs to procure reasonably priced, good quality Temporary Accommodation
- ✓ Agree the Affordable Rent Statement to maximise the supply of and access to affordable housing, particularly in the west of the borough
- ✓ Produce a design charter and programme for excellence in new housing developments
- ✓ Draft an estate regeneration strategy and housing stock investment plan
- ✓ Establish Homelessness Forum

October - March

- ✓ Develop a plan to meet the needs of families displaced/ disadvantaged by changes to the welfare system
- ✓ Develop a plan to minimise the financial impact of the Overall Benefits Cap
- ✓ Deliver a minimum of 200 affordable homes
- ✓ Continue to bring empty properties back into use
- ✓ Finalise the estate regeneration strategy and housing stock investment plan
- ✓ Develop a 30 year business plan for the future management and maintenance of the Council's housing stock
- ✓ Complete Homelessness Strategy 2012-14 year one actions to tackle homelessness and minimise the number of households in Temporary Accommodation
- ✓ Review and update the Housing Allocations Policy
- ✓ Develop and agree a Tenancy Strategy

April - March

- ✓ Complete Homelessness Strategy 2012-14 year two actions to tackle homelessness and minimise the number of households in Temporary Accommodation
- ✓ Extend the additional Licensing Scheme for Houses in Multiple Occupation to several parts of Tottenham
- ✓ Implement our plan to minimise the financial impact of the Overall Benefits Cap

Priority 3: Tackle the housing challenges

4. Improve school standards and outcomes for young people

Lead: Director of Children and Young People's Services

Where we are now

- Haringey won the fight for **fairer funding** following a long and sustained campaign by the Council, trade unions, schools and local MPs to end an anomaly that has seen the borough miss out on millions of pounds worth of funding for local schools.
- Results in the **Foundation Stage** (children at the end of reception year) improved in 2011 closing the gap with national results to five percentage points. Attainment at **age 7 and age 11** remains below the England average.
- Local students achieved their best ever **GCSE** results, with the percentage of pupils achieving 5 or more A* - C grades (including English and maths) now very close to the national average (Haringey 57.3%, England 58.9%). Our secondary schools were among the most improved in the country. At **post 16**, Haringey has closed the gap with the England average.
- In the 2012/13 budget the Council agreed £12million to modernise and expand primary schools to meet increasing demand.
- Although Haringey's rate of **teenage conceptions** increased in 2010, the overall trend shows a 16.3% reduction since 1998.
- Haringey has the fourth highest level of **child poverty** in London

Our aim is to ensure all children have the opportunity to achieve their potential. Improving outcomes for children and young people, in particular educational attainment is critical to driving down the high level of inequality in the borough. We are committed to forging a new relationship with our schools and therefore the Council has launched [Outstanding for All](#), a commission charged to consider how best to accelerate the pace of school improvement across the borough. We will also prepare for the raise in the school leaving age from 2013.

We know too that adolescence is a critical time for young people who will be making decisions that will significantly affect their future. Whereas young people in general face challenges in achieving their aspirations, we want to ensure that most of them will enjoy their teenage years, having productive experiences which will enable them to succeed. A minority of young people need intensive interventions and ongoing support in order to effect a successful transition to adulthood. We are taking an holistic approach to supporting hard to reach young people, working with whole families to tackle issues of anti-social behaviour, school attendance and worklessness; this is described in detail in our forthcoming [Strategy for Young People \(aged 13 and above\)](#).

Following the recent release of the 2010 teenage pregnancy figures which showed Haringey's rates were the highest in the country, the Council and its partners have set up a 'Teenage pregnancy taskforce' to prioritise work to reduce teenage pregnancy and improve young people's sexual health.

We are committed to developing more activities, opportunities and positive experiences for children and young people, and to involving them in shaping the services they use.

2012 - 13

2013 - 14

April - September

- ✓ Launch Outstanding For All commission to consider the future of education provision in Haringey
- ✓ Hold Head teachers' conference to inform approach to future school improvement
- ✓ Hold annual Governors' conference to help support governing bodies to make decisions about the status of their schools
- ✓ Use Early Intervention Grant funding to prepare for the new duty to give vulnerable two year olds access to early education
- ✓ Increase the number of primary school places to meet demand
- ✓ Commence Troubled Families Initiative
- ✓ Teenage Pregnancy Task Force to agree actions to reduce levels of teenage pregnancy

October - March

- ✓ Respond to recommendations from 'Outstanding for All' commission
- ✓ Develop proposals for new school improvement service structure to provide challenge and support to underperforming schools particularly at Key Stage 2 (age 7-11)
- ✓ Begin delivery of the Strategy for Young People (13-19 year-olds) to enable every young person to achieve their full potential
- ✓ Develop area based forums so that young people can have a say about services at a local level
- ✓ Deliver the Strategic Improvement Programme to increase the number of families receiving earlier help; prevent more costly use of services, and provide more targeted support to schools
- ✓ Improve the timeliness of care decisions and implement actions to improve the adoption service

April - March

- ✓ Develop sufficient places to fulfil the new duty to give vulnerable two year olds access to early education
- ✓ Develop plan to introduce placements with good or outstanding childminders

Priority 4: Improve school standards and outcomes for young people

5. Deliver responsive, high quality services to residents

Leads: Assistant Chief Executive and Director of Corporate Resources

Where we are now

- In 2011/12 the Council **achieved £41m of savings** in its revenue budget as part of its programme to achieve £84m of savings by 2014.
- A £1.5 million [One Borough One Future Fund](#) has been set up for projects which support innovation and change, stimulate new ideas and help the council address inequality.
- **Council tax has been frozen for the third year running** as the council looks to ease pressure on local families.
- 95.30% of **council tax was collected** for 2011/12, among the highest collection rate in London.
- The average **time taken to process new benefit claims and changes** is longer than we aimed for and remains a focus for the coming year.

This priority relates to the culture of the Council and applies to all of our services. We are committed to getting the basics right – delivering excellent, responsive, value for money services to residents at all times, and making the Council an effective organisation. We need to ensure that we are ambitious and creative enough to meet the expectations of our residents. We want to improve residents' experience of Council services and for all of our services to provide a first-class standard of customer care, and to inspire confidence in the Council as a whole. To become a more customer focused organisation we will enhance our engagement with residents to get their views on where we need to improve.

We are aware that high quality services will need to be delivered within fewer resources. The Council will therefore continue its focus on efficiency, economy and achieving value for money. The significant reductions in our budget mean that there is reduced capacity to meet the range of customer needs and expectations that have been accommodated in the past. However, in delivering less we must do so to a higher standard.

We will prioritise our resources to provide quality services to those who need them most. Where appropriate, we will look for new ways of delivering services including sharing services with other organisations. We will also strengthen our strategic commissioning approach to service delivery by looking in depth at the needs of a local population, considering how those needs will be best met, by whom and in what ways, and then seeking provision accordingly.

We will ensure that our staff have the right skills to deliver services and that our commitment to our residents is at the heart of everything we do.

Our approach to this priority is set out in the Council's Residents' Strategy and [People Strategy](#) (forthcoming).

2012 - 13

2013 - 14

April - September

- ✓ Start delivery of the Residents' Strategy
- ✓ Complete options appraisal for frontline delivery model of Customer Services
- ✓ Introduce "cashless" parking payments
- ✓ Implement Voluntary Sector Commissioning and Funding Framework
- ✓ Develop unit costs for key services
- ✓ Continue implementation of shared services programme with Waltham Forest
- ✓ Develop Area Committee Action Plans
- ✓ Make the best use of the Council's office accommodation through SMART working

October - March

- ✓ Develop online services to be more user friendly, including social media and personalised web services
- ✓ Complete systems upgrade for permits and Parking Control Notices to improve customer experience
- ✓ Complete agreed volunteering and participation projects
- ✓ Report on unit costs and use them to improve value for money
- ✓ Prepare to take control of the administration of Council Tax Benefits and legislative changes in respect of Council Tax
- ✓ Explore the opportunities for alternative service delivery models including shared support services
- ✓ Allocate the 'One Borough, One Future' Fund to approved projects to promote innovative ways of working
- ✓ Finalise and implement the Council's new People Strategy
- ✓ Model the costs and opportunities associated with the Local Government Finance Bill and welfare reform
- ✓ Complete leisure centre procurement
- ✓ Produce an action plan for the development of Community Hubs

April - March

- ✓ Implement changes to customer services including a new model for Revenues and Benefits
- ✓ Take control of the administration of Council Tax Benefits
- ✓ Implement opportunities for alternative service delivery models including shared support services

Priority 5: Deliver responsive, high quality services to residents

Other major responsibilities

Our five key priorities do not reduce the importance of our other essential work. The Council provides a range of services; some are very visible and are provided to all our residents – like collecting the waste and recycling, leisure centres and libraries. Some only become obvious when residents need them – like social care services. We aim to make sure that our services fully reflect the needs of our diverse communities. Only new actions to deliver these responsibilities are described below, ongoing activities are included in the business plans of individual services.

Community safety

Lead: Director of Place and Sustainability

Where we are now

- The 2010/11 Residents' Survey shows that **residents' biggest concern was crime**.
- The **overall crime rate** increased over 2011/12. We know that crime is concentrated at transport hubs and retail centres and in particular areas of the borough such as Northumberland Park and Tottenham High Road; these have been longstanding hot spots for crime.
- **Young people** aged between 18-25 years are most likely to be victims of crime, and youths (aged 10-17) are most likely to be victims of robbery and assaults.
- Although **domestic and gender-based violence** is generally acknowledged to be an under-reported crime, it still constitutes 30% of all violent crime in Haringey, which is high when compared to other London boroughs. Almost 80% of offences occur in the east of the borough.

The Tottenham riots of August 2011 had a devastating effect on local people's *ability to feel safe*, and we are now committed to strengthening the role and presence of the Police, and to helping rebuild trust between the Police and Tottenham's communities.

To help residents feel safer all across the borough, the Council is working closely with the police and other partners to set up an enhanced enforcement and reassurance team.

Protecting people from avoidable harm or abuse underpins everything we do. We want vulnerable children and adults living in Haringey to feel supported and secure, and our looked after children to live in stable environments. We want to raise awareness of domestic and gender-based violence, particularly through engagement with children and young people, and ensure that survivors are supported.

We want residents to be able to get on with their lives without fear of anti-social behaviour, crime or abuse. We are working with the police and residents to make sure that Haringey is a safer place for people to live, work in, and to visit; activities are described in our [Community Safety Strategy](#).

2012 - 13

2013 - 14

April - September

- ✓ Develop a multi-agency casework group to reduce levels of anti-social behaviour and hate crime and support victims
- ✓ Host a national practitioners' conference with Respect UK focussing on understanding and preventing violence in teenage relationships
- ✓ Work with the police to establish a Youth Independent Advisory Group
- ✓ Establish an 'Integrated Offender Management' Model to reduce offending and re-offending (focus on 18-25 year olds and property offenders)
- ✓ Finalise Hate Crime Action Plan
- ✓ Prepare for the London 2012 Olympics

October - March

- ✓ Deliver externally funded community safety programmes, including 'Ending Gang and Youth Violence' and 'Preventing Violent Extremism' and agree future resourcing
- ✓ Identify organisation(s) to develop and deliver six intensive violence reduction courses for Youth Offending Service (YOS) clients
- ✓ Evaluate the effectiveness of Haringey's multi-agency safeguarding hub (MASH)
- ✓ Deliver joint operations with the Police and Fire Service to address breaches of license conditions
- ✓ Deliver and implement a new Prevention Strategy for the safeguarding of adults

April - March

- ✓ Deliver six intensive violence reduction courses for Youth Offending Service (YOS) clients

Environment

Lead: Director of Place and Sustainability

Where we are now

- Our **street cleanliness** survey shows that 93% of streets have acceptable levels of litter, better than we aimed for. Nevertheless, the **state of our streets is residents' second biggest concern** after crime.
- Although over a quarter (26.5%) of **household waste was recycled** in 2011/12, this is lower than average for London.
- Haringey has 15 Green Flag **parks and open spaces**.
- 16% CO₂ reduction achieved in the **Muswell Hill Low Carbon Zone**.
- In the 2012/13 budget, the Council agreed a further £200,000 investment in local parks and £500,000 to maintain roads and footpaths.

We know that the physical environment in which people live has a direct impact on their well-being. Well planned, clean, green areas with good public spaces have a positive impact on people's physical and mental health, improve levels of trust and enhance community life, and help to prevent crime. We are committed to enhancing the environment across the borough, making sure that the whole borough is sustainable and a place where people want to live and work.

We want to encourage residents to take pride in their neighbourhoods, and take personal responsibility for ensuring that they are clean. We will be increasing our enforcement activities in partnership with other agencies in the borough, to make sure that our streets look as good as possible, and that activities such as fly-tipping are stamped out.

We are proud of our Green Flag parks and open spaces, and will be working hard to maintain their status, as well as making significant improvements to Down Lane and Lordship Recreation Grounds.

We want to reduce the impact of climate change in order to look after our environment and encourage use of our parks and green spaces. We need to make sure that we build on our residents' enthusiasm for protecting our environment and combating climate change. We will be making improvements to our travel systems, particularly in Tottenham, to encourage residents to make better use of public transport, get on their bikes or walk to their destinations.

We know that encouraging residents to increase their recycling is essential – both to improve the cleanliness of the borough, and to provide better value for money. As we adapt for the future, our approach must be to promote recycling, reduce the amount of waste sent to landfill and secure value for taxpayers.

Activities to deliver this area of work are described in the [Greenest Borough Strategy](#).

2012 - 13

2013 - 14

April - September

- ✓ Officially launch Neighbourhood Action Teams (NATs)
- ✓ Roll out second phase of weekly recycling and fortnightly waste collections
- ✓ Achieve 'Fields in Trust' recognition for Muswell Hill Playing Fields and the recreation grounds at Albert Road, Lordship Lane and Down Lane and hold celebration events
- ✓ Maintain 15 Green Flags for parks and open spaces
- ✓ Complete improvement works at Lordship Lane Recreation Ground
- ✓ Deliver our Smarter Travel Programme to reduce car use and increase safety
- ✓ Develop options for future parks maintenance

October - March

- ✓ Identify the causes of fly tipping in three pilot areas and introduce measures to combat fly tipping in those areas
- ✓ Roll out third and final phase of weekly recycling and fortnightly waste collections
- ✓ Develop a 40:20 Action Plan to reduce carbon emissions by 40% by 2020
- ✓ Investigate feasibility of developing a 'Green Deal' partnership with other London boroughs, private and third sector partners
- ✓ Develop initial proposals for a partnership with an Energy Services Company (ESCO) to deliver low carbon energy schemes
- ✓ Develop Down Lane Renewal Project and secure capital match funding
- ✓ Complete the Wood Green Hub as part of the Biking Borough programme to make roads in Haringey "bike friendly"

April - March

- ✓ Implement partnership with an Energy Services Company (ESCO) to deliver low carbon energy schemes
- ✓ Establish five sites of importance for nature conservation
- ✓ Continue to deliver the Biking Borough programme to make roads in Haringey "bike friendly"
- ✓ Complete two year tree planting programme (150 new trees each year)
- ✓ Roll out effective measures to reduce fly tipping across the borough using the lessons learned in pilot areas
- ✓ Implement the Down Lane Renewal Project

Environment

Health and Social Care

Leads: Directors of Public Health; Children and Young People's Services;
Adult and Housing Services

Where we are now

- The Government has recognised the council's sustained improvement in **safeguarding children** and ended its oversight of the service.
- Around 4,360 adults receive **social care services** with the number of clients arranging their own care continuing to increase. Adult Social Care Services has launched the [Reablement Service](#) to help people discharged from hospital to regain independence and reduce the need for long-term care.
- The [Carers Hub](#) was set up at Wood Green Library in June 2011. It gives unpaid carers a place where they can meet other carers, access the internet, and get information and advice.
- In the 2012/13 budget, the Council agreed a further £1.5million for supported living adaptations to people's homes.
- Although there has been a steady improvement in the **infant mortality rate**; approximately one in every 200 babies in Haringey dies before their first birthday. Since 2008, there has been an increase in the coverage of **childhood vaccinations** with a significant improvement in 2011/12. One in four children is either **overweight or obese** before they start school.
- Although **life expectancy** is rising generally, there remains a nine year difference between men living in different parts of the borough (72.5 years in Tottenham Green and 81.5 years in Fortis Green). The difference across the borough is not replicated for women. Over 50,000 adults **smoke**, mainly in the east of the borough, and about 86,000 adults are **overweight or obese**.
- 6,047 **NHS Health Checks** were undertaken in Haringey in people aged 40-74 year olds. This is 9.2% of the eligible population and more than the 5,040 we aimed for.
- Haringey has the third highest rate in London of **severe mental illness**, and an estimated 34,500 people have **common mental health problems**. There is a high rate of male **alcohol-related illness and death** in the borough, and the tenth highest rate in London of **drug and alcohol misuse**.

We want everyone in Haringey to live a healthier life, and to be able to access high quality health and social care services if they need them. However, many of our residents do not experience this, with those in the poorest parts of the borough not only more likely to die early but also to spend a greater proportion of their lives unwell. We understand that to reduce these health inequalities we need to focus not only on health, but also on reducing inequalities in socio-economic circumstances, and particularly in relation to educational and employment opportunities.

Our [Health and Wellbeing Strategy](#) outlines our commitment to encouraging residents to take up healthy behaviours (such as using local leisure centres) and support those with long-term conditions to live a healthier life. We are committed to reducing infant mortality and childhood obesity and want all children in Haringey to have a healthy start in life and an equal chance to fulfil their potential. We are taking action to reduce both the high levels of mental illness in the borough, and the associated stigma. Our vision is for users of care services and their carers to be in control of their own care, accessing services that meet their needs and having a positive experience of care and support.

2012 - 13

2013 - 14

April - September

- ✓ Implement the next phase of reablement service by setting up the Joint Reablement Service to help people discharged from hospital
- ✓ Support the opening of a new extra care housing scheme – Roden Court.
- ✓ Increase access to contraception advice through the C-card scheme
- ✓ Provide outdoor gyms at Down Lane Recreation Ground and Ducketts Common

October - March

- ✓ Simplify the care pathways for children with complex and additional needs
- ✓ Redesign the drug and alcohol treatment system to ensure it better meets the needs of the local population
- ✓ Train frontline staff in the early recognition of mental illness
- ✓ Expand the NHS Health Checks programme to identify and support 40-74 yr olds at risk of heart disease, stroke, diabetes and kidney disease
- ✓ Develop a plan for commissioning Housing Related Support services
- ✓ Put in place a planning policy to control fast food outlets in the borough
- ✓ Ensure all vulnerable adults eligible for adult social care have been offered a personal budget
- ✓ Re-commission and remodel Housing Related Support services

April - March

- ✓ Run a publicity programme to ensure Haringey's parks, children's playgrounds and bus shelters remain smoke free
- ✓ Implement the Health & Social Care Act 2012 locally
- ✓ Implement joint and integrated commissioning with the NHS and Voluntary Sector

Health and Social Care

Resident empowerment and social inclusion

Leads: All Directors

Where we are now

- Haringey [Neighbourhoods Connect](#) launched in 2010 continues to support vulnerable people to remain in their own homes, drawing on formal support from the public and voluntary sectors and on informal support from local groups and neighbours.
- Through the [Warm 'n' Healthy](#) project more than 400 older people have received its 'winter offer', which included matches with volunteer befrienders, preparation for the digital switchover, and benefits advice.
- The £820,000 [Haringey Investment Fund](#) was launched in March 2012. Voluntary and community sector organisations were given the opportunity to bid for up to £50,000 per year for a period of up to three years.
- The council has secured funding for [two national initiatives](#) to support community led projects in areas with significant deprivation and social capital.
 - Four Haringey wards (Bruce Grove, Northumberland Park, Tottenham Green and West Green) were selected to receive neighbourhood grants over the next four years as part of the national *Community First* programme.
 - A small area of Noel Park ward is in line to receive £1m investment over the next ten years from the *Big Local Trust*.
- [Area Forums and Committees](#) were launched in mid-June 2011 to work with local people to improve their neighbourhood for the benefit of all residents. Area Committee Action Plans have been developed for each area in consultation with local people.
- Local people came together for many **community events** including: the Green Lanes Food Festival; for Holocaust Memorial Day, International Women's Day and Black History Month.

We want residents to feel part of their local communities, feel empowered to act for themselves and to have a say in the things that matter to them in the borough. To achieve this, we need to make sure that our residents are well-informed about services that are important to them, through providing the right information at the right time.

We are determined to engage when it will make a difference, be clear about what we are asking and reach all of Haringey's communities. We want to work with local people to ensure they have opportunities to shape their neighbourhoods, and to build leadership capacity across the borough.

We will enable people who use our services to shape their delivery. We want residents to support each other, and to know where to find extra help if they need it – through public sector agencies, and through our voluntary and community sector. We are committed to working with our voluntary and community sector to deliver innovative services to improve the quality of life of the borough's communities.

The Council's [Voluntary Sector Strategy](#) describes our priorities for promoting volunteering and active communities.

Actions to deliver this area of work are described throughout this Council Plan.

Making equalities integral to our planning

The Council has a strong commitment to ensuring that everyone is treated equally, with understanding and respect. We will promote equal opportunities in service delivery and as an employer, making it integral to all our strategies, policies and practices.

During 2011-12 we updated our [Equal Opportunity Policy](#) to reflect the Equality Act 2010 and adopted the following aim:

'A council which ensures the provision of services appropriate to local need, valued by all and delivered by staff who reflect the diverse communities we serve'

We have set nine [corporate equality objectives](#) to support the implementation of the Equality Act. They form the basis of our corporate equality plan for 2012-13.

To meet our statutory duties under the Equality Act, we use equality impact assessments to anticipate and prevent or minimise the likely effects that changes may have especially on disadvantaged groups.

This approach allows a systematic way of taking equal opportunities into consideration enabling Council Members to make informed decisions about the potential effects of proposals. As part of the Council's 2011-12 budget setting process, all proposals were assessed for their equalities risks and presented to Cabinet. The [assessments](#) are available on our website.

Monitoring and reviewing our progress

This Council Plan provides the framework for the improvements we need to make. It is supported by other key strategies and plans set out on p. 7.

We have set key milestones in the service business plans to help us know that we are heading in the right direction. The key indicators that we will use to measure our progress are shown in Appendix B. We will also invite residents' views about our services through a regular tracker survey.

Detailed actions to help us achieve the priorities and areas of responsibility are shown in the accompanying 'delivery plan'.

[Progress](#) on all of these areas will be reported on the Council's website.

Council funding and spending in 2012/13

We are committed to achieving **value for money** – we want to deliver the best services at the lowest possible cost to our residents. We aim to achieve the right balance between economy, efficiency and effectiveness. We are spending less, due to budget cuts. Therefore the money we spend on services has to be spent wisely, so that services achieve the maximum amount benefit to our communities.

On a practical level, value for money can be achieved in different ways, including:

- Reducing costs e.g. through more efficient procurement and commissioning
- Reducing resources e.g. the number of buildings, staff
- Getting better outcomes for the same resources e.g. improved services or productivity from the same number of staff

Our [Medium Term Financial Plan](#) ensures that the Council's priorities can be delivered within available resources whilst achieving value for money. It integrates business and financial planning over the three-year budgeting period 2012/13 to 2014/15.

Our net budget for providing Council services from April 2012 to March 2013 is £494.7m. The tables and charts in this section show how this spend is allocated.

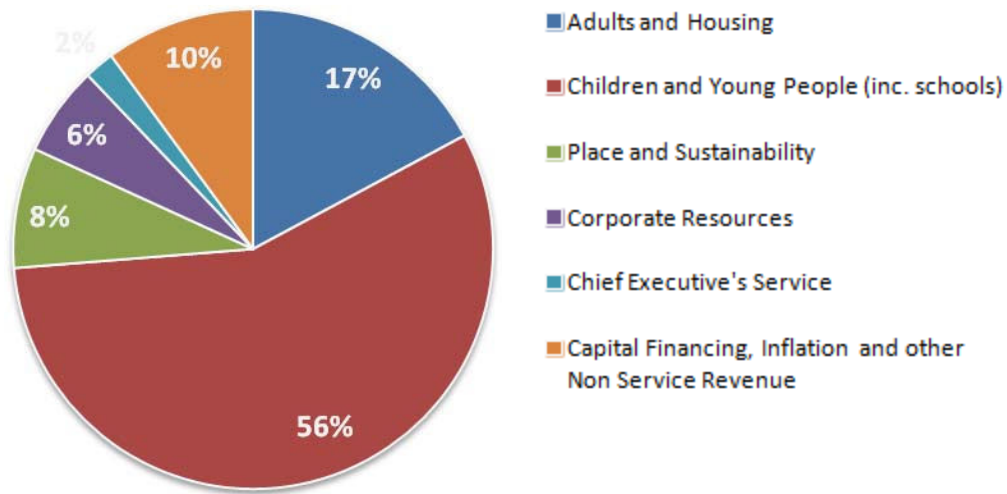
The Council's Budget 2012/13

2011/12 Net £m	Funding Requirement	2012/13 Net £m
275.1	Children and Young People (including Schools) Services	277.0
90.1	Adults and Housing Services	86.3
43.5	Place and Sustainability	41.8
0.8	Public Health	0.8
29.9	Corporate Resources	29.6
11.6	Chief Executive's Service	10.0
44.2	Capital Financing, Inflation and other Non Service Revenue	49.2
495.2	TOTAL	494.7
	Sources of funding	
209.0	Dedicated Schools Grant (including Pupil Premium)	216.3
153.2	Formula Grant*	141.2
30.5	Core/Specific Grants**	34.2
102.5	Council Tax Requirement	103.0
495.2	TOTAL	494.7

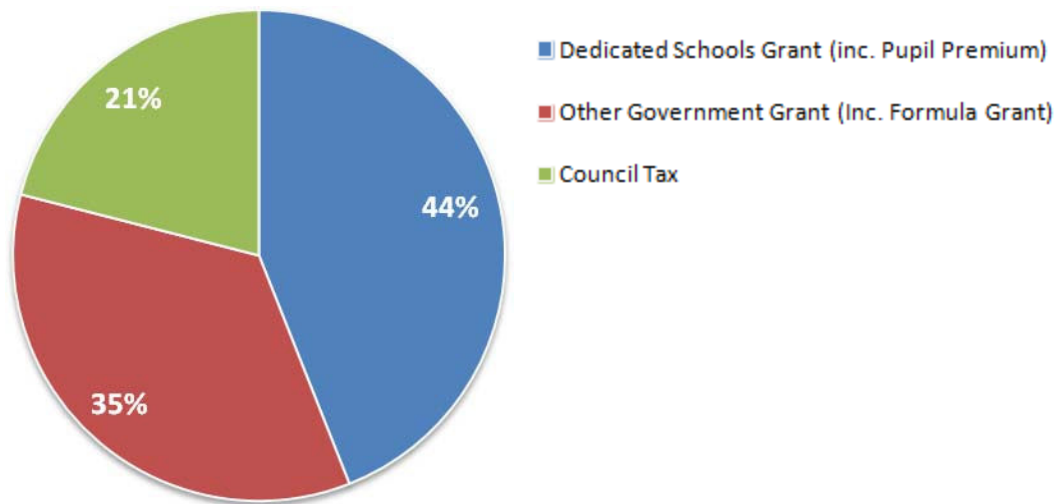
* *Formula Grant* is money from central Government that the council can decide for itself how to spend. It is based on government assumptions about how much the Council needs to spend and how much it will raise in Council tax.

** *Core /specific grants*, which although nominally associated with particular services or functions, can be used by the Council to help pay for the full range of services they deliver.

Total funding requirement for services 2012/13



Funding for Council services 2012/13



This year's budget for Council services other than schools is £7.7m less than 2011/12. The schools budget has increased by £7.3m, funded solely by the Dedicated School Grant. The reduction in the Council's budget is a result of the Council's ongoing efficiency drive following a significant cut in government funding. Whilst the Council has aimed to minimise the impact on frontline services through efficiency savings and a reduction in back office costs, both the scale and speed of these cuts have meant that some reductions in service are inevitable.

The Council continues to balance the immediate need to address the budget shortfall with the borough's need for continued inward investment. The capital programme for non-housing investment has also increased from £43m to £43.9m. The programme will mostly be paid for by government grants, contributions from developers, and the sale of surplus assets.

Further details about our financial plans to 2015 are described in the Medium Term Financial Plan.

Appendix A: Emerging national policies

- The [Localism Act 2011](#) sets out the Government's commitment to decentralisation and strengthening local democracy aiming to shift power from central government to individuals, communities and councils. The Act includes measures to reform the planning system, change social housing policies, improve community empowerment through rights to bid to buy local assets and run local services.
- The [Open Public Services White Paper 2011](#) sets out a vision where individuals and communities have much greater choice and control and public sector organisations are the commissioners of services rather than direct providers. The update in [2012](#) sets out the government's progress since the publication of the White Paper.
- The [Academies Act 2010](#) and [Education Act 2011](#) provide wider choice and decentralised powers through enabling schools to become academies, free from local authority and national government control, and opportunities for groups to set up new free schools in areas where there is parental demand.
- The [Police Reform and Social Responsibility Act 2011](#) makes provision for greater accountability through police commissioners and local Police and Crime Panels.
- The [Protection of Freedoms Act 2012](#) is intended to protect people "from unwarranted state intrusion in their private lives" and to revoke previous legislation seen as damaging civil liberties.
- The [Health and Social Care Act 2012](#) passes commissioning powers to GPs and abolishes Primary Care Trusts. New local Healthwatch organisations will speak out on behalf of people using health and social care services.
- The [Welfare Reform Act 2012](#) introduces a universal credit to replace many existing benefits. It brings in a cap on benefits that families can receive of £26,000, puts restrictions on the amount of housing benefit that can be claimed and replaces Disability Living Allowance with Personal Independence Payments.
- The forthcoming adult social care White Paper and Care and Support Bill will build on [A Vision for Adult Social Care: Capable Communities and Active Citizens](#) (November 2010), which set out the government's principles for a modern system of care and support.

Appendix B: Indicators of progress 2012/13

Priority 1: Work with local businesses to create jobs

Number of jobs created through the Haringey Jobs Fund

Number of residents supported into sustained employment through the Jobs for Haringey programme

Number of residents supported into self employment through the Jobs for Haringey programme

Percentage of working population on Out of Work Benefits

Percentage of working population claiming Jobseeker's Allowance (JSA)

Priority 2: Deliver regeneration to key areas of the borough

Progress to be measured through the achievement of milestones as set out in the Council Plan

Priority 3: Tackle the housing challenges

Number of households in Temporary Accommodation

Number of homelessness preventions

Average relet times for local authority dwellings

Number of homelessness acceptances

Number of affordable homes delivered

Priority 4: Improve school standards and outcomes for young people

Early Years Foundation Stage – narrowing the gap between the lowest achieving 20% and the rest

Key Stage 2 – Level 4 English and Maths

GCSE – 5 or more A*- C grades incl. English and Maths

Proportion of schools rated good or outstanding by Ofsted at last inspection

% of children getting their first choice of school place (Primary)

% of children getting their first choice of school place (Secondary)

Young people not in education, employment or training (NEETS), academic year 12-14

Re-referrals to children's social care within 12 months of last referral

Children Subject to a Child Protection Plan per 10,000 population

Children in Care per 10,000 population

Stability of Placements for Children in Care – number of moves

Time taken from receipt of application form to decision of suitability to adopt (days)

Time taken from becoming looked after to being placed for adoption (days)

Time taken from Court Authority to adoption match (days)

Priority 5: Deliver responsive, high quality services to residents

Number of days taken to process Housing Benefit/
Council Tax Benefit new claims and change events

Resident satisfaction with contacting the council

Percentage of council tax collected in year

Average days of sickness absence per
employee (full time equivalent)

Complaints – average days to process

Key staff survey indicators

Complaints – % escalated to stage 2

Community Safety

Perception of antisocial behaviour in the local area

Victims of domestic violence feeling well advised and
supported (Hearthstone)

Number of violent crimes committed, including
victim based crime types (Metropolitan Police
Violent Crime Portfolio)

Number of first time entrants to the youth justice
system

Number of property crimes committed, including
robbery (Metropolitan Police Property Crime Portfolio)

Rate of proven re-offending by young offenders in the
youth justice system

Repeat victimisation of domestic violence clients
visiting Hearthstone

% young people within the youth justice system
receiving a conviction in court who are sentenced to
custody

Environment

Street Cleanliness: Percentage of land assessed as
having unacceptable levels of litter

Carbon emissions

Recycling rate

Health and Social Care

Delayed transfers of care
(combined Health and Social care)

Proportion of adults in contact with secondary mental
health services living independently

Percentage of social care clients receiving Self
Directed Support (Direct Payments and Individual
Budgets)

Permanent admissions to residential and nursing
homes per 100,000 population

Social care related quality of life

NHS Health checks offered

Overall satisfaction with adult social care services

Percentage of 4 - 5 year olds who are obese

Overall satisfaction of carers with adult social care
services

Percentage of 10 – 11 year olds who are obese

The proportion of carers who report they have been
included or consulted in discussions about the person
they care for

Successful completion of drug treatment

Adults with learning disabilities in settled
accommodation

Number of 4 week smoking quitters

Number of young people in the
C-Card (contraception) scheme

Teenage pregnancy – Under 18 conception rate

Average life expectancy

Infant mortality rate



Haringey Council

Report for:	COUNCIL 16 JULY 2012	Item number	
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Title:	Appointments to Outside bodies
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Report authorised by :	Assistant Chief Executive <i>Stuart H.</i>
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Lead Officer:	Clifford Hart, Democratic Services Manager Tel: 0208 489 2920
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Ward(s) affected: N/A	Report for Key/Non Key Decision: N/A
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1. Describe the issue under consideration

- 1.1 To advise the Council of nominations of Council representatives to fill current vacancies on outside bodies and seek approval for appointments.

2. Cabinet Member Introduction

N/A

3. Recommendations

That the appointments to outside bodies, as set out in the attached schedule, be approved.



Haringey Council

4. Comments of the Chief Financial Officer and Financial Implications

N/A

5. Head of Legal Services and Legal Implications

N/A

6. Local Government (Access to Information) Act 1985

Background papers

The following papers have been used in the preparation of this report and can be inspected at River Park House, 225 High Road Wood Green, London, N22 8HQ by contacting Clifford Hart on 020 8489 2920:

Information supplied by the Party Groups.

7. Equalities and Community Cohesion Comments

N/A

Proposed Appointments to Outside Bodies

16 July 2012 Report for Council

Body name	No of Reps			
<hr/>				
<u>London Councils, Grants Committee</u>				
14/alg/lbg	2	Term of Office:	1 Year	Category: Association
Granted Aid: no	2 LAB			Any voting Cllr and four named deputies
<u>Retiring Representative (s) / Expiry</u>	Labour vacant (deputy)	21/05/12	20/05/13 Deputy	
	Councillor Bernice Vanler	16/07/12	20/05/13 Voting member	New Appointment
<hr/>				
<u>Jacksons Lane, The Management Committee</u>				
14/lc	4	Term of Office:	1 Year	Category: Community
Granted Aid: yes	2 LIBDEM, 2 LAB			Two reps attend each meeting - one from each party
<u>Retiring Representative (s) / Expiry</u>	Councillor Jonathan Bloch	21/05/12	20/05/13	
	Councillor Rachel Allison	21/05/12	20/05/13	
	Councillor Ann Waters	16/07/12	20/05/13	New Appointment
	Labour vacant	21/05/12	20/05/13	
<hr/>				
<u>Wood Green Urban District Charity The Management Committee</u>				
	5	Term of Office:	4 years	Category: Trust
	Emine Ibrahim public	21/05/12	20/05/16	
	Julie Ann Gregory public	16/05/12	20/05/16	New Appointment
	Councillor Pauline Gibson	30/11/09	31/05/13	
	Cherry McAskill public	25/05/10	31/05/14	
	James Patterson public	21/05/12	20/05/16	

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**REPORT OF THE CORPORATE COMMITTEE No. 2/2012-13
COUNCIL**

Chair:
Councillor George Meehan

Deputy Chair:
Councillor Kaushika Amin

INTRODUCTION

- 1.1 This report to full Council arises from the Treasury Management 2011/12 Outturn report considered by the Corporate Committee at their meeting on the 28 June 2012.

SUMMARY

2.1 Treasury Management Outturn 2011/12


- 2.2. We considered a report on the treasury management activity and performance during 2011/12 in accordance with the CIPFA Treasury Management Code of Practice.
- 2.3 Following the abolition of the Housing Revenue Account subsidy system and the re- adjustment of each authority's housing related debt, £233.85m of the Council's outstanding PWLB loans was repaid by the DCLG. This major change to the Council's debt portfolio was reflected in the prudential indicators being reported.
- 2.4 We noted that the early repayment of long term debt was not currently cost effective due to market conditions. However, the Treasury Management service were continually monitoring the position to utilise any available opportunities to save money on the interest rates of loans
- 2.5 We were informed at our meeting that, since the attached report was written, Moody's rating agency had down graded most UK banks. Consequently, Nat West and the Royal Bank of Scotland had been withdrawn from the council's lending list as they fell below the minimum criteria of Prime-1 short term credit rating, required under the Treasury Management Strategy. We noted that investments with Nat West had been withdrawn in response, but day to day banking was continuing.
- 2.6.1 We were given assurance that the treasury management limits were closely adhered to and monitored on a daily basis. We noted that a monthly performance report was also provided to the Chief Financial Officer in respect of this.
- 2.7 The council were continuing to receive funds from Icelandic deposits with full repayment expected to be achieved by 2018. So far a total £21.5m had been returned out of the original investment of £36.9m

WE RECOMMEND

- i. That the Treasury Management Activity and Performance during 2011/12 be noted.



Haringey Council

Report for:	Corporate Committee 28 th June 2012	Item number	
Title:	Treasury Management 2011/12 Outturn & Quarter 1 2012/13 update		
Report authorised by :	Interim Chief Financial Officer 		
Lead Officer:	Nicola Webb, Head of Finance – Treasury & Pensions nicola.webb@haringey.gov.uk 020 8489 3726		
Ward(s) affected: N/A	Report for Non Key Decision		

1. Describe the issue under consideration

- 1.1 This is a report to members on treasury management activity and performance during 2011/12 in accordance with the CIPFA Treasury Management Code of Practice. It is a requirement of the Code for this to be reported on to Council once Corporate Committee has considered it. In addition it provides an update for members on treasury management activity during the first quarter of 2012/13.

2. Cabinet Member Introduction

- 2.1 Not applicable.

3. Recommendations

- 3.1 That Members note the Treasury Management activity and performance during 2011/12 and the first quarter of 2012/13.

4. Other options considered

- 4.1 None.



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5. Background information

- 5.1 The Council approved the Treasury Management Strategy Statement for 2011/12 on 24th February 2011. Corporate Committee is responsible for monitoring treasury management activity during the year and this was achieved through the receipt of quarterly reports. This outturn report is a requirement of the CIPFA Treasury Management Code of Practice and it summarises the activity during 2011/12.
- 5.2 Government guidance on local authority treasury management states that local authorities should consider the following factors in the order they are stated:
Security - Liquidity - Yield
The Treasury Management Strategy reflects these factors and is explicit that the priority for the Council is the security of its funds.
- 5.3 Prudential Indicators for 2011/12 were set by Council on 24th February 2011 and two were revised on 21st November 2011. They have been monitored on a quarterly basis during the year.

6. Comments of the Chief Financial Officer and Financial Implications

- 6.1 The treasury management strategy in 2011/12 was to continue to maximise internal borrowing and, therefore, to minimise cash balances. This policy not only reduced credit risk in the year but also reduced the cost of borrowing. In addition the policy of taking short term borrowing from other local authorities instead of long term also saved interest costs during 2011/12. As reported to June Cabinet in the Council's outturn report, the net underspend on the interest budget in 2011/12 as a result of these active management decisions was £1.389m.

7. Head of Legal Services and Legal Implications

- 7.1 The Head of Legal Services has been consulted on the content of this report. Its content and recommendation are in accordance the Treasury Management Strategy Statement and consistent with legislation governing the financial affairs of the Council.

8. Equalities and Community Cohesion Comments

- 8.1 There are no equalities issues arising from this report.



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9. Head of Procurement Comments

9.1 Not applicable.

10. Policy Implications

10.1 None applicable.

11. Use of Appendices

11.1 Appendix 1: Summary of Treasury Management activity & performance
Appendix 2: Prudential Indicators

12. Local Government (Access to Information) Act 1985

12.1 Not applicable.

13. Economic and treasury portfolio background in 2011/12

- 13.1 The UK Bank Rate remained at 0.5% throughout the financial year as the UK economy struggled and then entered recession in the latter part of the year. Issues in the Eurozone continued to dominate markets throughout the year and the concerns around bank exposures to the weaker economies led to a number of credit rating downgrades for banks, as well as the countries themselves. This background meant short term investment rates remained only marginally above 0.5% during 2011/12.
- 13.2 The Localism Act passed into law in November 2011 which enabled the reform of council housing finance. The Housing Revenue Account subsidy system has now been abolished and replaced with self-financing whereby authorities support their own housing stock from their own income. This reform required a readjustment of each authority's housing-related debt based on a valuation of its council housing stock. For the Council this meant that on 28th March 2012, £233.85m of outstanding PWLB loans were repaid by the Department for Communities and Local Government.
- 13.3 The position of the treasury portfolio at the end of the financial year compared to the previous financial year end is shown in the table below. This shows the reduction in PWLB borrowing resulting from the repayment of debt in respect of housing reform and a reduction in the cash balances invested. The sections which follow describe the activity in the borrowing and investment portfolios.



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Treasury Portfolio	Position at 31/03/11 £000	Position at 31/03/12 £000
<u>Borrowing</u>		
PWLB Fixed Maturity	460,806	201,544
PWLB Fixed EIP	24,000	9,682
PWLB Variable EIP	18,000	7,746
Market loans	125,000	125,000
Other Local authorities	3,000	50,000
Total External Borrowing	630,806	393,972
<u>Investments</u>		
Fixed Term Deposits	3,400	0
Call Accounts	10,400	0
Money Market Funds	14,235	5,470
Total Investments	28,035	5,470

14. Long Term Borrowing

14.1 In 2010/11 the Council undertook little external borrowing as it moved towards maximising the use of internal balances in lieu of borrowing. The reason for this was to minimise the “cost of carry” associated with external borrowing. The cost of carry is the difference between the interest rate paid for long term borrowing, and the rate of interest which can be earned from temporarily investing the funds borrowed. This has amounted to 3.5-4% in the last couple of years. In 2011/12 this policy continued, although there was a finite limit to the amount of “internal borrowing” which could be done and so £50m of the £53m of maturing loans was required to be refinanced.

14.2 On 28th March 2012 £233.85m of PWLB debt was repaid by the Department of Communities & Local Government as part of housing reform. It was announced early in the financial year that the repayment would be done by repaying a proportion of every loan the Council had outstanding. Therefore in order to maximise the amount of higher rate loans repaid, the refinancing was achieved by borrowing for periods of 1 year or less from other local authorities. Undertaking short term borrowing from local authorities at an average rate of 0.83% also ensured lower than anticipated expenditure on interest payments.



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14.3 The table below summarises the transactions undertaken during the financial year:

	01/4/11 £000	Maturing loans £000	Repay- ments re HRA £000	New loans £000	31/3/12 £000
PWLB Fixed Maturity	460,806	(44,500)	(214,762)	0	201,544
PWLB Fixed EIP	24,000	(4,000)	(10,318)	0	9,682
PWLB Variable EIP	18,000	(1,484)	(8,770)	0	7,746
Market loans	125,000	0	0	0	125,000
Other Local Authority	3,000	(3,000)	0	50,000	50,000
Total borrowing	630,806	(52,984)	(233,850)	50,000	393,972

14.4 At the end of the financial year the average interest payable on the borrowing portfolio had fallen to 5.87% from 6.8% at 1st April 2011.

15. Investments – activity and performance in 2011/12

15.1 The Council held average cash balances of £41.6m during the year. The balances represented working cash balances and the Council's reserves. The Council invested these funds in accordance with the Treasury Management Strategy Statement agreed for 2011/12. All investments made during the year complied with the Council's agreed Treasury Management Strategy, Prudential Indicators, Treasury Management Practices and prescribed limits. Maturing investments were repaid to the Council in full and in a timely manner.

15.2 The Council's investment priorities set out in the 2011/12 strategy were:

- 1) Security of the invested capital;
- 2) Liquidity of the invested capital;
- 3) An optimum yield which is commensurate with security and liquidity.

The investments placed by the Council during 2011/12 reflected these priorities.



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- 15.3 Counterparty credit quality was assessed and monitored with reference to credit ratings (the Council's minimum long term counterparty rating of A+ across all three rating agencies – Fitch, Standard & Poors and Moody's); credit default swaps; any potential support mechanisms from the UK government and share price. The Council has sought to minimise its security risk by setting limits on each institution on the lending list. The Council has complied with all these limits during 2011/12.
- 15.4 In October 2011, many UK banks were downgraded to a level below the minimum level acceptable for the Council's lending list. In anticipation of this happening, the Council had only been investing in UK banks on an instant access basis for some time prior to the announcement. All monies which were invested with these banks were withdrawn immediately in full. The result of the downgrades was that the Council only invested in instant access AAA rated Money Market Funds and the government guaranteed Debt Management Office for much of the second half of the financial year. The table below shows the investments outstanding on 31st March 2012:

Institution	Long Term Credit Rating	Amount (£m)	% of total deposits
BlackRock MMF	AAA	0.905	16.5
Deutsche MMF	AAA	0.220	4.0
Goldman Sachs MMF	AAA	0.400	7.3
Invesco MMF	AAA	2.200	40.2
JP Morgan MMF	AAA	0.375	6.9
RBS MMF	AAA	1.370	25.1
Total		5.470	100.0

- 15.5 Throughout 2011/12 credit risk scores have been reported to Corporate Committee, based on a methodology devised by Arlingclose, the Council's treasury management advisers. The scores show credit risk on a scale of 0 to 10 on both a value weighted and a time weighted basis and the table below demonstrates how to interpret the scores:

Above target	AAA to AA+	Score 0 - 2
Target score	AA to A+	Score 3 - 5
Below target	Below A+	Score over 5

- 15.6 The scores during 2011/12 are shown overleaf and show the impact of moving to investing only in AAA rated money market funds and the Debt Management Office:



	Quarter 1 2011/12	Quarter 2 2011/12	Quarter 3 2011/12	Quarter 4 2011/12
Value weighted	2.6	2.5	1.0	1.0
Time weighted	1.8	1.8	1.0	1.0

15.7 Liquidity has been maintained throughout the year through the extensive use of AAA rated money market funds, which operate on an instant access basis. As all of the Council's funds were invested in money market funds on 31st March 2012, the weighted average maturity of the investment portfolio was 1 day.

15.8 £251k of interest was earned on the Council's investments during 2011/12 at an average rate of 0.60%, 0.10% above the Bank of England base rate.

16. Update on Icelandic deposits

16.1 In April 2011 the Icelandic District Court decided that local authority deposits in Landsbanki and Glitnir had priority status. The decision was subsequently upheld by the Icelandic Supreme Court following an appeal in October 2011. This means the expected recovery rates are now 100% for Glitnir and 98% for Landsbanki. Following these announcements the first distributions from Glitnir and Landsbanki were received. At the time of writing a total of £7.23m had been received in respect of Glitnir and Landsbanki.

16.2 In addition to the distributions received, monies totalling around £1m have been distributed in Icelandic krona. However due to exchange rate controls, this cannot be removed from Iceland. It is therefore being held in escrow and the legal advisers working on behalf of all local authorities are liaising with Iceland and UK government officials to investigate ways of getting the monies released.

16.3 The administrators of Heritable Bank continued to make distributions during the year and their current base case is a recovery rate of 86-90% of the amounts originally invested.

16.4 In total £21.5m has been returned to the Council to date which makes up 58% of the total amount originally invested of £36.9m.



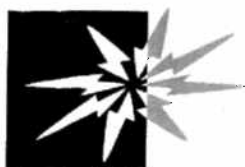
Haringey Council

17. Compliance with Prudential Code indicators

- 17.1 The Council set prudential indicators for 2011/12 in February 2011. The set of indicators is made up of those which provided an indication of the likely impact of the planned capital programme and those which are limits set on treasury management activity. Updates to two of the indicators were agreed by Council in November 2011. Appendix 2 sets out the approved indicators for 2011/12 and the final position for each of the capital indicators and the year end position on each of the treasury management limits.
- 17.2 None of the limits on treasury management have been breached in the year to date. Borrowing is well within the operational and authorised limits, as the peak of borrowing was £657.8m in September 2011. The continued policy of using internal cash balances to fund the capital programme ensured this was the case. The repayment of HRA borrowing on 28th March 2012 has resulted in some of the figures looking significantly lower than forecast, however it has been necessary to have limits at the higher level to ensure there were no breaches in the period up to 28th March 2012.
- 17.3 The budget outturn report to Cabinet on 12th June 2012 set out the capital expenditure in 2011/12 and reasons for the movement from the original indicator. The capital expenditure figure in this report includes £4.28m capitalisation for redundancy costs. The impact on Council Tax indicator measures the impact on Band D council tax of the costs of borrowing to fund the capital programme. The capitalisation of redundancy costs is classed as borrowing as it will be funded over the long term. This was not taken into account at the time the original council tax impact indicator was set, as the capitalisation had not been agreed by central government. This is the reason this indicator has increased so much since the original one was set.

18. 2012/13 quarter 1 update

- 18.1 During the quarter £20m of local authority borrowing has matured, however due to the pattern of the Council's cashflow where more money comes in early in the financial year, it has only been necessary to refinance £5m. This was borrowed again from Derbyshire County Council at 0.70%, a lower rate than previously.
- 18.2 Moodys rating agency placed all UK banks on review for possible downgrade in February 2012 with a view to announcing the results of the review in May or June 2012. At the time of writing, the result of



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the review has not been announced, but there remains a significant risk that some or all of the banks on the Council's lending list are downgraded below the minimum criteria agreed in the Treasury Management Strategy. For this reason the Council has been investing in UK banks on an instant access basis only. Extensive use continues to be made of AAA rated instant access money market funds and the Debt Management Office.

18.3 The table below shows the Council's investments as at 11th June 2012. At this point in time balances are relatively high pending large payments and loan maturities expected later in the month.

Counterparty	Long Term Credit Rating	Period to maturity	Amount (£k)	% of total deposits
Debt Management Office	AAA*	2	5,050	15.0%
Debt Management Office	AAA*	9	3,700	11.0%
Debt Management Office	AAA*	9	5,900	17.5%
Debt Management Office	AAA*	11	3,150	9.3%
Nat West Call Account	A	1	6,700	19.9%
BlackRock Money Market Fund	AAA	1	1,800	5.3%
Deutsche Money Market Fund	AAA	1	1,700	5.1%
Goldman Sachs Money Market Fund	AAA	1	2,000	5.9%
JP Morgan Money Market Fund	AAA	1	2,000	5.9%
RBS Money Market Fund	AAA	1	1,700	5.1%
Total			33,700	

* The Debt Management Office does not have a credit rating, therefore the UK sovereign rating is shown.



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Appendix 1: Summary of Treasury Management Activity & Performance

1. Treasury Portfolio

	Position at Q4 2011/12 £000	Position at Q3 2011/12 £000	Position at Q2 2011/12 £000	Position at Q1 2011/12 £000
Long Term Borrowing PWLB	218,972	469,806	490,806	502,806
Long Term Borrowing Market	125,000	125,000	125,000	125,000
Short Term Borrowing	50,000	43,000	13,000	3,000
Total Borrowing	393,972	637,806	628,806	630,806
Investments: Council	5,470	40,849	29,110	49,140
Investments: Icelandic deposits in default	19,441	24,107	24,939	25,746
Total Investments	24,911	64,956	54,049	74,886
Net Borrowing position	369,061	572,850	574,757	555,920

2. Security measure

	Quarter 4 2011/12	Quarter 3 2011/12	Quarter 2 2011/12	Quarter 1 2011/12
Credit score – Value weighted	1.0	1.0	2.5	2.6
Credit score – Time weighted	1.0	1.0	1.8	1.8

3. Liquidity measure

	Quarter 4 2011/12	Quarter 3 2011/12	Quarter 2 2011/12	Quarter 1 2011/12
Weighted average maturity: deposits (days)	1	3.95	1	1
Weighted average maturity: borrowing (years)	24.80	21.83	22.18	22.35

4. Yield measure

	Quarter 4 2011/12	Quarter 3 2011/12	Quarter 2 2011/12	Quarter 1 2011/12
Interest rate earned	0.39%	0.67%	0.77%	0.70%
Interest rate payable	5.87%	6.05%	6.43%	6.49%



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Appendix 2: Prudential Indicators

No.	Prudential Indicator	2011/12 Approved Indicator		2011/12 Position/Actual at 31/03/12
CAPITAL INDICATORS				
1	Capital Expenditure	£79,874k		£89,340k
2	Ratio of financing costs to net revenue stream			
	General Fund	4.95%		4.49%
	HRA	31.90%		26.65%
3	Capital Financing Requirement	£773,366k		£495,617k
4	Incremental impact of capital investment decisions			
	Band D Council Tax	£1.00		£2.91
	Weekly Housing rents	£0.02		£0.01
TREASURY MANAGEMENT LIMITS				
5	Authorised Limit	£946,879k		£393,972k
	Operational Boundary	£818,434k		£393,972k
6	Upper limit – fixed rate exposure	100%		95.85%
	Upper limit – variable rate exposure	40%		4.15%
7	Maturity structure of borrowing (U: upper, L: lower)	L	U	
	under 12 months	0%	25%	17.98%
	12 months & within 2 years	0%	25%	6.23%
	2 years & within 5 years	0%	50%	9.64%
	5 years & within 10 years	0%	60%	10.21%
	10 yrs & within 20 yrs	0%	60%	5.54%
	20 yrs & within 30 yrs	0%	60%	3.07%
	30 yrs & within 40 yrs	0%	60%	2.54%
	40 yrs & within 50 yrs	0%	60%	25.76%
50 yrs & above	0%	60%	19.03%	
8	Sums invested for more than 364 days	£20,000k		£0
9	Adoption of CIPFA Treasury Management Code of Practice	√		√

